



Equity  
Excellence  
Relevance

# At-Risk Funding: Presentation to LESC

Ryan Stewart, Ed.L.D.  
Secretary of Education  
State of New Mexico  
November 20, 2019

# Yazzie-Martinez Decision

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**Summary of Finding:** New Mexico has not provided a sufficient education for its at-risk students.

**Summary of Orders:** New Mexico must ensure schools have the resources necessary to give at-risk students the opportunity to obtain a uniform and sufficient education that prepares them for college and career; the current system of financing public education and managing schools should be reformed so that every public school in New Mexico has the resources to provide a sufficient education; and there should be a system of accountability in place that measures financial resource allocation toward the education of at-risk students.

## At-Risk Groups:

English  
Language  
Learners

Economically  
Disadvantaged

Special  
Education

Native  
American

# Our Imperatives

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## Moral Imperative

We must deliver on the right of every student in New Mexico to a high quality education, especially those students who have been historically underserved.

## Legal Imperative

We must increase our investment in supports and programs for at-risk students to a level sufficient to meet their needs and ensure that programs and services are effectively getting to those students.

# The State Response



## Gov. Lujan Grisham signs key education bills

Apr 3, 2019 | Early Childhood Education, Press Releases

SANTA FE — Gov. Michelle Lujan Grisham on Wednesday signed key public education legislation into law, providing significant funding increases for evidence-based programs like K-5+ and extended learning time, raising educator salary levels in the state's three-tier licensure system and establishing a framework for community schools across New Mexico that will provide crucial wraparound health and wellbeing services to children and families in need, among other critical reforms.

Surrounded by students, educators, advocates, legislative sponsors and Public Education Secretary Karen Trujillo at Salazar Elementary in Santa Fe, Gov. Lujan Grisham signed Senate Bill 1, House Bill 5 and House Bill 589.

"New Mexico understands our children are our future; we are clear we will do everything in our power to help them succeed," said Gov. Lujan Grisham. "Perhaps the most significant investment we can make as a state is in our educators and administrators, and we have made a sizable one this year. I'm proud to say this has been a bipartisan effort. There is more we can do, and there is more we will do, and today I'm thrilled to say we have taken a leap forward in New Mexico public education."

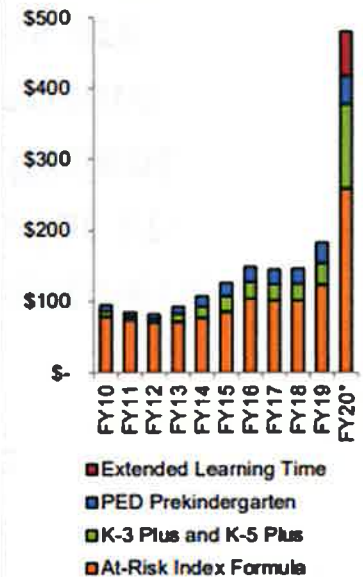
"We've been talking about our education moonshot for many, many months," said House Speaker Brian Egolf. "We built the rocket; the rocket is fueled; and now, with the governor's signature, we have hit the ignition. This is a first and important step in a multi-year effort to reform education in New Mexico, because every child, regardless of zip code, deserves the best possible head start."

**Formula Funding for Public Schools (In billions)**



Source: LFC Files

**At-Risk Student Program Funding (In millions)**



\*Budgeted appropriation  
Source: LFC Files



# The PED Response

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## PHASE 0

- Assess the findings from the court
- Seek additional funds
- Develop program options for state
- Build support

Jan '19 – Feb '20

## PHASE 1

- Refine planning to address the findings from Court's Order
- Create plans for implementation

Feb '20 – June '20

## PHASE 2

- Assess districts and charters
- Set up systems to track progress within PED, districts, and charters
- Disseminate administrative actions

July '20 – June '21

## PHASE 3

- Implement regional supports
- Implement continuous improvement cycles

July '21 - Ongoing

# At-Risk Funding – Current Year (FY20)



## Bernalillo Public Schools

At-Risk Index  
0.223

At-Risk Funds  
Generated:  
\$2,848.1m

At-Risk Funds  
Budgeted:  
\$2,848.1m

Counselors and Social Workers: 14 FTE  
\$1.092m

Contract Therapists, Diagnosticians,  
Psychologists, Speech Language Pathologists:  
\$1.227m

Psychologist: 1.0 FTE  
\$117.0k

Other Classroom Support:  
\$412.5k

Source: FY20 District Budget Submission



# At-Risk Funding – Current Year (FY20)



Cuba  
Independent  
Schools

At-Risk Index  
0.363

At-Risk  
Funds  
Generated:  
\$855.2

At-Risk  
Funds  
Budgeted:  
\$855.2

Counselors and Social Workers: 3.0 FTE  
\$234.0k

Nurse: 1.0 FTE  
\$78.0k

Contract Therapists, Diagnosticians, Speech  
Language Pathologists:  
\$420.0k

Contract Psychologist:  
\$106.0k

Other Classroom Support:  
\$17.2k

Source: FY20 District Budget Submission

# At-Risk Funding Challenges: Staffing

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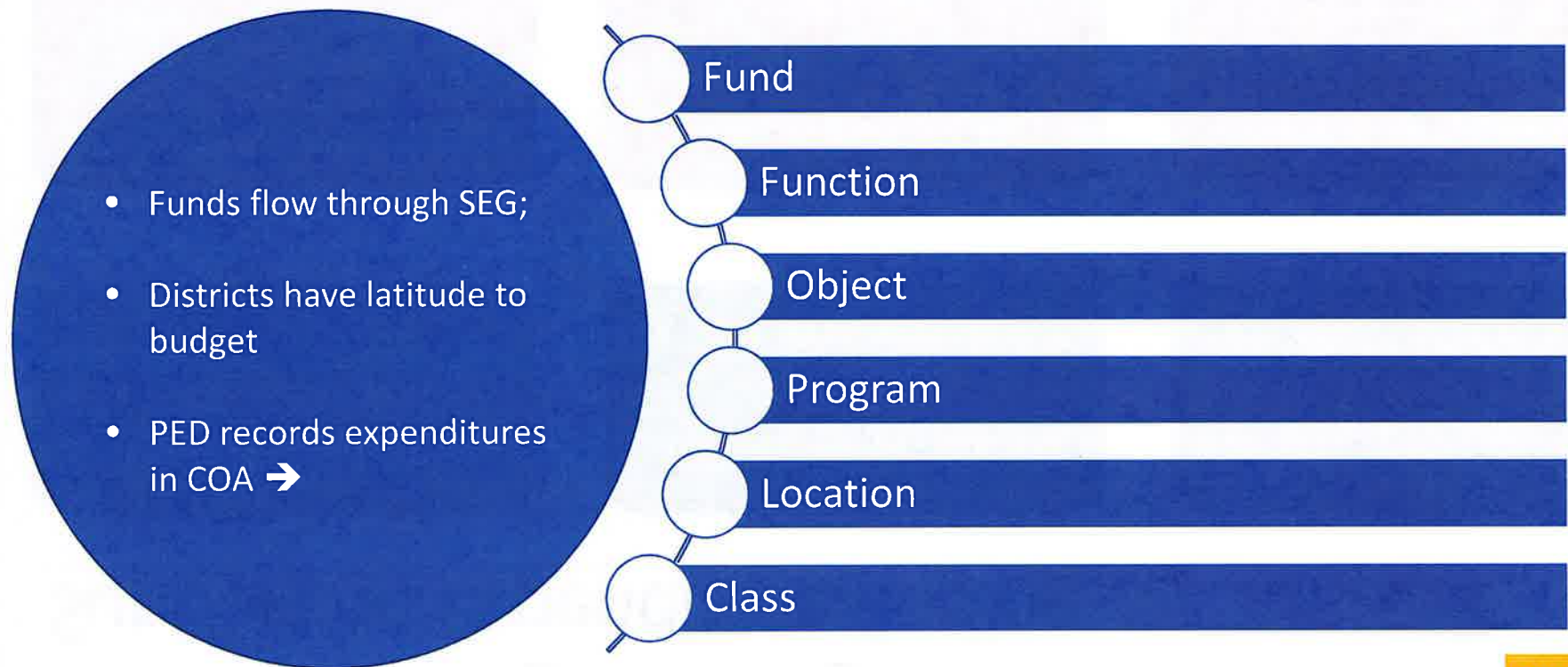
**THE  
WAR  
FOR  
TALENT**

- Special Education Teachers
- Intervention Math Teachers
- Bilingual Teachers
- English Language Development Teachers
- Social Workers
- Counselors
- Psychologists



# At-Risk Funding Challenges: Chart of Accounts

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# At-Risk Funding Challenges: Guidance and Support on Expenditure Coding

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Detail Not Captured by  
Chart Of Accounts

*eg: contract services*

Instructional Core vs  
At-Risk

*eg: class size reduction*

Expenses that cross  
programs

*eg: FTE partially assigned to at-risk*

Definitions and  
Expectations

*Collaboratively developed  
Guidance document*

Software Programs

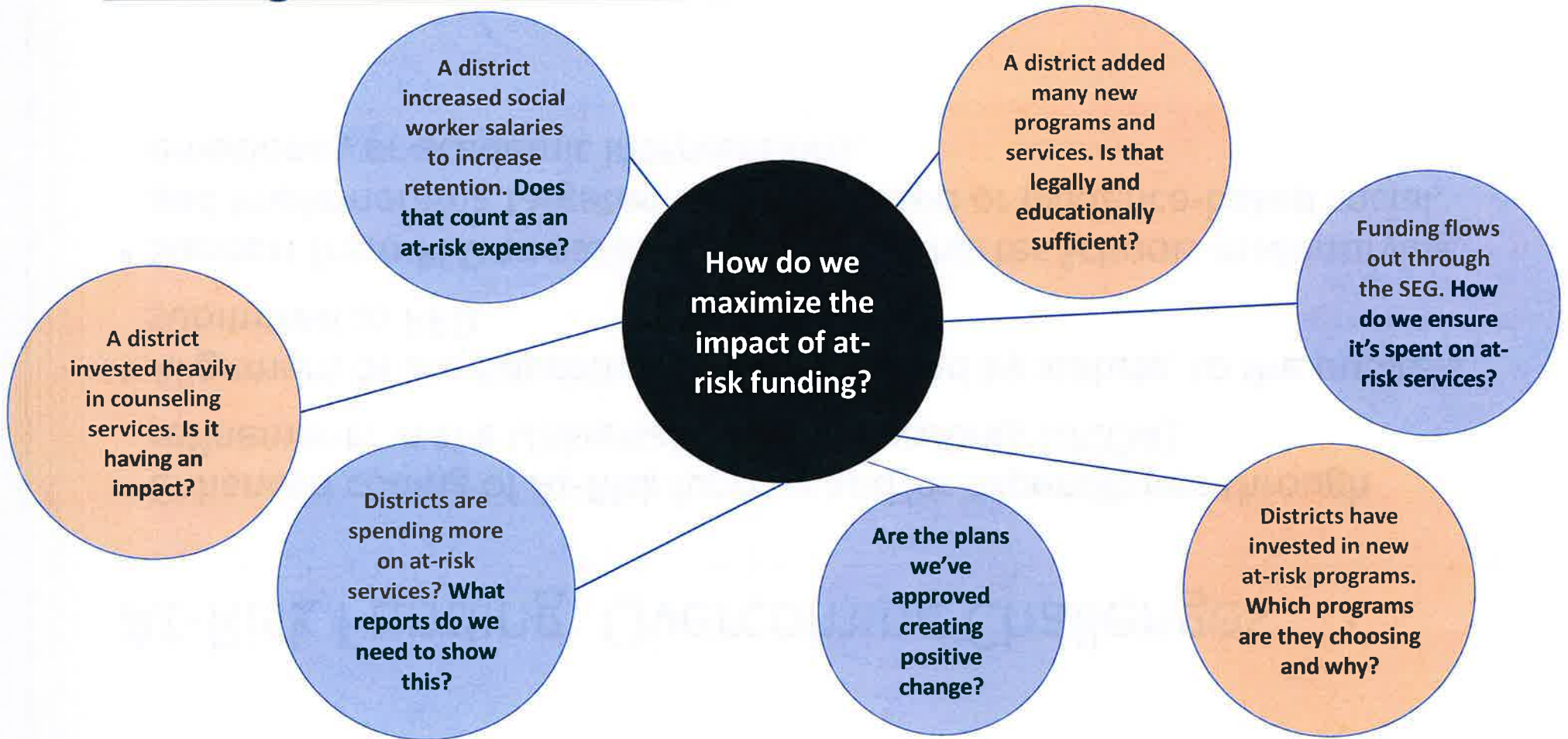
*Able to fully capture program  
expenditures*

LEA Staff Training

*Budget tracking expectations;  
software programs*

# At-Risk Funding – Questions to Drive Future Guidance and Oversight

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# At-Risk Funding: Overcoming Challenges

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- Enhanced coding of At-Risk funding and/or expenditures through refinements in the Universal Chart of Accounts (UCOA).
- Alignment of the Educational Plan, required by statute, to the budgets submitted to PED.
- Support from PED to assist Districts and Charter Schools in identifying and implementing targeted research-based or evidence-based social, emotional, or academic interventions.

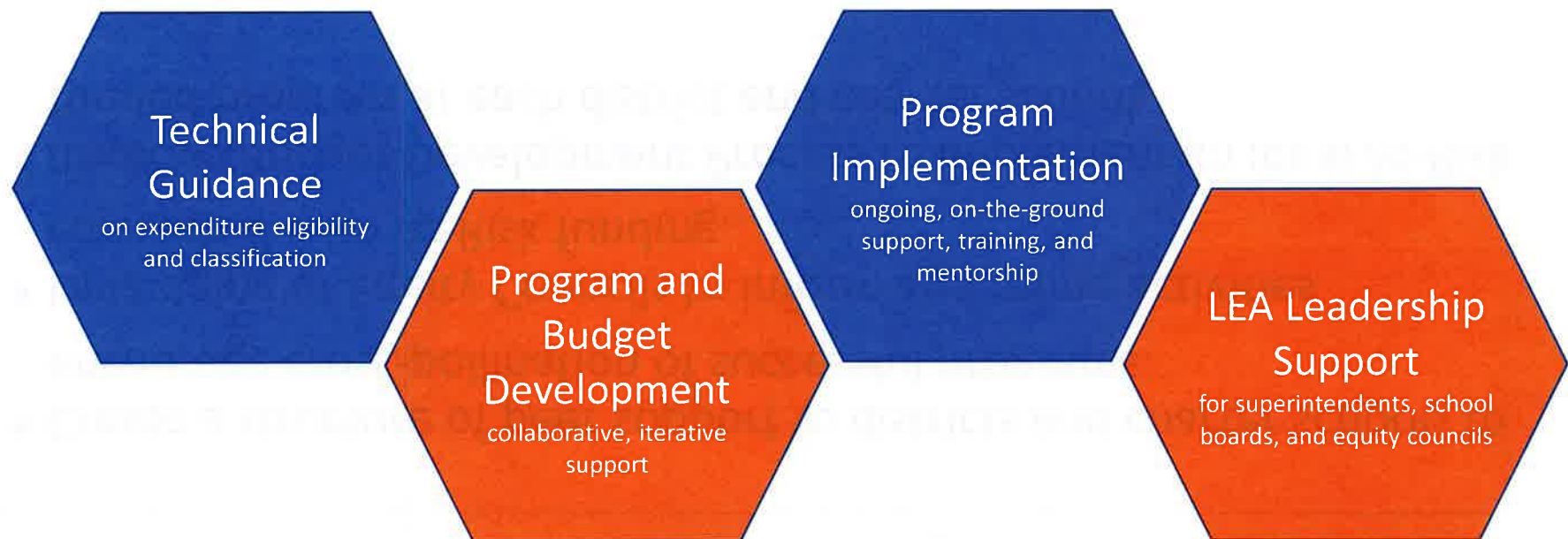
# At-Risk Funding: Overcoming Challenges

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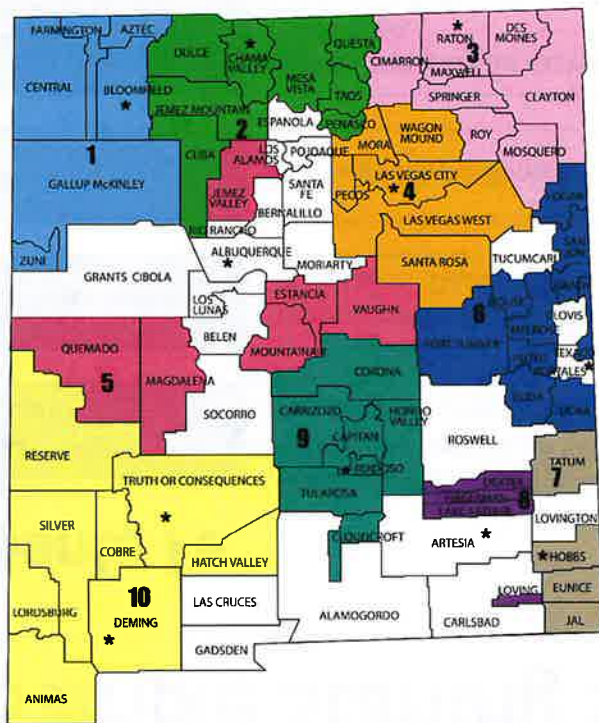
- Create a structure of peer support to districts and charter schools to encourage cross-pollination of successful programs.
- Leveraging of Equity Councils to inform and refine activities connected with At-Risk funding.
- Iterative Budget Development Process to implement an ideal At-Risk funded program at each district and charter school.

# At-Risk Funding – Next Year (FY21)

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# Regional Supports



- **Santa Fe Staff**
  - Policy Development
  - Standard Setting
  - Desk Audits
  - Data Analysis
  - Corrective Action Plans
  - Research
- **Regional Staff**
  - Program and Budget Design Consultation
  - Training
  - Mentorship
  - Program Review and Adjustment

# Sample Staffing Structure

## Santa Fe

Director	Manage and Coordinate Regional Staff; Program Standard Setting
Budget/Audit Specialist	Regional Budget Specialist Consultation, Desk Audits
Financial Analyst	Spending Analysis Reports
Statewide Program Analyst	Develop and Monitor Corrective Action Plans
Data Analysis Specialist	Research

## Regions (x 10)

Regional Program Specialist	Program design, training; mentorship, program data review
Regional Budget Specialist	Budget design and review, CAP implementation, budget data review
Regional Admin. Specialist	Superintendent, School Board, and Equity Council Support



# Sample Supports and Oversight



## List of Support and Oversight Activities

<b>Sept '19</b>	Launch FY21 Budget Design Process with PED and prepare FY21 Budget Request	<b>Oct '20</b>	FY 21 Program review, budget consultations and adjustment with PED
<b>Dec '19 – Mar '20</b>	Manage the Legislative Budget Process and ensure advocacy for needed dollars and legislation is in place	<b>Nov '20</b>	FY 21 Q1 Spending Analysis Reports Distributed; Quarterly Budget vs. Actual Review with Equity Councils; Corrective Action Plans Distributed
<b>Feb – Apr '20</b>	FY 21 Budget Design Consultations and Feedback; Equity Council Budget Review; Budget Approval	<b>Dec '20</b>	FY 21 Program review, budget consultations and adjustment with PED
<b>May '20</b>	FY 21 Program review, budget consultations and adjustment with PED	<b>Jan '21</b>	FY 21 Program review, budget consultations and adjustment with PED; Launch FY 22 Budget Design Process with PED
<b>July '20</b>	Implement approved budgets and programs	<b>Feb '21</b>	FY 21 Q2 Spending Analysis Reports Distributed; Quarterly Budget vs. Actual Review with Equity Councils; Corrective Action Plans Distributed; FY22 Budget Design Consultations
<b>Aug '20</b>	FY 21 Start of Year At-Risk Funding Reports Distributed; Equity Council Budget Consultation	<b>Mar '21</b>	FY 21 Program review, budget consultations and adjustment with PED; FY 22 Budget Design Consultations
<b>Sept '20</b>	FY 21 Program review, budget consultations and adjustment with PED	<b>Apr '21</b>	Program review, budget consultations and adjustment with PED; FY 22 Budget Design Consultations, FY 22 Budget Approval
<b>Sept '19</b>	Launch FY22 Budget Design Process with PED and prepare FY21 Budget Request	<b>May '21</b>	Q3 Spending Analysis Reports Distributed; Quarterly Budget vs. Actual Review with Equity Councils; Corrective Action Plans Distributed; FY 22 Budget Consultations and adjustment