1	SENATE BILL 346
2	55TH LEGISLATURE - STATE OF NEW MEXICO - FIRST SESSION, 2021
3	INTRODUCED BY
4	Linda M. Lopez
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10	AN ACT
11	RELATING TO SPECIAL EDUCATION; ENACTING THE SPECIAL EDUCATION
12	OMBUD ACT; ESTABLISHING THE OFFICE OF THE STATE SPECIAL
13	EDUCATION OMBUD; PROVIDING DUTIES; REQUIRING INVESTIGATION AND
14	RESOLUTION OF CONCERNS; PROVIDING ACCESS TO STUDENT EDUCATIONAL
15	RECORDS; PROVIDING FOR THE CONFIDENTIALITY OF RECORDS;
16	PROVIDING POTENTIAL ACTIONS FOR NONCOMPLIANCE; REQUIRING AN
17	ANNUAL REPORT; MAKING AN APPROPRIATION.
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19	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:
20	SECTION 1. [<u>NEW MATERIAL</u>] SHORT TITLESections 1
21	through ll of this act may be cited as the "Special Education
22	Ombud Act".
23	SECTION 2. [<u>NEW MATERIAL</u>] DEFINITIONSAs used in the
24	Special Education Ombud Act:
25	A. "council" means the developmental disabilities
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1 planning council; 2 Β. "office" means the office of the state special 3 education ombud; "parent" includes a legal guardian or custodian 4 C. who has custody and control of a student; 5 "state ombud" means the state special education 6 D. 7 ombud; and "student" means a public school student 8 Ε. receiving or seeking special education services. 9 10 [NEW MATERIAL] CREATION OF THE OFFICE OF THE SECTION 3. 11 STATE SPECIAL EDUCATION OMBUD--GENERAL DUTIES OF THE OFFICE.--12 The "office of the state special education Α. ombud" is created within the council. 13 14 The council shall appoint the "state special Β. 15 education ombud", who shall head the office and supervise the 16 ombuds and other staff of the office. The state ombud may bracketed material] = delete 17 contract for services to assist the office in conducting its 18 duties and may use the services of volunteers. 19 C. The state ombud shall: 20 (1)identify, investigate and resolve concerns 21 pertaining to special education services that are filed with 22 the office by parents; 23 (2) assist students and parents in protecting 24 the educational rights of students; 25 inform students and parents about special (3) .219454.1 - 2 -

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2 (4) ensure that students and parents have
3 regular and timely access to the services provided through the
4 office and that students and parents receive timely responses
5 from representatives of the office;

6 (5) identify any patterns of concerns that
7 emerge regarding special education services and educational
8 rights and recommend strategies for improvement to the public
9 education department;

10 (6) collaborate with the public education
11 department to ensure that all dispute resolution processes are
12 available to students and parents, including the special
13 education parent liaison, mediation, facilitated individualized
14 education program meetings, state complaint and investigations
15 and due process hearings;

(7) collaborate with the parent training information centers and protection and advocacy agencies within the state to identify and report systemic special education issues to the public education department;

(8) ensure that office staff, contractors and volunteers are trained in:

(a) federal, state and local laws, rulesand policies with respect to special education in the state;

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(b) investigative techniques;

(c) dispute resolution; and

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1	(d) such other matters as the office
2	deems appropriate;
3	(9) develop procedures for the certification
4	of ombuds. An employee or contractor shall not investigate a
5	concern filed with the office unless that person is certified
6	by the office;
7	(10) analyze, comment on and monitor the
8	development and implementation of federal and state laws, rules
9	and other governmental policies and actions that pertain to the
10	educational rights of students with respect to the adequacy of
11	special education services in the state;
12	(11) recommend changes to laws, rules,
13	policies and actions pertaining to the special educational
14	rights of students as the office determines to be appropriate;
15	(12) facilitate public comment on proposed
16	laws, rules, policies and actions; and
17	(13) provide information to public and private
18	agencies, legislators and other persons regarding the problems
19	and concerns of special education services and make
20	recommendations related to those problems and concerns.
21	SECTION 4. [<u>NEW MATERIAL</u>] ANNUAL REPORTCONTENTSNo
22	later than December 1 of each year, the office shall prepare a
23	report that includes:
24	A. actions taken by the office in the year for
25	which the report is prepared;
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B. special education concerns identified by or on behalf of students and parents, resolution of the concerns and the effectiveness of the resolution processes;

C. recommendations for improving the quality of special education services provided to students and protecting the educational rights of students; and

D. policy, regulatory and legislative recommendations to solve identified concerns related to special education, to improve processes of resolutions of concerns, to improve the quality of services provided to students, to protect the educational rights of students and to remove barriers to education and educational services.

SECTION 5. [<u>NEW MATERIAL</u>] INVESTIGATION AND RESOLUTION OF SPECIAL EDUCATION CONCERNS.--The office shall identify, investigate and seek to resolve concerns related to special education communicated by or on behalf of students and parents. If the office does not address a concern, the office shall notify the concerned person of the decision not to address the concern and the reasons for the decision.

SECTION 6. [<u>NEW MATERIAL</u>] ACCESS TO STUDENT EDUCATIONAL RECORDS.--Upon request and with consent from the student or the student's parent, the office shall have access to the student's educational records from the public education department, a school district or a public school as necessary to carry out the office's responsibilities.

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1 SECTION 7. [<u>NEW MATERIAL</u>] CONFIDENTIALITY OF
2 INFORMATION.--

A. All files and records maintained by the office that pertain to students are confidential and not subject to the provisions of the Inspection of Public Records Act. The state ombud shall not disclose the identity of a concerned person or student about whom the office maintains files or records unless:

9 (1) the concerned person, student or parent10 consents in writing to the disclosure;

(2) the concerned person, student or parent gives oral consent that is documented immediately in writing by a representative of the office. If the student is unable to give oral consent, the student may give consent in any way that the student is able to, and the consent shall also be documented immediately in writing by a representative of the office; or

(3) disclosure is ordered by a court.

B. The annual report required pursuant to Section 4 of the Special Education Ombud Act may be based on confidential information and may be published or furnished to the public, but the report shall not identify individual students directly or indirectly nor violate the privileged or confidential nature of the relationship and communications between the student and the office.

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1 SECTION 8. [NEW MATERIAL] CONFLICT OF INTEREST.--The council shall ensure that: 2 3 a person or an immediate family member of that Α. person involved in the designation of an ombud does not have a 4 conflict of interest; 5 6 Β. an employee or a contractor of the office or an 7 immediate family member of the employee or contractor does not 8 have a conflict of interest; and 9 C. an ombud: 10 (1)does not have an ownership or investment 11 interest, represented by equity, debt or other financial 12 relationship, in a public school providing special education 13 services; 14 is not employed by, or participating in (2) 15 the management of, a public school providing special education 16 services; and 17 does not receive, or have the right to (3) 18 receive, directly or indirectly, remuneration in cash or in 19 kind under a compensation arrangement with a public school 20 providing special education services. 21 SECTION 9. [NEW MATERIAL] POSTING AND DISTRIBUTION OF 22 OMBUD INFORMATION. -- Every public school providing special 23 education services shall post in a conspicuous location in the 24 public school a notice regarding the office that contains a 25 brief description of the services provided by the office and .219454.1

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1 the name, address and phone number of the office and shall post 2 it online on the public school's website, if applicable. The 3 public school providing special education services shall 4 distribute information regarding the state ombud at the 5 beginning of every school year, in addition to providing the 6 information as part of the annual individual education plan 7 process prior to scheduling the first individual education plan 8 meeting of each school year. The form of the notice shall be 9 approved by the office.

SECTION 10. [<u>NEW MATERIAL</u>] AVAILABILITY OF LEGAL COUNSEL TO THE OFFICE.--The council shall ensure that adequate legal counsel is available and is able, without conflict of interest, to:

A. provide advice and consultation to the office needed to protect the educational rights of students; and

B. assist the office and contractors in the performance of the official duties of the state ombud and representatives.

SECTION 11. [<u>NEW MATERIAL</u>] INTERFERENCE WITH THE OFFICE AND RETALIATION PROHIBITED--POTENTIAL ACTIONS FOR NONCOMPLIANCE.--

A. A person shall not willfully interfere with the lawful actions of the office.

B. A person shall not institute discriminatory, disciplinary or retaliatory action against any student or .219454.1 - 8 -

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1 parent for filing a concern with, providing information to or 2 otherwise cooperating with the office. 3 If public school personnel or a contractor or C. volunteer of a school district or charter school fails to 4 5 comply with the provisions of the Special Education Ombud Act: 6 (1)the council shall report the noncompliance 7 to the public education department; 8 the office shall collaborate with the (2) 9 public education department to access processes and resources 10 to address special education services concerns; and 11 (3) the office shall collaborate with the 12 public education department to identify further appropriate 13 actions to be taken in response to the report, which may 14 include a corrective action plan or any other administrative 15 action that the public education department is authorized to 16 take to ensure that students receive the free and appropriate 17 public education required by the Individuals with Disabilities 18 Education Act and state law. The office shall provide a letter 19 to the concerned person explaining the actions the public 20 education department will take. 21 SECTION 12. Section 28-16A-5 NMSA 1978 (being Laws 1993, 22 Chapter 50, Section 5) is amended to read: 23 "28-16A-5. POWERS AND DUTIES.--

A. The developmental disabilities planning council shall:

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1	(1) act as a planning and coordinating body
2	for persons with developmental disabilities;
3	(2) provide statewide advocacy systems for
4	persons with developmental disabilities;
5	(3) work with appropriate state agencies to
6	develop the developmental disabilities three-year plan as
7	required by the federal Developmental Disabilities Assistance
8	and Bill of Rights Act <u>of 2000</u> ;
9	(4) monitor and evaluate the implementation of
10	the developmental disabilities state plan;
11	(5) to the maximum extent feasible, review and
12	comment on all state plans that relate to programs affecting
13	persons with developmental disabilities;
14	(6) submit to the secretary of the United
15	States department of health and human services, through the
16	office of the governor, periodic reports that the secretary may
17	request;
18	(7) advise the governor and the legislature
19	about the needs of persons with developmental disabilities;
20	[and]
21	(8) carry out any other activities authorized
22	or required by the provisions of the federal Developmental
23	Disabilities Assistance and Bill of Rights Act of 2000; and
24	<u>(9) oversee the office of the state special</u>
25	education ombud.
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1 Β. The developmental disabilities planning council 2 is authorized to: 3 (1)award grants and enter into contracts to 4 carry out its duties; seek funding from sources other than the 5 (2) 6 state; 7 (3) create and support regional county or 8 local advisory councils; and 9 provide training to persons with (4) 10 developmental disabilities, their families and providers of 11 support and services through traineeships, sponsoring training 12 opportunities and by other means determined appropriate by the 13 developmental disabilities planning council." 14 SECTION 13. APPROPRIATION. -- Two hundred ninety-five 15 thousand dollars (\$295,000) is appropriated from the general 16 fund to the developmental disabilities planning council for 17 expenditure in fiscal year 2022 for the purposes of the Special 18 Education Ombud Act. Any unexpended or unencumbered balance 19 remaining at the end of fiscal year 2022 shall revert to the 20 general fund. 21 - 11 -22 23 24 25 .219454.1

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