

## December 2015 Quarterly Update

### Quarterly Status of Outstanding Capital Funds

- As of December 2015, nearly \$1 billion from all funding sources for 2,845 projects remains outstanding; total includes \$165.4 million earmarked fund balances for water (\$87.4 million), colonias (\$41.8 million), and tribal (\$36.2 million) infrastructure projects; does not include approximately \$460.7 million from supplemental severance tax bonds for public schools.
- Since the September 2015 quarterly report, 97 projects closed with approximately \$63.2 million expended or reverted.
- Percentage of outstanding funding sources: general fund (GF) is 4 percent, severance tax bonds (STB) 65 percent, general obligation bonds (GOB) 19 percent, and other state funds (OSF) 12 percent.

#### 2009-2015 Capital Outlay All Fund Sources "Outstanding" Projects Only (in millions)

Year	Number of Projects	Amount Appropriated	Amount Expended	Amount Unexpended	Percent Expended for Year
2009	1	\$10.0	\$8.8	\$1.2	88%
2010	0	\$0.0	\$0.0	\$0.0	100%
2011	31	\$26.6	\$19.1	\$7.4	69%
2012	232	\$171.5	\$123.7	\$47.9	66%
2013	491	\$208.4	\$84.6	\$123.8	34%
2014	934	\$446.3	\$59.6	\$386.7	10%
2015	1,156	\$376.4	\$2.0	\$374.4	0%
<b>Total</b>	<b>2,845</b>	<b>\$1,239.2</b>	<b>\$297.8</b>	<b>\$941.4</b>	

Note: Data includes projects for water, colonias and tribal earmarked funds.

Source: Capital Projects Monitoring System

- Of funds authorized from GF and STB only, \$258 million for state-owned projects and \$235.6 million for local projects remain unexpended. The following table demonstrates the percent expended by state and local entities by year.

#### 2009-2015 Capital Outlay Funding "Outstanding" STB and GF Projects Only (in millions)

Year	STATE ALLOCATIONS					LOCAL ALLOCATIONS				
	Number of Projects	Amount Appropriated	Amount Expended	Amount Unexpended	Percent Expended for Year	Number of Projects	Amount Appropriated	Amount Expended	Amount Unexpended	Percent Expended for Year
2009	1	\$10.0	\$8.8	\$1.2	88.1%	0	\$0.0	\$0.0	\$0.0	*
2010	0	\$0.0	\$0.0	\$0.0	0.0%	0	\$0.0	\$0.0	\$0.0	0.0%
2011	26	\$25.4	\$18.7	\$6.7	73.6%	0	\$0.0	\$0.0	\$0.0	*
2012	16	\$51.3	\$42.8	\$8.5	83.5%	62	\$12.9	\$7.4	\$5.5	57.2%
2013	75	\$75.5	\$40.8	\$34.7	54.0%	311	\$48.6	\$12.9	\$35.7	26.6%
2014	24	\$61.5	\$21.3	\$40.2	34.7%	546	\$113.4	\$13.0	\$100.4	11.4%
2015	169	\$168.5	\$1.7	\$166.8	1.0%	838	\$94.2	\$0.2	\$94.0	0.2%
<b>Total</b>	<b>311</b>	<b>\$ 392.1</b>	<b>\$ 134.1</b>	<b>\$ 258.0</b>		<b>1,757</b>	<b>\$ 269.1</b>	<b>\$ 33.5</b>	<b>\$ 235.6</b>	

\* No allocations made for local projects.

Source: Capital Projects Monitoring System

- LFC staff tracks all appropriations funded for \$1 million or greater totaling \$1.2 billion for 225 projects. Unexpended balances total \$723.7 million, 77 percent of all unexpended funds. Since the September 2015 report, 4 appropriations totaling \$5.3 million were fully expended.

- **Note:** Data for quarterly and \$1 million or greater reports is derived from the Capital Project Monitoring System operated and maintained by the Department of Finance and Administration; data includes expenditure, milestones achieved last quarter, projected milestones updated by state agencies responsible for oversight of the projects. The \$1 million or greater and quarterly reports are posted on the LFC website under “*Publications and Reports*” at <http://www.nmlegis.gov/lcs/lfc/lfccapital.aspx>.

### **Major Projects Completed**

- |   |                |
|---|----------------|
| ➤ NMSBVI Infrastructure and Site Improvements                 | \$2.9 million  |
| ➤ UNM - Gallup Utility Infrastructure Improvements (2012 GOB) | \$1 million    |
| ➤ CNM - Fiber Optic Loop & Site Improvements                  | \$1 million    |
| ➤ DGF - Statewide Facility Renovations                        | \$366 thousand |

### **Highlights of Select Projects - No Activity**

- **Department of Homeland Security**  
\$4.5 million originally appropriated in 2008, and reauthorized in 2013 and 2015 for Lincoln and Otero County flood damage improvements. The department has not provided an update for two consecutive quarters.

#### **General Services Department**

- \$1 million (GRT) originally appropriated in 2009 and reauthorized in 2014 for the executive office building. The department indicates there have been new discussions with the city historic preservation and that they are awaiting reauthorization approval from DFA to either change the purpose or extend the expenditure period.
- **General Services Department**  
\$3 million (STB) appropriated in 2014 and reauthorized in 2015 for the Los Lunas substance abuse treatment center. The department has not certified readiness for bonds to be sold.

### **Highlights of Projects - Slow or Little Progress**

#### **Economic Development Department**

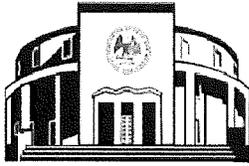
- Of \$12.5 million (STB and OSF) appropriated in 2015 for LEDA \$600,000 has been obligated for two projects.

### **2014 Water Initiative Status (Attachment A)**

- \$83.4 million was authorized for water projects statewide in the 2014 capital outlay bill.
- 84 percent of the funds, \$70.1 million, remain unexpended.
- Of the 189 water projects funded, only 16 have been completed

### **Authorized but Unissued Status (Attachment B)**

- Following the December 29<sup>th</sup> bond sale, 53 projects authorized for \$10 million remain unissued.
- 18 entities in 11 counties are not compliant with Executive Order 2013-006 resulting in 18 projects totaling approximately \$1 million being “ineligible” for bond issuance. The majority of projects are for water systems (6) and acequias (8).



2014 Water Initiative Status Update  
Second Quarter, Fiscal Year 2016

Water was the primary focus of the 2014 capital outlay bill, with \$86.2 million appropriated for 200 storage, delivery, and treatment projects. This partial investment targeted over \$1 billion in water infrastructure improvement needs statewide. However, \$83.4 million of these appropriations is available for 189 projects because \$2.3 million was appropriated for two fund transfers and bonds were not sold for nine projects totaling \$486 thousand. Just over half of this funding is dedicated to projects which are still inactive, meaning not a single penny has been expended. Additionally, \$70 million, or 84 percent, is unspent. Only 16 of the 189 projects are complete.

Status by Oversight Agency\*

Agency	Number of Projects	Amount	Balance	Percent Unspent	Percent of Funding Active
DFA	1	\$504.0	\$504.0	100%	0%
EMNRD	1	\$6,200.0	\$2,861.5	46%	100%
OSE	42	\$21,903.0	\$19,601.6	89%	64%
IAD	4	\$442.7	\$442.7	100%	0%
NMED	138	\$53,221.7	\$45,828.0	86%	36%
Dept. of Ag.	3	\$1,160.0	\$909.0	78%	89%
<b>Total</b>	<b>189</b>	<b>\$83,431.5</b>	<b>\$70,146,870</b>	<b>84%</b>	<b>49%</b>

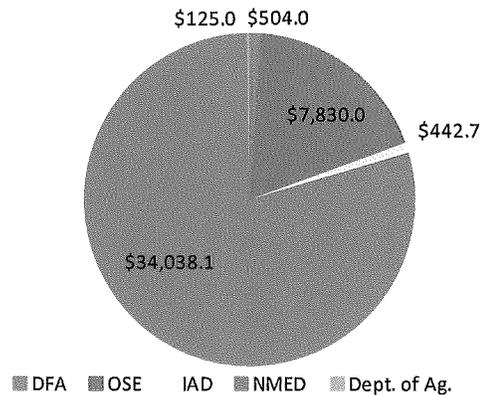
\* Projects for which bonds were not sold and fund transfers not included

Source: LFC Files

The Energy, Minerals and Natural Resources Department (EMNRD) received \$6.2 million for watershed restoration and has made the most progress in spending the funds by taking a proactive approach and setting up grant agreements and working with other partners before the funds were received. While EMNRD is overseeing just 7 percent of the funding, the agency accounts for 25 percent of spending to date.

The New Mexico Environment Department (NMED) oversaw the six largest completed projects, totaling \$2.3 million, including constructing a water storage tank in Belen and making improvements to the water systems in Rio Rancho, Agua Fria, Ruidoso, and Cedar Crest. Projects overseen by NMED received 64 percent of the appropriations and account for 56 percent of expenditures.

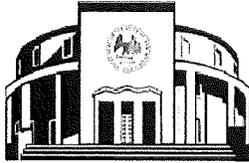
Funding for Inactive Projects, by Agency\*  
(in thousands)



\* Projects for which bonds were not sold and fund transfers not included

Source: LFC Files

In addition to the funding appropriated in the 2014 capital outlay bill – and in accordance with § 7-27-10.1(B) NMSA 1978, which allocates ten percent of annual severance tax bonding capacity to the Water Project Fund – \$33 million was made available for 22 water projects through the Water Trust Board. This funding is tracked in the Legislative Finance Committee’s quarterly capital outlay report, and \$25.2 million, or 76 percent, of 2014 funding is unspent. The Water Project Fund received \$32.4 million in 2015.



Status by County\*

County	Number of Projects	Amount	Balance	Percent Unspent	Percent of Funding Active
Bernalillo	7	\$3,105.0	\$2,039.0	66%	82%
Chaves	1	\$100.0	\$100.0	100%	0%
Cibola	3	\$1,118.0	\$1,100.0	98%	19%
Colfax	7	\$1,945.0	\$1,945.0	100%	0%
Curry	2	\$420.0	\$420.0	100%	0%
Dona Ana	13	\$10,547.2	\$10,397.8	99%	21%
Eddy	4	\$1,053.5	\$747.5	71%	35%
Grant	3	\$508.0	\$439.5	87%	80%
Guadalupe	6	\$1,010.0	\$968.1	96%	5%
Harding	2	\$70.0	\$70.0	100%	0%
Lea	6	\$1,316.0	\$1,316.0	100%	0%
Lincoln	9	\$910.0	\$590.0	65%	35%
McKinley	3	\$545.0	\$495.0	91%	28%
Mora	3	\$782.0	\$725.1	93%	10%
Otero	6	\$4,907.0	\$4,796.0	98%	9%
Quay	1	\$50.0	\$0.0	0%	0%
Rio Arriba	22	\$9,359.6	\$9,178.2	98%	15%
Roosevelt	2	\$195.0	\$121.8	62%	49%
San Juan	7	\$1,891.2	\$1,867.7	99%	1%
San Miguel	14	\$13,232.0	\$12,341.9	93%	53%
Sandoval	11	\$2,760.0	\$1,235.3	45%	61%
Santa Fe	19	\$2,170.0	\$1,473.7	68%	62%
Sierra	3	\$520.0	\$240.0	46%	55%
Socorro	2	\$500.0	\$280.2	56%	80%
Taos	13	\$986.0	\$680.7	69%	60%
Torrance	5	\$1,310.0	\$1,168.6	89%	99%
Valencia	4	\$3,386.0	\$1,513.1	45%	100%
Multiple	4	\$2,135.0	\$1,967.5	92%	23%
Statewide	7	\$16,600.0	\$11,928.9	72%	94%
<b>Total</b>	<b>189</b>	<b>\$83,431.5</b>	<b>\$70,146.9</b>	<b>84%</b>	<b>49%</b>

\* Projects for which bonds were not sold and fund transfers not included

Source: LFC Files



**Appropriations Greater than \$1 Million**

Project Name	Amount	Balance	Status
Bradner Dam Construction	\$10,000.0	\$9,193.4	Concept abandoned, new design not submitted.
Chama Wastewater Plant	\$8,000.0	\$7,968.8	Design submitted for NMED review.
EMNRD Watershed Restoration	\$6,200.0	\$2,861.5	Fifteen projects developed with two agreements awaiting signature; 13 agreements in progress.
Alamogordo Desalinization System	\$4,000.0	\$4,000.0	Reauthorized/repurposed. Bond sale not approved due to questionnaire responses.
Camino Real Regional Utility Authority Wastewater Treatment	\$3,700.0	\$3,700.0	Project is still on hold pending approval by U.S. Environmental Protection Agency and the Border Environmental Cooperation Commission.
River Stewardship/Restoration	\$2,300.0	\$2,024.8	Eleven of twelve projects in permitting and design phases. One project is in construction.
Las Cruces Septic System	\$2,250.0	\$2,100.7	Two of three sewage collection projects are complete though the owner has submitted few disbursement requests for payment. NMED has reviewed pay estimates for both projects. The third project (Hacienda Acres) has been awarded and construction started.
Water Meters Statewide	\$2,100.0	\$1,313.3	Construction in the Chama, Nambe-Pojaque-Tesuque, Gallinas, and Santa Fe basins. Preparing work orders for the Lower Rio Grande, Tularosa, San Juan, and Animas Basins.
Carnuel Sanitary Sewer System	\$2,050.0	\$1,208.9	Award pending city commission approval.
Acequia Improvements Statewide	\$2,000.0	\$1,965.6	Agreements for acequias that qualified for funding are executed.
Las Cruces Water System and Wells	\$2,000.0	\$1,979.7	Work is about to begin for redrilling three wells.
Water Rights for Habitat Restoration	\$2,000.0	\$2,000.0	Looking for water rights to purchase.
Pecos Sewer System & Lift Station	\$1,995.0	\$1,995.0	Reauthorized/repurposed; designing water and sewer lines and right-of-way acquisition.
Los Lunas Wastewater Treatment Expansion	\$1,900.0	\$504.1	Installation of new membrane bioreactor cassettes is completed.
Camino Real RUA Well	\$1,750.0	\$1,750.0	Need to expend grant expiring in June 2016 first.
Santa Cruz Water System	\$1,600.0	\$1,600.0	Design in progress
Rio Rancho Water System	\$1,400.0	CLOSED	CLOSED
Maxwell Water Well	\$1,000.0	\$784.0	RFP protest must be addressed.
Pecos River Compact Settlement	\$1,000.0	\$1,000.0	Actively looking for water rights to purchase.
Statewide Soil & Water Conservation District Dam Improvements	\$1,000.0	\$1,000.0	Awaiting agency approval of deliverables.
<b>Total</b>	<b>\$58,245.0</b>	<b>\$48,949.9</b>	<b>84 percent of funding remains unspent</b>

2016 LFC Unofficial Authorized but Unissued following 12-29-15 Bond Sale

Approp ID	Title	County	Approp Amount	EO Eligibility	BOF Status *	Agency	Certification Deadline
14-1335	MARTIN LUTHER KING, JR. COMM OFFICE/MUSEUM/LIBRARY	Bernalillo, Sandoval	160,000		Not Ready	GSD	6/30/2016
14-1352	ALB INSTITUTE FOR MATHEMATICS & SCIENCE EXPANSION	Bernalillo	50,000		Not Ready	PED	6/30/2016
14-1386	CIEN AGUAS INTERNATIONAL SCHL LAND/FACILITIES (Sold Partial for \$5,476; Approp Amt \$105,000)	Bernalillo	99,524		Missing	PED	6/30/2016
14-1540	EAST MOUNTAIN HIGH SCHL LIBRARY AIR CONDITIONING (Total \$25,000)	Bernalillo	5,000		Missing-Portion Sold	PED	6/30/2016
14-1603	FAMBROUGH MDWCA WATER SYSTEM IMPROVE	Chaves	84,000	Ineligible	Ready	NMED	6/30/2016
14-1606	CEBOLLETA LAND GRANT WASTEWATER SYSTEM IMPROVE	Cibola	150,000	Ineligible	Missing	NMED	6/30/2016
14-1618	DESERT AIRE MDW & SWA WATER SYSTEM IMPROVE	Dona Ana	50,000	Ineligible	Ready	NMED	6/30/2016
14-1792	ANTON CHICO/LA LOMA/TECLOTITO ACEQUIAS IMPROVE	Guadalupe	40,000	Ineligible	Missing	OSE-ISC	6/30/2016
14-1796	RAINSVILLE ACEQUIA DEL SUR IMPROVE	Mora	53,000	Ineligible	Missing	OSE-ISC	6/30/2016
14-1809	ACEQUIA DEL RANCHO IMPROVEMENTS PHASE 1	Santa Fe	34,000		Missing	OSE-ISC	6/30/2016
14-1821	ACEQUIA DE LAS JOLLAS & RIO CHIQUITO COMPUERTA	Taos	15,000		Missing	OSE-ISC	6/30/2016
14-1830	BERN CO EDUCATION & WORKFORCE TRAINING CENTER	Bernalillo	20,000		Not Ready	LGD-DFA	6/30/2016
14-1886	ATRISCO LAND GRANT EDUCATION CENTER RENOVATE	Bernalillo	45,000	Ineligible	Not Ready	LGD-DFA	6/30/2016
14-1935	HIDALGO CO RODEO MEDICAL CLINIC CONSTRUCT	Hidalgo	400,000		Not Ready	LGD-DFA	6/30/2016
14-1999	SANTA FE CO LA FAMILIA MED CTR SOUTHSIDE BLDG ROOF	Santa Fe	10,000		Not Ready	LGD-DFA	6/30/2016
14-2088	EAST AZTEC ARTERIAL ROUTE CONSTRUCT (Sold Partial for \$1 million)	San Juan	2,819,775	Eligible	Missing	DOT	6/30/2016
14-2305	LOS LUNAS DRUG AND SUBSTANCE ABUSE (Orig Proj # 12-128f)	Valencia	3,000,000		Not Ready	GSD	6/30/2016
15-0180	MARTIN LUTHER KING JR COMMISSION OFFICE/MUS/LIBR	Bernalillo	35,000		Not Ready	MLKJC	6/30/2017
15-0196	CORRECTIONS DEPT OFFENDER MGMT SYS PH 1	Santa Fe	35,000		Missing	NMCD	6/30/2017
15-0249	TECHNOLOGY LEADERSHIP HIGH SCHL LAND ACQUISITION	Bernalillo	100,000		Not Ready	PED	6/30/2017
15-0434	EARLY COLLEGE HIGH SCHL CARLSBAD	Eddy	250,000		Not Ready	PED	6/30/2017
15-0494	LAKE ARTHUR WATER SYS IMPROVE CHAVES CO	Chaves	90,000	Ineligible	Ready	NMED	6/30/2017
15-0533	TERRA AMARILLA MDWA WTR STORAGE TANK	Rio Arriba	50,000	Ineligible	Not Ready	NMED	6/30/2017
15-0542	LAS TUSAS MDWCA WATER SYS IMPROVE	San Miguel	35,000	Ineligible	Ready	NMED	6/30/2017
15-0544	ROWE MDWCA WATER SYS IMPROVE	San Miguel	10,000	Ineligible	Ready	NMED	6/30/2017
15-0549	GREATER GLORIETA COM REG MDWC&SWA PHASE 3 IMPROVE	Santa Fe	20,000		Not Ready	NMED	6/30/2017
15-0552	SANTA FE CO AGUA FRIA SEWER LINE ANTONIO LANE	Santa Fe	50,000		Not Ready	NMED	6/30/2017
15-0607	LAKE VALLEY CHP CHAPTER HOUSE	San Juan	100,000		Not Ready	DIA	6/30/2017
15-0631	HORMIGOSO ACEQUIAS IMPROVE ANTON CHICO	Guadalupe	30,000	Ineligible	Ready	OSE-ISC	6/30/2017
15-0634	ACEQUIA DE LA OTRA VANDA REPAIR & RESTORE	Rio Arriba	20,000	Ineligible	Ready	OSE-ISC	6/30/2017
15-0636	SANCHEZ, LUCERO, TRUJILLO ACEQUIA RIO ARRIBA CO	Rio Arriba	30,000		Missing	OSE-ISC	6/30/2017
15-0640	SAN JOSE DE HERNANDEZ CD IMPROVE RIO ARRIBA CO	Rio Arriba	10,000		Missing	OSE-ISC	6/30/2017
15-0643	SAN AUGUSTIN CMTY DITCH IMPROVE PH2	San Miguel	25,000	Ineligible	Ready	OSE-ISC	6/30/2017
15-0644	ACEQUIA MADRE DE LAS VEGAS IMPROVE	San Miguel	25,000		Missing	OSE-ISC	6/30/2017
15-0646	ACEQUIA MADRE VILLANUEVA DITCH SOUTHSIDE IMPROVE	San Miguel	10,000	Ineligible	Missing	OSE-ISC	6/30/2017
15-0650	EL GUICU DITCH IMPROVE SANTA FE CO	Santa Fe	100,000	Ineligible	Ready	OSE-ISC	6/30/2017
15-0652	ACEQUIA DE LA CIENEGA IMPROVE CAMINO CAPILLA VIEJA	Santa Fe	30,000	Ineligible	Ready	OSE-ISC	6/30/2017
15-0661	BERN CO COMMUNITY DEVELOPMENT IMPROVE	Bernalillo	123,000		Not Ready	LGD-DFA	6/30/2017
15-0663	BERN CO FIRE DEPT TECHNICAL RESCUE RESPONSE UNIT	Bernalillo	145,000		Not Ready	LGD-DFA	6/30/2017
15-0724	BERN CO HOMELESS SVCS ORG INFO TECH & EQUIP	Bernalillo	50,000		Not Ready	LGD-DFA	6/30/2017
15-0725	BERN CO NM CIVIL JUSTICE CTR PLAN/DESIGN	Bernalillo	11,000		Not Ready	LGD-DFA	6/30/2017
15-0787	SANTA ROSA JAMES WALLACE DAM PARK POWER DAM	Guadalupe	100,000		Not Ready	LGD-DFA	6/30/2017
15-0828	ROOSEVELT CO CLERK'S OFFICE RECORDS STORAGE	Roosevelt	25,000		Missing	LGD-DFA	6/30/2017

2016 LFC Unofficial Authorized but Unissued following 12-29-15 Bond Sale

Approp ID	Title	County	Approp Amount	EO Eligibility	BOF Status *	Agency	Certification Deadline
15-0830	ROOSEVELT CO SPEC HOSP DIST SAFETY EQUIP	Roosevelt	55,000	Ineligible	Missing	LGD-DFA	6/30/2017
15-0831	KIRTLAND TOWN HALL MANUFACTURED BLDG	San Juan	90,000	Ineligible	Missing	LGD-DFA	6/30/2017
15-0853	SANTA FE CO CUNDIYO FIRE & CMTY CTR	Santa Fe	20,000		Missing	LGD-DFA	6/30/2017
15-0921	THORPE ROAD IMPROVE LAS CRUCES	Dona Ana	200,000		Not Ready	DOT	6/30/2017
15-0926	TORTUGAS SIDEWALKS	Dona Ana	200,000		Not Ready	DOT	6/30/2017
15-0932	SAN JOSE BOULEVARD IMPROVEMENTS CARLSBAD	Eddy	25,000		Not Ready	DOT	6/30/2017
15-0950	US HIGHWAY 491 SANOSTEE CHP SAFETY & BRIDGE DESIGN	San Juan	25,000		Missing	DOT	6/30/2017
15-1135	SMITH LAKE CHP HEAVY EQUIP BAY/REPAIR SHOP (Orig Proj # 14-1763)	McKinley	25,000		Not Ready	DIA	6/30/2016
15-1160	RIO RANCHO NIM LEARNING & DEVELOPMENT CTR (Orig Proj # 14-1564)	Sandoval	280,000		Not Ready	LGD-DFA	6/30/2016
15-1179	VALENCIA CO. CRISIS TRIAGE CENTER (Orig Proj # 12-1281/14-2305)	Valencia	500,000		Not Ready	GSD	6/30/2016
	<b>TOTAL AUTHORIZED BUT UNISSUED (53 PROJECTS)</b>						
			<b>\$9,964,299</b>				

\* **BOF Status:** Missing = BOF and bond counsel didn't receive a questionnaire; Not Ready = BOF and bond counsel determined project not ready for bonds to be sold.