

Notice of Proposed Rulemaking (NPRM)

Date: June 14, 2017 Prepared By: Heidi L. Macdonald

Administrative Rulemaking: Proposed Evaluations, Unsatisfactory Work Performance, and Licensure Advancement Rules

Proposed Rulemaking Abstract

- 1. Agency: Public Education Department (PED)
- 2. Rule Citation: 6.69.8 NMAC, 6.69.2 NMAC, and 6.60.6.9 NMAC
- 3. Rulemaking Action: Amend
- 4. Register Issue and Date of NPRM: Volume 28, Issue 8, April 25, 2017
- 5. Effective Date: Undetermined in 2017
- Specific Legal Authority: 6.69.8 NMAC Sections 22-2-1, 22-2-2, 22-10A-18, and 22-10A-19.2, NMSA 1978;
 6.69.2 NMAC Sections 22-10A-19 and 22-10A-27 NMSA 1978; and 6.60.6.9 NMAC Sections 22-2-1, 22-2-2, 22-10A-6, and 22-10A-8 NMSA 1978
- 7. Purpose of Rule: 6.69.8 NMAC Adjust the weight of the measures in the teacher and school leader evaluations systems, 6.69.2 NMAC Clarify the process and procedures relating to supervision and correction of unsatisfactory work performance of licensed school personnel, and 6.60.6.9 NMAC Require superintendents to consider the effectiveness rating of teachers on their annual evaluations when determining whether a teacher has had successful evaluations in the teacher's application for licensure advancement or renewal
- 8. Rulemaking Information Contact: Jamie Gonzalez, 505-827-7889
- 9. Comment Period: Submit to <u>rule.feedback@state.nm.us</u> or to Jamie Gonzalez, Policy Division, PED, Room 101, 300 Don Gaspar Avenue, Santa Fe, NM 87501, through 5 p.m. on May 26, 2017
- 10. Rule Hearing: May 26, 2017, 6.69.8 NMAC 8:00 to 11:00 a.m., 6.69.2 NMAC 12:00 to 2:00 p.m., and 6.60.6.9 NMAC 2:30 to 4:30 p.m., Jerry Apodaca Education Building, 300 Don Gaspar Avenue, Santa Fe, NM 87501

Rule Summary

6.69.8 NMAC, Teacher and School Leader Effectiveness. Proposed changes to 6.69.8 NMAC change the weight of the measures in the state's teacher and school leader evaluation systems, commonly referred to as NMTEACH. Proposed amendments to the teacher evaluation system include reducing the student achievement growth component from 50 percent to 35 percent, increasing the in-class observations component from 25 percent to 40 percent, and maintaining the multiple measures component at 25 percent, which now includes specific requirements for 15 percent based on planning, preparation, and professionalism, 5 percent based on teacher attendance (with six leave days exempt before it affects the teacher's evaluation score), and 5 percent based on student and parent perception surveys. Amendments to the school leader evaluation system include reducing the growth measures in a school's A through F letter grade from 50 percent to 35 percent and increasing the quality criteria of school leaders classroom observations of teachers as determined by PED from 25 percent to 40 percent.

The proposed amendments also add a statement noting nothing "in this rule shall be construed to infringe upon the local superintendent's discretion to make decisions about discharge and termination or to make recommendations about licensure advancement and renewal," reiterates that individual teacher



evaluations and effectiveness ratings are not "public records" and thus are not subject to Section 14-2-1 NMSA 1978, requires an informal walkthrough observation using the NMTEACH rubric for each teacher within the first 45 days of the teacher's first day of instruction beginning in the 2017-2018 school year, removes the option for a school district to develop an alternative plan for observing teachers with evaluation ratings of highly effective and exemplary, and relocates the process and procedures relating to the supervision and correction of unsatisfactory work performance for teachers with evaluation ratings of minimally effective to 6.69.2 NMAC. See Attachment 1.

6.69.2 NMAC, **Unsatisfactory Work Performance of Certified (Licensed) School Personnel**. Proposed amendments to 6.69.2 NMAC, which were relocated from 6.69.8 NMAC, clarify the process and procedures relating to the supervision and correction of unsatisfactory work performance of licensed school personnel prior to issuing a notice of intent to discharge or requesting the PED secretary to suspend a level 3 teaching license, including requiring the school district to provide the level 3 teacher with professional development, peer intervention, and mentoring before requesting the PED secretary to suspend that particular teacher's level 3 license. Additionally, the proposed rule details the process of how a teacher, who is rated minimally effective or ineffective based on their overall teacher evaluation score, can respond to the rating received, and what rights and responsibilities the teacher has to remedy the minimally effective or ineffective rating. See Attachment 2.

6.60.6.9 NMAC, **Requirements for Advancement and Renewal of Teaching Licenses**. Proposed amendments to 6.60.6.9 NMAC include a new requirement that superintendents consider the effectiveness rating from the teacher evaluation system when determining whether a teacher has had successful evaluations for the purposes of licensure advancement or renewal. Amendments allow a teacher who is applying for a level 2 or level 3 license to advance either with an effective or better rating on the teacher evaluation, through the established professional development dossier pathway, or by obtaining national board for professional teaching standards certification. Finally, the proposed amendments state a person who obtains an alternative level 1 license must complete the requirements for this license by the end of the second year, with an opportunity for an extension if adequate progress toward completion of licensure requirements has been made by the end of the second year. See Attachment 3.

Analysis

PED stated the proposed amendments to 6.69.8 NMAC came from recommendations from the Teach Plus New Mexico Policy Fellows, which is a group of 15 teachers focused on education policy. The public comments during the hearing noted this amendment was a compromise between PED and teachers, a flexibility option for the informal walkthrough observation was recommended, and concerns surrounding the preliminary injunction with the teacher evaluation lawsuit were noted.

The department stated the proposed amendments to 6.69.2 NMAC were to update the language and to place it in the appropriate section of the New Mexico Administrative Code. The public comments during the hearing included concerns that relocating the language from 6.69.8 NMAC to 6.69.2 NMAC did not comply with the preliminary injunction in the teacher evaluation lawsuit.

Finally, PED stated the proposed amendments to 6.60.6.9 NMAC were to add language to ensure superintendents kept in mind teachers' evaluation ratings when a teacher is applying for licensure advancement or renewal. Public comments during the hearing included concerns with using the value-added model system to advance or renew a teacher's license.



TITLE 6 PRIMARY AND SECONDARY EDUCATION CHAPTER 69 **SCHOOL PERSONNEL - PERFORMANCE** PART 8 TEACHER AND SCHOOL LEADER EFFECTIVENESS

6.69.8.1 **ISSUING AGENCY:** Public Education Department (PED). [6.69.8.1 NMAC - Rp, 6.69.8.1 NMAC, xx/xx/2017]

6.69.8.2 SCOPE: [Chapter 69, Part 8] This rule governs standards for determining and measuring teacher and school leader effectiveness. [6.69.8.2 NMAC - Rp, 6.69.8.2 NMAC, xx/xx/2017]

STATUTORY AUTHORITY: Sections 22-2-1, 22-2-2, 22-10A-18, 22-10A-19 and 22-10A-6.69.8.3 19.2, NMSA 1978. [6.69.8.3 NMAC - Rp, 6.69.8.3 NMAC, xx/xx/2017]

6.69.8.4 **DURATION:** Permanent.

[6.69.8.4 NMAC - Rp, 6.69.8.4 NMAC, xx/xx/2017]

6.69.8.5 **EFFECTIVE DATE:** Month Day, 2017 unless a later date is cited at the end of a section. [6.69.8.5 NMAC - Rp, 6.69.8.5 NMAC, xx/xx/2017]

6.69.8.6 **OBJECTIVE:** This rule establishes uniform procedures for conducting annual evaluations of licensed school employees, for setting the standards for each effectiveness level, for measuring and implementing student achievement growth, and for monitoring each school district's implementation of its teacher and school leader effectiveness evaluation system. [This rule also seeks to change the dynamic of placing emphasis on teacher effectiveness and provide the opportunity to acknowledge excellence, thereby replacing the binary system that emphasizes years of experience and credentials.] This rule also seeks to change the dynamic of placing emphasis on teacher effectiveness and provide the opportunity to acknowledge excellence. Continuing advancement and licensure determinations will be guided pursuant to 6.60.6.9 NMAC.

[6.69.8.6 NMAC - Rp, 6.69.8.6 NMAC, xx/xx/2017]

6.69.8.7 **DEFINITIONS:**

(4)

"Approved observer" means, for the 2013-2014 school year, an individual who holds a level 3-B A. license, is employed by a school district or charter school as an administrator and who has completed the PED's teacher observation training.

B. "Assistant principal" means a properly licensed instructional leader who assists a principal in a public school.

C. "BIE school" means a bureau of Indian education school that is governmentally owned and controlled, located in New Mexico, provides instruction for first through twelfth grades and is not sectarian or denominational.

D. "Certified observer" means, for the 2013-2014 school year, a teacher, as defined by this rule, who has a minimum of five years of verifiable consecutive classroom teaching experience, has completed the PED's teacher observation training, and who passes the PED's assessment of the adopted observation protocol.

"Certified observer" means, for the 2014-2015 school year and succeeding school years, an E. individual who:

> (1) holds an active level 3-B license or an active teaching license;

(2) is employed by a school district or charter school as an administrator or a teacher as defined by this rule;

completes the PED's teacher observation training and who passes the PED's assessment (3) of the adopted observation protocol;

receives a highly effective or exemplary rating during the previous school year; and

completes follow-up training and who passes the PED's assessment of the adopted (5) observation protocol on an annual basis; for purposes of this subsection, annual basis means the earlier of August 1 of a given school year or 90 days after hire; provided, however, that the annual training and certification is transferable within the state.

F. "Department" means the New Mexico public education department or PED. **G.** "EES" means effectiveness evaluation systems which are developed by school districts to measure the effectiveness of licensed school employees.

H. "Fidelity observations" means the requirement of school leaders to periodically observe and evaluate assigned teachers in the classroom with observations that have been documented and are verifiable.

I. "Licensed school employee" means teachers and school leaders employed in a public school.
 [J. "New Mexico standards based assessment (SBA)" means the collection of instruments that assess student academic performance annually and the students' progress toward meeting the New Mexico content standards with benchmarks and performance standards.]

J. "Novice Teacher" means a person who holds a level one or a level one alternative license and is in their first year three years of teaching.

K. "Principal" means the chief instructional leader and administrative head of a public school.

L. "School district" means one of the 89 political subdivisions of the state created for the administration of public schools and includes those state-authorized charter schools that have not requested waiver of evaluation standards for school personnel. District-authorized charter schools are excluded from being considered a school district for purposes of this rule.

M. "School district superintendent" means the chief executive officer of a school district and the head administrator of a charter school.

N. "School leader" means a principal or assistant principal employed in a public school.

O. "State agency" means the New Mexico military institute, the New Mexico school for the blind and visually impaired, the New Mexico school for the deaf, any juvenile detention center or facility served by the juvenile justice service of the children youth and families department, the New Mexico youth diagnostic and development center, the Sequoyah adolescent treatment center of the department of health, Carrie Tingley crippled children's hospital, the New Mexico behavioral health institute at Las Vegas and any other state agency responsible for educating resident children.

P. "Teacher" means a person who holds a [level one, two or three A license] level 1, 2, or 3-A license and whose primary duty is classroom instruction or the supervision, below the school principal level, of an instructional program or whose duties include curriculum development, peer intervention, peer coaching or mentoring or serving as a resource teacher for other teachers. "Teacher" shall not include any person issued a Native American language and culture certificate pursuant to the School Personnel Act [Sections 22-10A-1 to 22-10A-39 NMSA 1978].

[6.69.8.7 NMAC - Rp, 6.69.8.7 NMAC, xx/xx/2017]

6.69.8.8 EFFECTIVENESS EVALUATION SYSTEMS:

A. [As soon as possible but not later than the commencement of the 2013-2014 school year, all school districts shall develop and submit to the department for approval and for implementation during the 2013-2014 school year, an effectiveness evaluation system for measuring performance of licensed school employees.] Nothing in this rule shall be construed to infringe upon the local superintendent's discretion to make decisions about discharge and termination or to make recommendations about licensure advancement or renewal.

B. School districts may continue to use the highly objective uniform statewide standards of evaluation described in 6.69.4 NMAC for evaluating, promoting, terminating and discharging licensed school employees for performance during the 2012-2013 school year.

C. Each school district shall report annually to the department the results of its effectiveness evaluations of its licensed school employees and the alignment of its effectiveness evaluation system with the three-tiered licensure system.

D. A teacher and school leader EES shall:

(1) be designed to support effective instruction and student achievement, with the results used to inform school district and school level improvement plans;

(2) provide appropriate instruments, procedures and criteria and continuous quality improvement of professional skills, with results used to support the professional development of licensed school employees;

(3) include a mechanism to examine effectiveness data from multiple sources, which may include giving parents and students opportunities to provide input into effectiveness evaluations when appropriate;

(4) identify those teaching fields for which special evaluation procedures and criteria may be developed in a manner that is consistent and reliable;

(5) include measures of student achievement growth worth [$\frac{50\%}{25\%}$] thirty-five percent, observations worth [$\frac{25\%}{25\%}$] forty percent, and other multiple measures worth [$\frac{25\%}{25\%}$] twenty-five percent, unless otherwise provided for;

(6) differentiate among at least five levels of performance, which include the following:

- (a) exemplary, meets competency;
 - (b) highly effective, meets competency;
 - (c) effective, meets competency;
 - (d) minimally effective, does not meet competency; and
- (e) ineffective, does not meet competency.

E. Teacher and school leader effectiveness evaluation procedures for licensed school employees shall be based on the performance of students assigned to their classrooms or public schools.

F. Every public school classroom teacher who teaches in a grade or subject that has a standardsbased assessment that would permit the calculation of student achievement growth, must have an annual effectiveness evaluation, provided that:

(1) each evaluation shall be based on sound educational principles and contemporary research in effective educational practices; and

(2) the student achievement growth component of a teacher's effectiveness evaluation shall be based on:

[(a) valid and reliable data and indicators of student achievement growth assessed annually through a combination of 35% standards based assessment and 15% additional department approved assessments, for a total of 50%, provided that this calculation shall not be based upon a single test score. For the 2013-2014 school year, if at least 45% of the total is based upon standards based assessments, student surveys may constitute up to 5%.

(b) assessments that are selected by a school district from a list of options approved by the department for any subjects and grade levels not measured by state assessments; and

(c) the PED adopted measure of student achievement growth calculated for all courses associated with state assessments and for which the school district shall select comparable measures of student achievement growth for other grades and subjects.]

(a) valid and reliable data and indicators of teacher impact on student achievement growth assessed annually and based upon department-approved assessments, provided that this calculation shall not be based on a single test score;

(b) thirty-five percent of a teacher's evaluation will be comprised of this calculation G. Every public school classroom teacher who teaches in a grade or subject that does not have a standards-based assessment, also must have an annual effectiveness evaluation, provided that:

(1) each evaluation shall be based on sound educational principles and contemporary research in effective educational practices; and

[(2) the student achievement growth component of a teacher's effectiveness evaluation shall be based on:

(a) valid and reliable data and indicators of student achievement growth assessed annually on district selected and department approved assessments, for a total of 50%. For the 2013 2014 school year, student surveys may constitute up to 5%.

(b) assessments that are selected by a school district from a list of options approved by the department for any subjects and grade levels not measured by department approved assessments; and

(c) the PED adopted measure of student achievement growth calculated for all courses associated with department approved assessments and for which the school district shall select comparable measures of student achievement growth, and approved by the PED, for other grades and subjects.]

(2) the student achievement growth component of a teacher's effectiveness evaluation shall be based on valid and reliable data and indicators of teacher impact on student achievement growth assessed annually.

H. An EES shall base at least [25% twenty five percent] forty percent of the results on data and indicators of instructional practice for teachers. School leaders shall observe instructional practice of teachers using common research-based observational protocol approved by the department that correlates observations to improved student achievement.

I. An EES shall base at least twenty-five percent of the results on other measures of teacher effectiveness, of which fifteen percent are planning, preparation and professionalism, five percent are associated

with a teacher's attendance, provided that a teacher may use up to six days of leave before it is calculated into the summative report, and five percent with student and parent perception surveys.

[I]J. Effectiveness evaluation criteria for evaluating classroom teachers shall include indicators based on research-based instructional practices as determined by the department.

[J]K. School districts that receive funding under the Bilingual Multicultural Education Act [Sections 22-23-1 to 22-23-6 NMSA 1978] or with students possessing limited English proficiency should ensure that they are doing all they can to carry out all state and federal activities and programs to assist those student populations.

[K]L. Individual teacher evaluations and effectiveness ratings (including components of the evaluation such as observations, student achievement growth data, and any other measures of effectiveness) that are collected or maintained by the PED or any local board of education or governing authority shall not constitute public records and shall not be subject to disclosure pursuant to 14-2-1 NMSA 1978.

K. The department maintains a list of approved assessment options and effectiveness evaluation measures and criteria for evaluating classroom teachers on its website, which can be accessed at http://ped.state.nm.us/ and used by school districts for determining the student achievement growth component and evaluation criteria in a teacher's effectiveness evaluation.

[6.69.8.8 NMAC - Rp, 6.69.8.8 NMAC, xx/xx/2017]

[The department maintains a list of approved assessment options and effectiveness evaluation measures and criteria for evaluating classroom teachers on its website, which can be accessed at http://ped.state.nm.us/ and used by school districts for determining the student achievement growth component and evaluation criteria in a teacher's effectiveness evaluation.]

6.69.8.9 STUDENT ACHIEVEMENT GROWTH AND STUDENT ASSESSMENT PROGRAMS:

[A. School districts shall use the department adopted student achievement growth measure to measure the growth in achievement of each student, provided that a school district may request permission to use a combination of the department approved achievement growth measure and an alternative student achievement measure for non tested subjects and grades which is department approved.

B. Whenever possible, an EES rating the performance of a classroom teacher shall include three years or more of student achievement growth data.

C. An EES rating the performance of any teachers who are assigned to courses not associated with state assessments may, upon request by their school district through the EES approval process, be permitted to include achievement growth that is demonstrated on state assessments as a percentage of the overall effectiveness evaluation. If that request is permitted and a percentage applied:

(1) achievement growth on the state assessment shall be based on the students assigned to the teacher; and

(2) the achievement growth of the teacher's assigned content area, as measured by the district selected assessment, shall be the greater percentage.

D. Beginning with school year 2013 2014, if a school district has not implemented appropriate assessments of courses for classroom teachers nor adopted a comparable measure of student achievement growth, student achievement growth shall be measured by:

(1) the growth in achievement of the classroom teacher's student on state assessments; (2) the school's A through F letter grade pursuant to 6.19.8 NMAC for courses in which enrolled students do not take the state assessment, provided that a school district may assign instructional team student achievement growth to classroom teachers in lieu of using the school grade growth calculation; or

(3) state developed end of course examinations or other PED recommended options.
 Beginning with the 2013-2014 school year, each school district shall be responsible for measuring the achievement gains of their students in all subjects and grade levels other than subjects and grade levels required for the state student achievement testing programs. To accomplish this, each school district shall administer a student assessment for each course they offer that measures mastery of the content as described in the state-adopted course description at the necessary level of rigor for the course. The student assessments may include:

(1) statewide assessments currently administered in mathematics and reading;
 (2) other standardized assessments approved by the department, including nationally

recognized standardized assessments;

(3) industry certification examinations; and

(4) department-approved school district-developed or selected end-of-course assessments.

F. A school district may develop its own assessment that measures student achievement growth for classroom teachers who do not teach in a standards based assessment grade or subject, provided that, it submits the assessment to the department for approval.]

A. School districts shall use the department-adopted student achievement growth measure to measure the growth in achievement of each student as applied to the teacher's effectiveness rating.

B. Whenever possible, an EES rating the performance of a classroom teacher shall include three years of student achievement growth data.

C. Beginning with the 2016-2017 school year, each school district shall administer a student assessment for each course they offer that measures mastery of the content as described in the state-adopted course description at the necessary level of rigor for the course. The student assessments may include:

(1) statewide assessments currently administered in mathematics, reading, science and early literacy; and

(2) department-approved end-of-course assessments.

[6.69.8.9 NMAC - Rp, 6.69.8.9 NMAC, xx/xx/2017]

[The department maintains a list of approved student achievement growth measures on its website, which can be accessed at http://ped.state.nm.us/ and used by school districts for determining the growth in advancement of each student.]

6.69.8.10 EFFECTIVENESS EVALUATIONS OF SCHOOL LEADERS:

A. Every school leader must have an annual effectiveness evaluation, which shall be conducted by a qualified person and approved by PED.

B. All EES ratings for the performance of a school leader shall be based [50%] thirty-five percent on the [change] growth measures in a school's A through F letter grade that has been assigned pursuant to 6.19.8 NMAC, [25%] twenty-five percent based on the school's multiple measures and [25%] forty percent based upon [documented fidelity observations of the school leader] quality criteria of school leaders classroom observations of teachers as determined by the department.

C. The effectiveness evaluation of school leaders shall, whenever possible, include growth based on three years of data for students assigned to the public school, provided that, the student achievement growth component of the effectiveness evaluation shall be based on the [change] growth measures in the school's A through F letter grade pursuant to 6.19.8 NMAC.

D. The department maintains a list of leadership standards on its website, which can be accessed at http://ped.state.nm.us/ and used by school districts in establishing indicators for conducting effectiveness evaluation of school leaders.

[6.69.8.10 NMAC - Rp, 6.69.8.10 NMAC, xx/xx/2017]

[The department maintains a list of leadership standards on its website, which can be accessed at

http://ped.state.nm.us/ and used by school districts in establishing indicators for conducting effectiveness evaluation of school leaders.]

6.69.8.11 EVALUATIONS, OBSERVATIONS, REPORTS AND POST-EVALUATION CONFERENCES:

[A. During the 2013 2014 school year, every classroom teacher must be observed using one of the following options, with at least one of the required observations conducted by the school principal or assistant principal:

(1) three observations conducted by the same approved observer; or

(2) two observations, consisting of one observation by each of two different approved observers; or

(3) two observations consisting of one observation by an approved observer and one observation by a certified observer.

B. During the 2014 2015] **A.** Beginning with 2017-2018 school year and during each succeeding school year, every classroom teacher must be <u>formally</u> observed using one of the following options, with at least one of the required observations conducted by the school principal or assistant principal:

(1) three observations conducted by the same certified observer; or

(2) two observations, consisting of one observation by each of two different certified

observers.

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B. In addition to formal observations, an informal walkthrough observation shall be conducted using the NMTEACH rubric, with feedback provided, for each teacher within the first 45 days of the teacher's first day of instruction.

[C. For the 2014 2015 school year and succeeding school years, districts may propose alternative plans for observing teachers who have highly effective and exemplary performance ratings. The plans must be submitted to the PED for approval and must provide that at least one observation is conducted by the school principal or assistant principal.]

 $[\mathbf{D}_{\mathbf{r}}]$ <u>C</u>. An approved or certified observer must use a PED-developed protocol and form that contains at a minimum the observer's name, the classroom teacher's name, the date, the start and stop time of the observation, the number of students present, space for subjective and objective observation, and a total point score for that teacher. An external observer must further:

(1) complete the written observation of a classroom teacher before leaving the school on the day of the observation;

(2) agree to maintain confidentiality of the observation and agree not to discuss the observations with anyone except the principal; and

(3) verify that the observer has not retained or removed a copy of the observation or field notes from school premises.

[E.] D. Written feedback from a school leader and an approved or certified observer shall be provided to an observed classroom teacher within ten calendar days after observation is completed, which observation can occur over more than one day, provided that a school district's EES permits this.

[**F.** Upon approval by the department, multiple measures adopted by a school district for use in their EES by the school districts shall constitute [25%] twenty five percent of their teacher and school leader EES, provided that:

(1) the multiple measures align with improved student achievement; and

(2) each school district adopts at least two multiple measures which shall be used district

(1) may provide for the supervisor to consider input from other trained evaluators and observers provided that they are not also supervised by the supervisor nor are related by blood or marriage to the supervisor; and

(2) shall provide for contingencies if a supervisor leaves a school district for any reason prior to completing the required effectiveness evaluations of all teachers within that supervisor's responsibilities.

[**H.** Every person who evaluates a licensed school employee under this rule shall submit an original written report to the school district superintendent and an exact copy to the licensed school employee being evaluated. The effectiveness evaluation shall not be changed once each component is completed and it has been delivered to either the school district superintendent or the licensed school employee being evaluated.

I. A licensed school employee rated minimally effective or ineffective may provide a written statement in response to their effectiveness evaluation and that statement shall become a permanent attachment to that employee's evaluation file.

J. Every person who rates a licensed school employee minimally effective or ineffective shall describe in detail the minimally effective or ineffective performance and inform the licensee in writing:

(1) of a right to a post evaluation conference which the evaluator must convene and which shall occur no later than ten days after the evaluation is completed unless the employee agrees to an extension;

(2) that during the conference the evaluator will make recommendations to the employee with respect to specific areas of unsatisfactory performance and provide feedback that lays the initial framework for an individual professional growth plan;

(3) that the evaluator will provide assistance in helping the employee correct unsatisfactory performance and that the district will extend strategic support aligned to best practices identified by the department to assist the employee to correct unsatisfactory performance;

(4) that if the employee has an employment contract, the employee shall be placed on a performance growth plan for 90 school days from receipt of the notice of minimally effective or ineffective performance, provided that:

(a) the 90 days shall not include weekends, school holidays or school vacation periods, declared snow days, and approved employee leave days;

wide.

ATTACHMENT 1

(b) during the 90 days the licensed school employee shall be observed and evaluated periodically, that is, more than four times in writing and shall be informed of the results of those observations; and (c) the evaluator shall maintain documentation of having provided assistance and notification of in service training opportunities to help correct the performance deficiencies noted of the licensed school employee; and

(5) that receipt of the notice shall constitute notice of uncorrected unsatisfactory work performance pursuant to Section 22 10A 3 NMSA 1978 and 6.69.2 NMAC.

K. Within five school days after the expiration of the 90 day performance growth plan, the evaluator shall determine whether the performance deficiencies have been corrected and forward a written recommendation to the school district superintendent.

L. Within 10 school days after receipt of that written recommendation, the school district superintendent shall in writing notify the licensed school employee who has an employment contract with the school district whether the performance deficiencies have been satisfactorily corrected. A copy of the evaluator's recommendation shall accompany that notice.

M. If satisfactory progress has not been made, the local superintendent shall determine whether to discharge or terminate the employee pursuant to Sections 22–10A–27 or 22–10A–24, NMSA 1978.

N. An employee who has been placed on a 90 day performance growth plan because of minimally effective or ineffective performance and who has not been employed by a school district for three consecutive years, shall have no reasonable expectation of continued employment beyond the end of the contract year by reason of being on a growth plan.

O. The school district superintendent shall provide written notice to the educator quality division of the department of the name and licensure file number of all licensed school employees who have received two consecutive minimally effective or ineffective performance ratings and who have been given a written notice of proposed discharge or of proposed termination, or who have resigned their employment after receiving either of these ratings.]

[6.69.8.11 NMAC - Rp, 6.69.8.11 NMAC, xx/xx/2017]

6.69.8.12 APPEAL OF EFFECTIVENESS EVALUATIONS:

A. A school district shall adopt procedures for permitting expedited review for the purpose of a licensed school employee requesting an exemption from being rated during a given school year under the school district's EES based only upon extraordinary circumstances.

B. The procedures shall require a written appeal to be submitted to the appellate reviewer within no more than 15 calendar days of receipt of a written notice that the licensed employee's performances deficiencies have not been satisfactorily corrected.

C. Appeals shall be received in a manner that permits verification of the date of receipt.

D. The person who evaluated the licensed school employee shall not be same person who receives and determines the appeal.

E. An exemption from the provisions of this rule can only be granted for one school year based upon extraordinary circumstances, which shall consist of:

(1) a licensed school employee's not having performed services during an entire school year, excluding days out for approved leave and school holidays or closure days, for reasons beyond the employee's control;

(2) a licensed school employee's not being able to perform services for extended periods during a school year due to documented medical reasons of the employee or of the employee's spouse, live-in partner or a child;

(3) a licensed school employee's not being able to perform services for extended periods during a school year due to the death of the employee's spouse, live-in partner or a child; or

(4) a licensed school employee's not having been afforded a full 90 days to demonstrate growth in performance for any reason including the employee's own illness, provided that it shall be the employee's burden to provide verification of not being afforded the full 90 days.

F. All decisions on appeals rendered under this section shall be final and not further reviewable by anyone else at the school district or by the PED.

[6.69.8.12 NMAC - Rp, 6.69.8.12 NMAC, xx/xx/2017]

6.69.8.13 TEACHERS AND ADMINISTRATORS IN NON-PUBLIC SCHOOLS:

A. Only licensed teachers and school leaders employed in schools subject to the A-B-C-D-F Schools Rating Act [Sections 22-2E-1 to 22-2E-4 NMSA 1978] shall be governed by any requirement or provision of this rule.

B. Specifically, neither licensed teachers nor administrators employed in private schools, BIE schools or state agencies shall be governed by any requirement or provision of this rule. [6.69.8.13 NMAC - Rp, 6.69.8.13 NMAC, xx/xx/2017]

HISTORY OF 6.69.8 NMAC: [RESERVED]

HISTORY OF REPEALED MATERIAL: 6.69.8 NMAC, Teacher and School Leader Effectiveness, filed 08-30-2012 - Repealed effective xx-xx-2017.

TITLE 6PRIMARY AND SECONDARY EDUCATIONCHAPTER 69SCHOOL PERSONNEL - PERFORMANCEPART 2UNSATISFACTORY WORK PERFORMANCE OF CERTIFIED (LICENSED) SCHOOL
PERSONNEL

6.69.2.1 ISSUING AGENCY: Public Education Department (PED). [6.69.2.1 NMAC - Rp, 6.69.2.1 NMAC, xx/xx/2017]

6.69.2.2 SCOPE: This regulation applies to local school boards, governing authorities of state agencies and certified (licensed) school personnel. [6.69.2.2 NMAC - Rp, 6.69.2.2 NMAC, xx/xx/2017]

6.69.2.3 STATUTORY AUTHORITY: This regulation is adopted pursuant to Section 22-10A-19, 22-10A-27 NMSA 1978.

[6.69.2.3 NMAC - Rp, 6.69.2.3 NMAC, xx/xx/2017]

6.69.2.4 DURATION: Permanent [6.69.2.4 NMAC - Rp, 6.69.2.4 NMAC, xx/xx/2017]

6.69.2.5 EFFECTIVE DATE: Month Day, 2017 unless a later date is cited at the end of a section. [6.69.2.5 NMAC - Rp, 6.69.2.5 NMAC, xx/xx/2017]

6.69.2.6 OBJECTIVE: This regulation establishes procedures for supervising and correcting "unsatisfactory work performance" of licensed school personnel before notice of intent to discharge is served upon them or before requesting the secretary [of education] to suspend a level three teaching license for unsatisfactory work performance at level three licensure, and further to distinguish between the terms "unsatisfactory work performance" and "insubordination".

[6.69.2.6 NMAC - Rp, 6.69.2.6 NMAC, xx/xx/2017]

6.69.2.7 DEFINITIONS:

[A. "Administrative authority" means the superintendent, principal or a person acting under the authority of such superintendent or principal.

B. "Insubordination" means actual or implied willful refusal to follow written policies, regulations, rules, or procedures established by the public education department (PED), the local school board, or administrative authorities, or the lawful written or oral orders, requests or instructions of administrative authorities.

C. "Uncorrected unsatisfactory work performance" means unsatisfactory work performance which the licensed school personnel has failed to correct pursuant to the provisions in this regulation; provided, however, that if unsatisfactory work performance is uncorrectable through the evaluation and supervision process, as determined by the local school board policy, the provisions in this regulation shall not apply.

D. "Unsatisfactory work performance" means the failure by licensed school personnel to satisfactorily perform those tasks which are evaluated by the employee's supervisors, pursuant to the school district's approved plans) for evaluation and supervision of its licensed employees. Furthermore, for the purpose of this regulation unsatisfactory work performance does not include insubordination or conduct deemed to be outside the normal scope of duties of licensed school personnel.]

A. "administrative authority" means the superintendent, principal or a person acting under the authority of such superintendent or principal.

B. "insubordination" means actual or implied willful refusal to follow written policies, regulations, rules, or procedures established by the department, the local school board, or administrative authorities; or the lawful written or oral orders, requests, or instructions of administrative authorities.

C. "secretary" means the secretary of education department

D. "uncorrected unsatisfactory work performance" means unsatisfactory work performance which the licensed school personnel has failed to correct pursuant to the provisions in this regulation; provided, however, that if unsatisfactory work performance is uncorrectable through the evaluation and supervision process, as determined by the local school board policy, the provisions in this regulation shall not apply.

E. "unsatisfactory work performance" means the failure by licensed school personnel to satisfactorily perform those tasks which are evaluated by the employee's supervisors, pursuant to the school district's approved

plans for evaluation and supervision of its licensed employees. For the purpose of this regulation unsatisfactory work performance does not include insubordination or conduct deemed to be outside the normal scope of duties of licensed school personnel. [6.69.2.7 NMAC - Rp, 6.69.2.7 NMAC, xx/xx/2017]

6.69.2.8 UNCORRECTED UNSATISFACTORY WORK PERFORMANCE:

A. Uncorrected unsatisfactory work performance is good cause for discharging licensed school personnel or for requesting the secretary of education to suspend a level three teaching license as provided in Subsection F of 6.69.4.10 NMAC so long as procedures established in Subsection B of Section 6.69.2.8 NMAC herein are followed.

B. The following procedures shall be followed by local school boards or governing authorities of state agencies in supervising and correcting unsatisfactory work performance of licensed school personnel before serving them with notice of intent to discharge pursuant to Section 22-10A-27 NMSA 1978 or before requesting the secretary of education to suspend a level three teaching license under Subsection F of 6.69.4.10 NMAC.

[(1) Two or more conferences shall have been held with licensed school personnel charged with unsatisfactory work performance by a local school board or governing authorities of state agencies before notice of intent to discharge is served upon him or her. Such conferences shall be held with the individual's immediate supervisor and such other persons as the local board or governing authorities of state agencies may designate. For purposes of this regulation, the conference at which the supervisor first identifies unsatisfactory work performance shall be counted as one of the required conferences. Sufficient time shall have elapsed between the conferences to allow the licensed school personnel to correct the unsatisfactory work performance and to have been observed for an adequate time in the discharge of his or her duties.

(2) A written record of all conferences shall be made, specifying the areas of uncorrected unsatisfactory work performance, all action suggested by the school or agency administration which might improve such performance, and all improvements made. Each written record shall be signed by all parties to the conference. In the event of a refusal to sign, a notation shall be made of the refusal. A copy of each record shall be given to the person charged with unsatisfactory work performance. The local board or governing authority of a state agency shall retain a copy of the record to be introduced at any hearing for the person charged with unsatisfactory work performance.

(3) In addition to the requirements in Subsection B of 6.69.2.8 NMAC, before requesting the secretary of education to suspend a level three teaching license as provided in Subsection F of 6.69.4.10 NMAC a local school district or governing authorities of state agencies shall provide the teacher with professional development and peer intervention, including mentoring, for a period the school principal deems necessary.]

C. Every person who evaluates a licensed school employee under this rule shall submit an original written report to the school district superintendent, and an exact copy to the licensed school employee being evaluated. The effectiveness evaluation shall not be changed once each component is completed and delivered to either the school district superintendent or the licensed school employee being evaluated. In addition to the requirements in Subsection B of 6.69.2.8 NMAC, before requesting the secretary to suspend a level three teaching license as provided in Subsection F of 6.69.4.10 NMAC a local school district or governing authority of state agencies shall provide the teacher with professional development and peer intervention, including mentoring, for a period the school principal deems necessary.

D. A licensed school employee rated minimally effective or ineffective may provide a written statement in response to their effectiveness evaluation, and that statement shall become a permanent attachment to that employee's evaluation file.

E. Every person who rates a licensed school employee minimally effective or ineffective shall describe in detail the minimally effective or ineffective performance and, in writing, inform the licensee of the following:

(1) the right to a post-evaluation conference which the evaluator must convene and which shall occur no later than ten days after the evaluation is completed unless the employee agrees to an extension;

(2) that during the conference the evaluator will make recommendations to the employee with respect to specific areas of unsatisfactory performance and provide feedback that establishes the initial framework for an individual professional growth plan;

(3) that the evaluator will provide assistance in helping the employee correct unsatisfactory performance and the district will extend strategic support aligned to best practices identified by the department to assist the employee in correction of the unsatisfactory performance;

(4) that if the employee has an employment contract, the employee shall be placed on a performance growth plan for 90 school days from receipt of the notice of minimally effective or ineffective performance, provided that:

(a) the 90 days shall not include weekends, school holidays or school vacation periods, declared snow days, and approved employee leave days;

(b) during the 90 days the licensed school employee shall be observed and evaluated more than four times in writing and shall be informed of the results of those observations; and

(c) the evaluator shall maintain documentation of having provided assistance and notification of in-service training opportunities to help correct the performance deficiencies noted of the licensed school employee; and

(5) that receipt of the notice shall constitute notice of uncorrected unsatisfactory work performance pursuant to Section 22-10A-3 NMSA 1978 and 6.69.2 NMAC.

F. Within five school days after the expiration of the 90-day performance growth plan, the evaluator shall determine whether the performance deficiencies have been corrected and forward a written recommendation to the school district superintendent.

G. Within 10 school days after receipt of that written recommendation, the school district superintendent or charter school head administrator shall provide the licensed school employee who has an employment contract with the school district written notification expressing whether the performance deficiencies have been satisfactorily corrected. A copy of the evaluator's recommendation shall accompany that notice.

H. If satisfactory progress has not been made, the local superintendent or charter school head administrator shall determine whether to discharge or terminate the employee pursuant to Sections 22-10A-27 or 22-10A-24, NMSA 1978.

I. An employee who has been placed on a 90-day performance growth plan because of minimally effective or ineffective performance, and who has not been employed by a school district for three consecutive years shall have no reasonable expectation of continued employment beyond the end of the contract year by reason of being on a performance growth plan.

J. The school district superintendent or charter school head administrator shall provide written notice to the educator quality division with the name and licensure file number of all licensed school employees who have received two consecutive minimally effective or ineffective performance ratings and who have been given a written notice of proposed discharge or of proposed termination, or who have resigned their employment after receiving either of these ratings.

[6.69.2.8 NMAC - Rp, 6.69.2.8 NMAC, xx/xx/2017]

HISTORY OF 6.69.2 NMAC:

PRE-NMAC HISTORY: The material in this regulation is derived from that previously filed with the State Records Center and Archives under:

State Board of Education Regulation No. 74-6, Regulation Governing Procedures to be Followed by Local School Boards in Supervising and Correcting Unsatisfactory Work Performance of Certified School Personnel, filed March 14, 1974;

State Board of Education Regulation No. 75-8, Regulation Governing Procedures to be Followed by Local School Boards in Supervising and Correcting Unsatisfactory Work Performance of Certified School Personnel, filed July 14, 1975;

State Board of Education Regulation No. 76-24, Insubordination and Unsatisfactory Work Performance of Certified School Personnel, filed December 16, 1976;

State Board of Education Regulation No. 77-1, Insubordination and Unsatisfactory Work Performance of Certified School Personnel, filed February 2, 1977; and

State Board of Education Regulation No. 89-1, Unsatisfactory Work Performance of (Certified) Licensed School Personnel, filed February 10, 1989.

HISTORY OF REPEALED MATERIAL: 6.69.2 NMAC, Unsatisfactory Work Performance of Certified (Licensed) School Personnel, filed 12-31-1998 - Repealed effective xx-xx-2017.

This is an amendment to 6.60.6 NMAC, Section 9, effective xx/xx/xx17.

6.60.6.9 **REQUIREMENTS FOR ADVANCEMENT AND RENEWAL OF TEACHING LICENSES:**

A. A teacher holding a valid level 1 license and seeking a level 2 license pursuant to the provisions of this rule shall meet the following requirements, which may not be waived or substituted by any other experience:

(1) complete three full school years of teaching experience as the teacher of record at standard level 1 New Mexico licensure with successful annual evaluations <u>as determined by the local</u> <u>superintendent. The local superintendent shall consider the effectiveness ratings from the NMTEACH evaluation</u> <u>system as a consideration in determining whether the teacher has had successful evaluations. The superintendent</u> <u>will consider all annual evaluations that have occurred during the term of the level 1 license</u>, except that a teacher who has completed two full school years of teaching experience while holding standard teaching licensure in New Mexico or in another state or country or has obtained level 1 New Mexico teaching licensure through reciprocity in 6.60.4 NMAC and is seeking advancement to level 2 may be required by a local New Mexico school district to complete up to two full years of teaching experience in New Mexico before being eligible for licensure advancement to level 2 except that a person who has completed one full school year of teaching in another state or country must teach for two full school years under standard level 1 New Mexico licensure; and

(2) [submit, in a form acceptable to the director, a completed licensure application and either a professional development dossier (PDD), as provided in 6.69.4.11 NMAC or certification from the national board for professional teaching standards, and beginning in 2005, where the PDD may be submitted up to three months in advance of the completion of the requirement in Paragraph (1) of Subsection A of 6.60.6.9 NMAC with final PDD approval dependent upon the completion of three full years of teaching experience at level 1 licensure. Dossiers may be submitted only between the following dates:

(a) for school year 2009/2010, October 15, 2009 and March 31, 2010;
 (b) for school year 2010/2011, July 15, 2010 and March 31, 2011;
 (c) for all subsequent years. July 15 and March 31;] submit, in a form acceptable to

the director of licensure, a completed licensure application, along with the superintendent's assurance that the superintendent has considered the NMTEACH effectiveness ratings over the term of the level 1 license, as well as the superintendent's determination of successful annual evaluations. The teacher may apply for advancement by establishing either an effective or better rating on the NMTEACH evaluation system, as determined by the department; or, if the teacher does not meet the NMTEACH requirements, submit a professional development dossier (PDD), as provided in 6.69.4.11 NMAC or certification from the national board for professional teaching standards. The application for advancement may be submitted up to three months in advance of the completion of the requirement in Paragraph (1) of Subsection A of 6.60.6.9 NMAC with final approval dependent upon the completion of three full years of teaching experience at the level 1 licensure.

(3) complete the mentorship requirement for beginning teachers in 6.60.10 NMAC.
 B. A teacher holding a valid level 2 license and seeking a level 3-A license pursuant to the provisions of this rule shall meet the following requirements, which may not be waived or substituted by any other experience:

(1) complete three full school years of teaching experience as the teacher of record at standard level 2 New Mexico licensure with successful annual evaluations <u>as determined by the local</u> <u>superintendent. The local superintendent shall consider the effectiveness ratings from the NMTEACH evaluation</u> <u>system as a consideration in determining whether the teacher has had successful evaluations. The superintendent will consider all annual evaluations that have occurred during the term of level 2 license, except that a teacher who has four or more full school years of teaching experience while holding standard teaching licensure in New Mexico or in another state or country or has obtained level 2 New Mexico teaching licensure through reciprocity in 6.60.4 NMAC and who is seeking advancement to level 3-A may be required by a local New Mexico school district to complete up to two full years of teaching experience in New Mexico before being eligible for licensure advancement to level 3-A; and</u>

(2) hold a post-baccalaureate degree from a regionally accredited college or university or hold certification from the national board for professional teaching standards; and

(3) [submit, in a form acceptable to the director, a completed licensure application and either a professional development dossier (PDD), as provided in 6.69.4.11 NMAC or certification from the national board for professional teaching standards, and beginning in 2005, where the PDD may be submitted up to three months in advance of the completion of the requirement in Paragraph (1) of Subsection B of 6.60.6.9 NMAC with final PDD approval dependent upon the completion of three full years of teaching experience at level 2 licensure. Dossiers may be submitted only between the following dates:

(a) for school year 2009/2010, October 15, 2009 and March 31, 2010;

(b) for school year 2010/2011, July 15, 2010 and March 31, 2011;

(c) for all subsequent years, July 15 and March 31;] submit, in a form acceptable to the director of licensure, a completed licensure application, along with the superintendent's assurance that the superintendent has considered the NMTEACH effectiveness ratings over the term of the level 2 license, as well as the superintendent's determination of successful annual evaluations, the teacher may apply for advancement by establishing either an effective or better rating on the NMTEACH equirements, submit a professional development dossier (PDD), as provided in 6.69.4.11 NMAC or certification form the national board for professional teaching standards. The application for advancement may be submitted up to three months in advance of the completion of the requirement in Paragraph (1) of Subsection A of 6.60.6.9NMAC with final approval dependent upon the completion of three full years of teaching experience at level 2 licensure.

C. A teacher holding a valid level 2 or level 3-A teaching license and seeking licensure renewal at the same level as the current license shall meet the requirements of either (1) or (2) below:

(1) If renewing a license through the PED, submit, along with the fee specified in 6.60.7.8 NMAC, a completed application for licensure renewal to the director and verification from the superintendent of the local school district or the governing authority of the state institution, charter school, or private school by which the applicant has been most recently employed as of the date of the application for licensure renewal, that the applicant has satisfactorily demonstrated the competencies for the current level of licensure and has met other requirements of the high objective uniform standard of evaluation for the current level of licensure as evidenced by the teacher's annual evaluations as determined by the local superintendent. The local superintendent shall consider the effectiveness ratings from the NMTEACH evaluation system as a consideration in determining whether the teacher has had successful annual evaluations. The superintendent will consider all annual evaluations that have occurred during the term of the current license.

If renewing a license through a local New Mexico school district, state institution, charter (2) school, or private school, complete the PED's application for licensure renewal. The employing school district, charter school, state institution, or private school shall attach to the application the PED's verification by the superintendent of the local school district or the governing authority of the state institution, charter school, or private school by which the applicant has been most recently employed as of the date of the application for licensure renewal, that the applicant has satisfactorily demonstrated the competencies for the level of licensure sought and has met other requirements of the high objective uniform standard of evaluation for the level of licensure as is evidenced by the teacher's annual evaluations as determined by the local superintendent. The local superintendent shall consider the effectiveness ratings from the NMTEACH evaluation system as a consideration in determining whether the teacher has had successful annual evaluations. The superintendent will consider all annual evaluations that have occurred during the term of the current license. These documents will be maintained on file in the teacher's personnel file in the local school district, state institution, charter school, or private school. The superintendent or the superintendent's designee will authorize the PED to issue the renewal of licensure through electronic notification procedures established by the PED when the PED determines such an electronic system is viable and operational. The license shall be printed by, and mailed to the license holder from, the PED. Under no circumstances will local school districts directly issue or print a New Mexico educator license. The PED shall determine how or if renewal fees authorized in 6.60.7.8 NMAC shall be paid by an applicant who renews the license under Paragraph (2) of Subsection C of 6.60.6.9 NMAC.

(3) If a teacher does not satisfactorily demonstrate the competencies for the level of licensure or other requirements of the high objective uniform standard of evaluation for licensure renewal, the applicant, depending on the outcome of any due process proceeding under the Uniform Licensing Act, sections 61-1-1 through 61-1-31, NMSA 1978, might not be issued a license.

D. A person holding a valid level 3-A license may choose not to renew the level 3-A license and apply for a level 2 license. The superintendent of the local school district or the governing authority of the state institution, charter school, or private school by which the applicant has been most recently employed as of the date of the application for licensure must submit to the director a verification that the applicant has satisfactorily met the high objective uniform standard of evaluation for level 2 license as is evidenced by the teacher's annual evaluations.

E. If a level 3-A teacher does not satisfactorily meet the high objective uniform standard of evaluation for level 3-A licensure renewal, the applicant, depending on the outcome of any due process proceeding under the Uniform Licensing Act, sections 61-1-1 through 61-1-3-1, NMSA 1978, might not be issued a level 3-A license. In that case, the applicant may be issued a level 2 license if the superintendent of the local school district or the governing authority of the state institution, charter school, or private school by which the applicant has been most recently employed as of the date of the application for licensure renewal, submits to the director a verification

that the applicant has satisfactorily met the high objective uniform standards of evaluation for level 2 licensure as is evidenced by the teacher's annual evaluations.

F. A teacher who has taught in New Mexico under a standard teaching license for one full school year and who subsequently teaches under a standard teaching license in another state or authorization to teach in another country and has met the total amount of years for advancement required in Paragraph (1) of Subsection A of 6.60.6.9 NMAC or Paragraph (1) of Subsection B of 6.60.9 NMAC may advance to the next higher licensure level without presenting a dossier as required in Paragraph (2) of Subsection A of 6.60.9 NMAC, or Paragraph (3) of Subsection B of 6.60.6.9 NMAC.

G. [A person who fails to complete the requirements of a [three (3) year] three year of a level one alternative license, non-renewable internship license as specified in 6.60.3 NMAC, shall not be issued another internship license in the same or another teaching field or endorsement area.] A person who obtains a level one alternative license must complete the requirements for this license by the end of the second year. A third year may be granted by the director of licensure if adequate progress toward completion has been made. A person who fails to complete the requirements within the three years shall not be issued another alternative license in the same or another teaching field or endorsement area.

[6.60.6.9 NMAC - N, 09-30-03; A, 08-31-04; A, 04-29-05; A, 05-31-06; A, 10-31-07; A, 06-15-09; A, 01-29-10; A, xx-xx-17]