New Mexico Justice Reinvestment

Working Group Meeting - December 14, 2018

Carl Reynolds, Senior Legal & Policy Advisor Celine Villongco, Policy Analyst Michelle Rodriguez, Policy Analyst Rachael Druckhammer, Senior Research Associate



Justice Center THE COUNCIL OF STATE GOVERNMENTS The Justice Center is part of The Council of State Governments but works across all four CSG regions at the state and local levels.





www.csg.org

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The CSG Justice Center helps states facing criminal justice challenges.





Advising leaders on how to connect reentry and employment

Helping policymakers understand and reform their criminal record clearance laws



Leading a county movement about the mentally ill in jails



Guiding projects to reduce cost and recidivism



Justice Reinvestment (JR) is an approach, not a prescription.

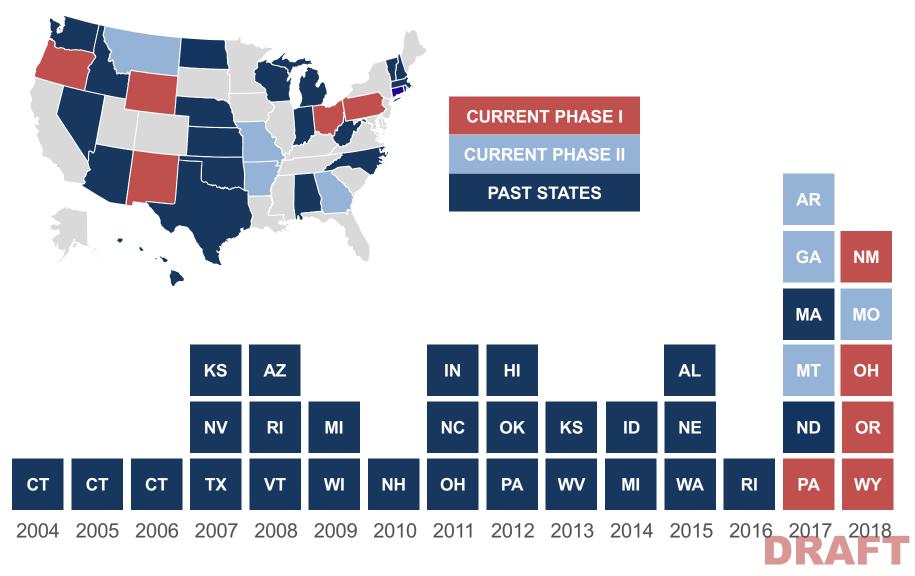


A data-driven approach to reduce corrections spending and reinvest savings in strategies that can decrease recidivism and increase public safety

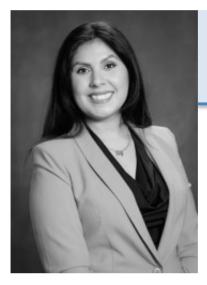
The Justice Reinvestment Initiative is supported by funding from the U.S. Department of Justice's **Bureau of Justice Assistance (BJA)** and **The Pew Charitable Trusts.**



The Justice Center has worked with 30 states, some twice, on a JR approach.



The Justice Center's JR team in New Mexico



Michelle Rodriguez Policy Analyst & Project Manager

Formerly mitigation specialist for King County Office of Public Defense (WA).

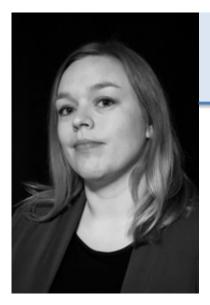
BS, Seattle University MSW, University of WA School of Social Work



Carl Reynolds Senior Legal & Policy Advisor

Formerly state court administrator and corrections general counsel (TX).

BA, University of Cincinnati MA, LBJ School JD, University of Texas



Rachael Druckhammer Senior Research Associate

Formerly lead researcher for the Travis County Juvenile Probation Department (TX).

BS & MS, Texas State University, San Marcus



Celine Villongco Policy Analyst

Formerly statewide human trafficking coordinator for the lowa Department of Justice.

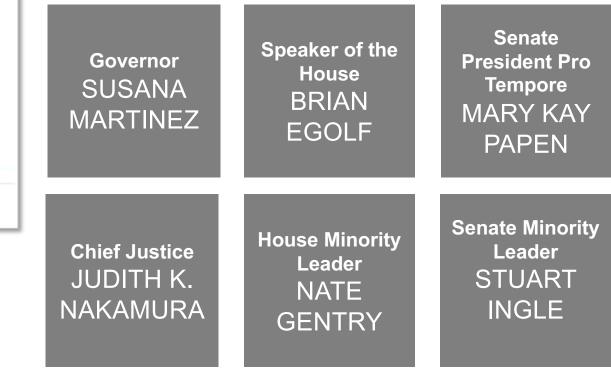
BS, Cornell University MPP, Duke University



New Mexico state leaders requested JR assistance in August, and funding was approved in September.



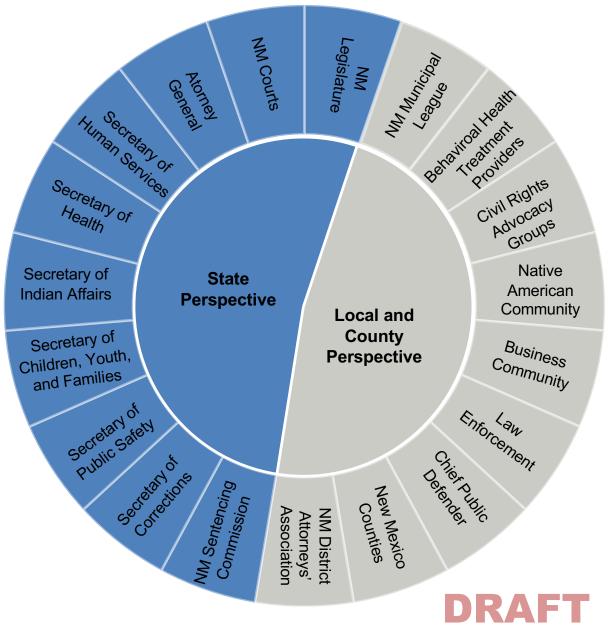
The legislative leaders, the governor, and the chief justice requested technical assistance from the CSG Justice Center to use a Justice Reinvestment approach. The formal request was issued by:





The New Mexico working group will help inform the JR process.





New Mexico is using the JR approach to address an array of criminal justice and behavioral health system challenges.



New Mexico has the second-highest violent crime rate in the country.



New Mexico has the highest property crime rate in the country.



New Mexico has one of the highest rates of overdose deaths in the nation.



Arrests and prison admissions for drug offenses are increasing.



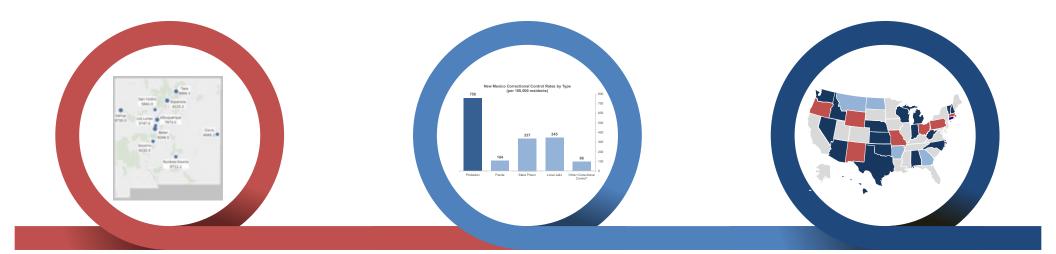
New Mexico's prison population has increased and is projected to continue to grow.



The number of people released from prison in New Mexico has decreased, but the reincarceration rate has increased, particularly for women.

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JR will help bridge multiple ongoing efforts, and result in policy recommendations to increase public safety across New Mexico.



Statewide Impact

Much of New Mexico's efforts related to public safety have been focused on Bernalillo County. JR will identify policies that have statewide impact.

Supported by Research

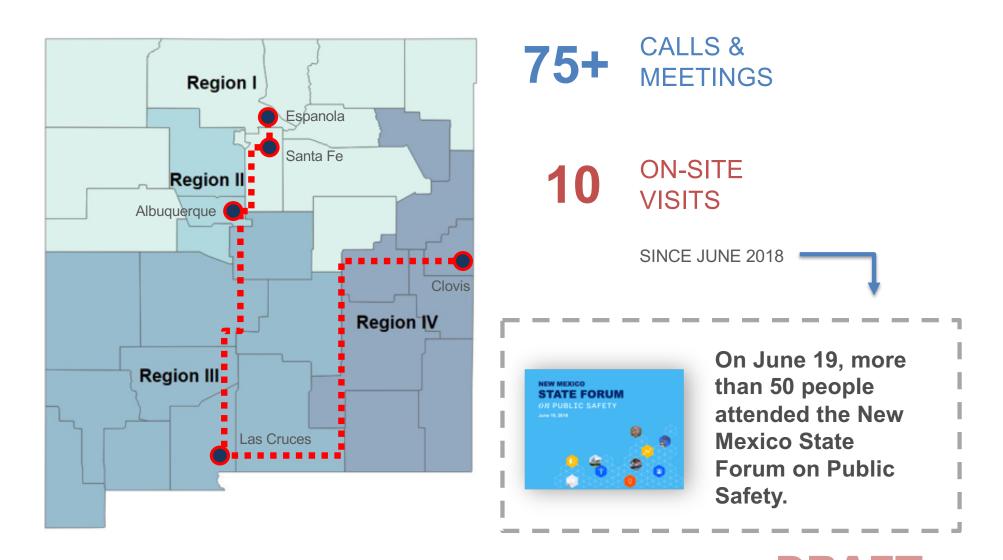
JR leverages data to inform policy by providing fresh analysis of system dynamics and offering projected policy impacts.

Outside Perspective

JR can inform the process with experience from many states, a bipartisan mission, and respect for the roles of all three branches.



CSG Justice Center staff are gathering regional perspectives during stakeholder engagement, reflecting the state's size and diversity.



Stakeholder input greatly informs the analysis presented today.



JR Working Group

Contacts (meetings or calls) with working group members and their staff



Corrections

Contacts with NMCD and parole officials and staff, observation of probation reporting sessions. Contacts with Sentencing Commission staff.



New Mexico Legislature

Meetings with senators and House Representatives. Presentations to the CCJ and CJRS. Calls/meetings with LFC and LCS.



Behavioral Health

Contacts with Behavioral Health Services Division, Managed Care Organizations, Bernalillo County officials, and individual behavioral health service providers



Courts

Contacts with AOC, individual judges, prosecutors and defense attorneys



Law Enforcement

Contacts with the Department of Public Safety, Attorney General's Office, and local law enforcement officials



Community and Tribal Organizations

Contacts with Department of Indian Affairs, Judicial Tribal Consortium, Coalition to Stop Violence Against Native Women, Tribal Courts Judges, ACLU of New Mexico



Victim Services

Contacts with the Crime Victims Reparation Commission, Coalition of Sexual Assault Programs, and Attorney General's Victim Services Unit



Data analysis update and challenges identified in source data

Justice Reinvestment Data Request Update		
Data Requested	Source	Status
Court Case Dispositions	Administrative Office of the Courts, via Sentencing Commission	Received; analyzed
Charges Received; Pre- Prosecution Diversions; Court Case Filings, Dispositions, & Sentences	Administrative Office of the District Attorneys	Received; Analyzed and additional analysis pending
Probation Supervision; Prison Admissions & Releases	Corrections Department, via Sentencing Commission	Received; Analyzed and additional analysis pending
County Jail Bookings & ADP	New Mexico Counties	Received; analyzed

Challenges with Source Data Used in Today's Presentation

Statewide identifiers not used across all data systems to facilitate sharing and matching

Gaps in data (e.g. no information on probation case management)

Unexamined data, never before analyzed, requires additional validation

Overview





- 2 Victim Services
- **3** Behavioral Health
- 4 Probation
- 5 Next Steps



Overview

1



Crime and Policy

Measuring crime

Trends in New Mexico

Albuquerque's influence

Policies affecting crime



Crime is typically measured by looking at reports of crime to the police, and arrests by the police.

Both crime reports and arrests are gathered at the state level from local law enforcement, and then reported to the FBI.

To standardize reporting the FBI uses "index crimes."

Violent index crimes are murder/ non-negligent manslaughter, rape, robbery, and aggravated assault.

Property index crimes are burglary, larceny/theft, motor vehicle theft, and arson.

Index crimes do not include drug offenses.

Changes in the reported number of arrests are largely due to variation in how many New Mexico agencies report arrests to the FBI.

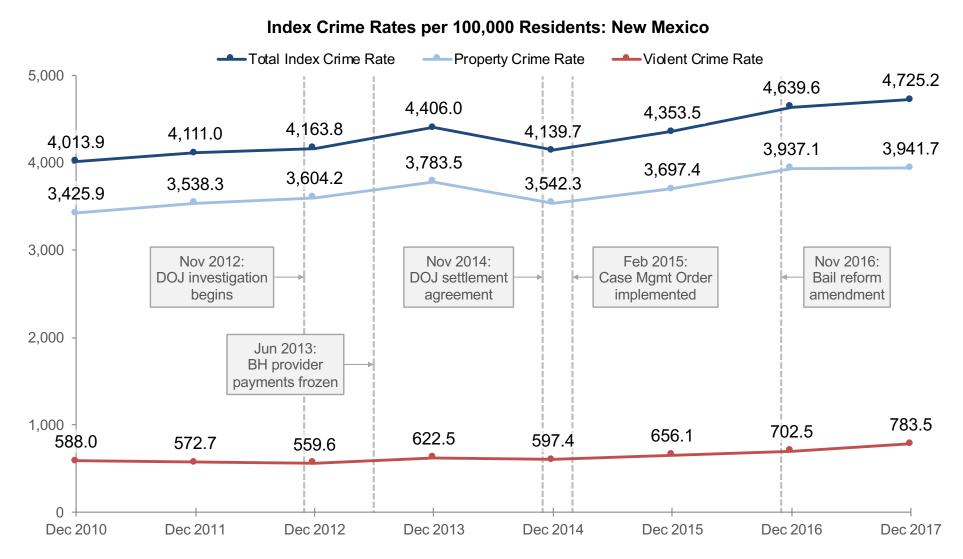
New Mexico DPS reported crime statistics to the FBI from over 100 law enforcement agencies in 2017.

But also in 2017, FBI arrest reports included information from only 23 agencies in New Mexico.

Between 2007 and 2017, the largest number of agencies included in FBI reports was 87 (2011).

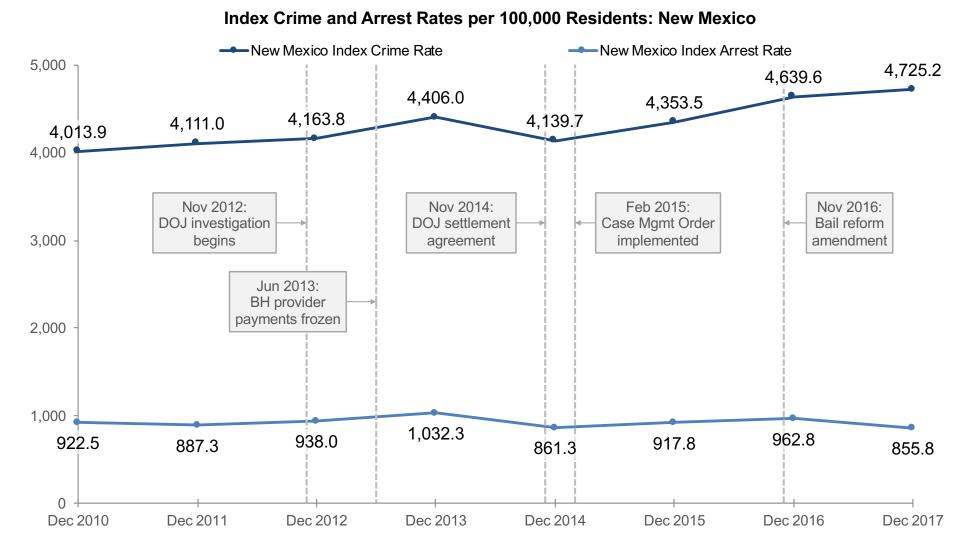


New Mexico's index crime rate increased 18 percent between 2010 and 2017, with notable increases in property crime rates.



Index crimes are divided into violent and property categories and do not include drug offenses. Violent index crimes are murder/ non-negligent manslaughter, rape, robbery, and aggravated assault; property index crimes are burglary, larceny/theft, motor vehicle theft, and arson.

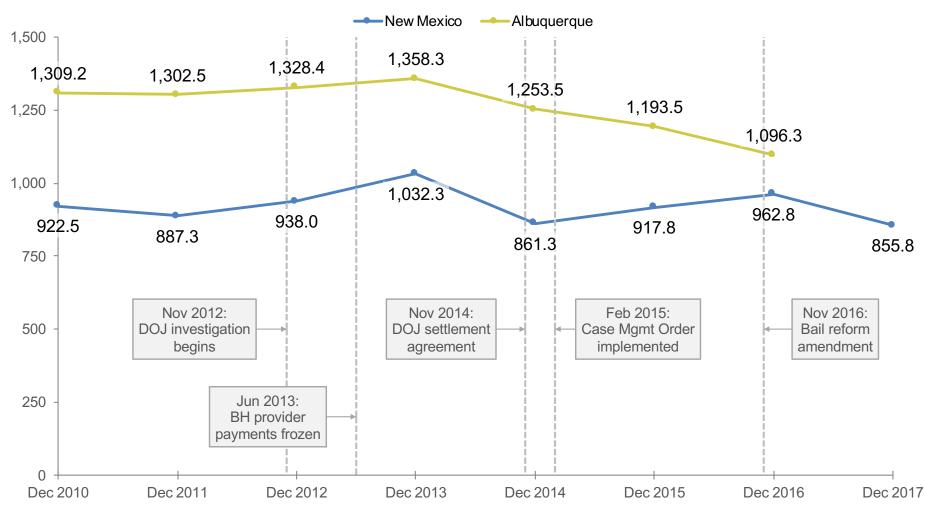
While New Mexico's index crime rate has steadily increased, the index crime *arrest* rate has slightly decreased (but there are arrest reporting gaps).



Index crimes are murder/non-negligent manslaughter, rape, robbery, aggravated assault, burglary, larceny/theft, motor vehicle theft, and arson.

Source: FBI, "Crime in the United States" reports by year; Albuquerque Police Department annual reports by year; US Census American Communities Survey 1-Year Population Estimates for Albuquerque by year. Albuquerque crime numbers for all years and arrest numbers for 2017 were not available as of November 2018.

The statewide index crime *arrest* rate increased 4 percent between 2010 and 2016, while Albuquerque's rate decreased 16 percent.

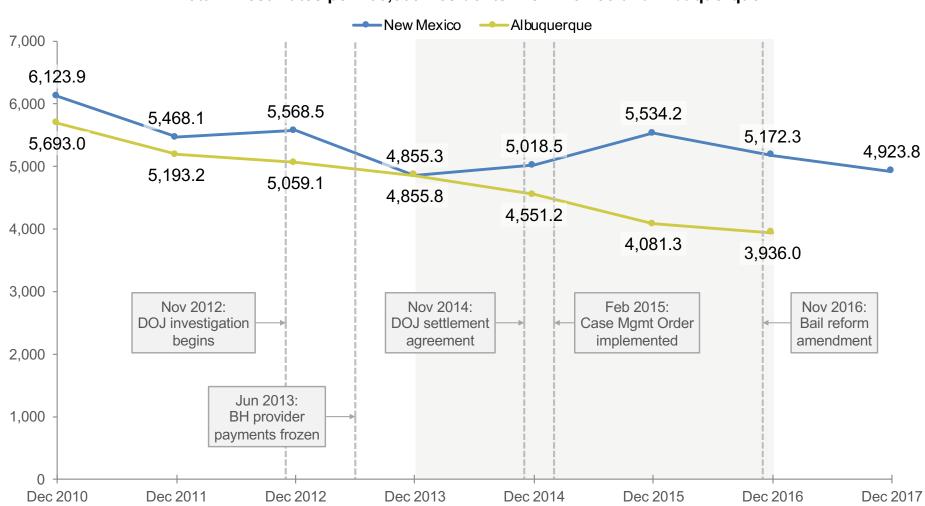


Index Arrest Rates per 100,000 Residents: New Mexico and Albuquerque

Index Crimes are murder/non-negligent manslaughter, rape, robbery, aggravated assault, burglary, larceny/theft, motor vehicle theft, and arson.

Source: FBI, "Crime in the United States" reports by year; Albuquerque Police Department annual reports by year; US Census American Communities Survey 1-Year Population Estimates for Albuquerque by year. Albuquerque arrest numbers for 2017 were not available as of November 2018.

New Mexico's total (not just index crime) arrest rate for all offenses increased between 2013 and 2016, while Albuquerque's rate continued to decline.



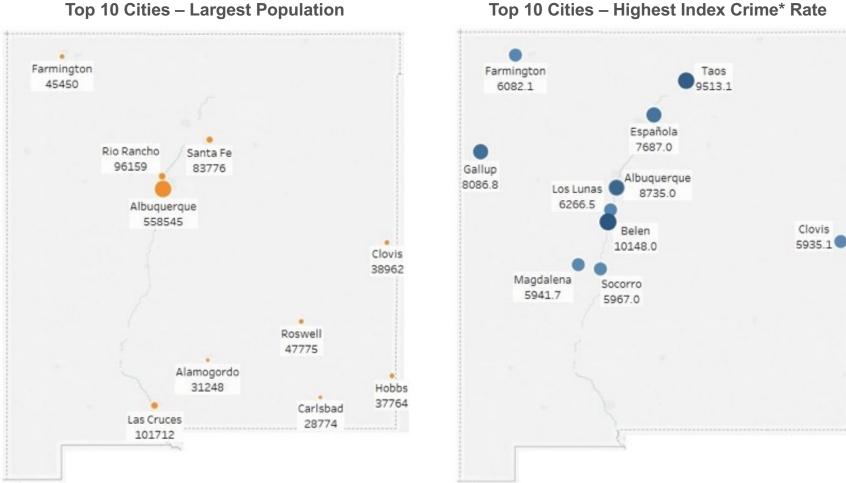
Total Arrest Rates per 100,000 Residents: New Mexico and Albuquerque

Total arrest rate is based on arrests for all offenses.

Source: FBI, "Crime in the United States" reports by year; Albuquerque Police Department annual reports by year; US Census American Communities Survey 1-Year Population Estimates for Albuquerque by year. Albuquerque arrest numbers for 2017 were not available as of November 2018.

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Crime in New Mexico is not just a "big city problem."



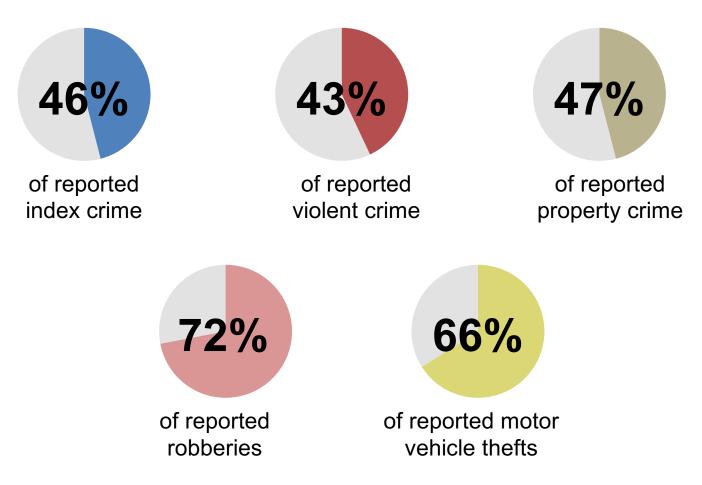
Top 10 Cities – Highest Index Crime* Rate

*Index Crimes are murder/non-negligent manslaughter, rape, robbery, aggravated assault, burglary, larceny/theft, motor vehicle theft, and arson. Rates are calculated per 100,000 residents.



Crime in Albuquerque contributes a large share to the state's overall crime rate.

In 2016, Albuquerque accounted for **27%** of New Mexico's resident population, but:



Index Crimes include murder/non-negligent manslaughter, rape, robbery, aggravated assault, burglary, larceny/theft, motor vehicle theft, and arson.



Deterrence through strategic law enforcement is the most costeffective approach to preventing violent crime.



Deter crime

Increase law enforcement's ability to use hot-spot strategies and deploy additional officers to increase the perceived certainty of apprehension.

Reduce recidivism

High-quality supervision (risk, need, responsivity), consistent sanctioning, and highquality treatment programs tailored to needs.

Prolong incapacitation

Increase length of stay to hold moderate- to high-risk people in prison for an additional 3 months, adding 250 to the prison population.

Benefit to Cost Ratio

Benefits per dollar of cost.



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Source: Aos, S. and Drake, E. "Prison, Police, and Programs: Evidence-Based Options that Reduce Crime and Save Money." Olympia: Washington State Institute for Public Policy, 2013.

Effective law enforcement strategies exist; the challenge is providing effective state support for local efforts to achieve those strategies.

Strategy	Can be used to address	
Hot-spot policing	robberies, burglaries	
Focused deterrence	gang member-involved violence, homicides, shootings	
Place-based problem solving	robberies, shootings, property crime, drug markets	
Alternatives to arrest	minor misdemeanors, drug-related crimes, juvenile crime, and incidents involving people who have mental illnesses	
Problem solving, including strategic use of crime analysis	identification of patterns and repeat victims, offenders, crimes, locations, times, etc.	

Keys to success:

Select and tailor a strategy to local problem

Rely on analysis rather than anecdotes, intuition, or external influences (e.g., media, politicians, stakeholders, etc.)

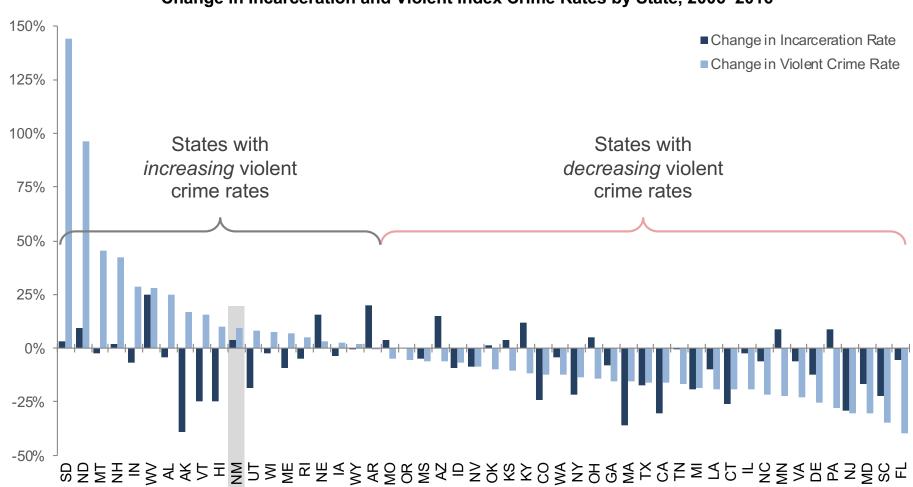
Gather community input and buy-in

Strategies that are effective for reducing crime may not be viewed by community members and other stakeholders as legitimate

Some "effective" strategies may result in unintended consequences, such as an increased use of arrest/incarceration or reduced community trust in law enforcement



Incapacitation can decrease crime, but changes in violent crime rates across the country show little correlation to increases or decreases in incarceration rates.



Change in Incarceration and Violent Index Crime Rates by State, 2006–2016

Violent index crimes are murder/non-negligent manslaughter, rape, robbery, and aggravated assault. Rates are per 100,000 residents.

Sources: FBI, "Crime in the United States, 2006" and "Crime in the United States, 2016"; Bureau of Justice Statistics, "Prisoners in 2006" and "Prisoners in 2016." BJS prison population numbers for 2017 were not available as of November 2018.

Key takeaways about crime and policy

- 1. Measuring crime depends on **complete reporting by law enforcement**, which does not appear to be occurring.
- 2. Crime rates have increased steadily since 2010, and key events in New Mexico's recent history do not correspond to notable increases in crime.
- 3. Arrest rates in Albuquerque and statewide did go down during the DOJ investigation. Total arrests (as opposed to index crime arrests) in Albuquerque went down the most.
- 4. Effective law enforcement strategies exist and are the most costeffective approach to reducing crime.
- 5. Increasing incapacitation can reduce crime but is less costeffective, and shifting incarceration rates do not correlate with shifting crime rates.

The policy challenge is providing effective state support for local efforts to consistently employ crime-reduction strategies.

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Overview



- 1 Crime and Policy
- 2 Victim Services
- **3** Behavioral Health
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Overview



2 Victim Services

Orders of protection

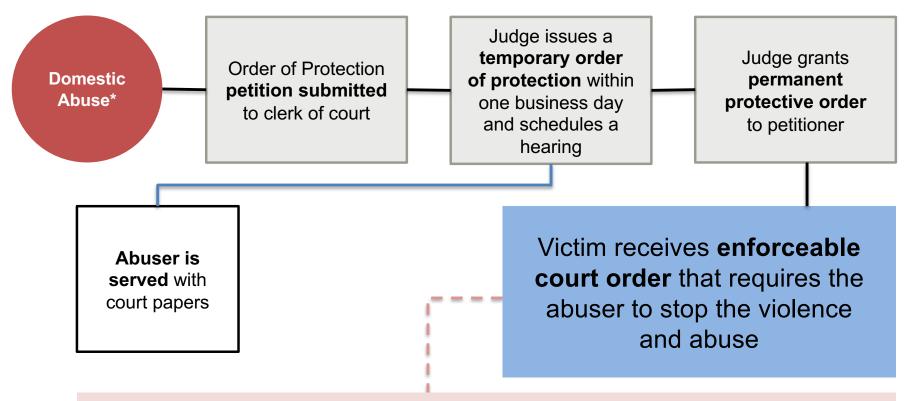
Strategies to support victims

Focus on compensation

Policies in motion



The New Mexico Family Violence Protection Act allows a victim of domestic violence to petition for an order of protection against their abuser.



Protection for victim is lost if:

- 1. Protective order is not readily verifiable by law enforcement
- 2. Protective order is not recognized across all jurisdictions

**Domestic abuse includes stalking, sexual assault, actual or threatened physical harm to individual or children, emotional distress, harassment, and other behaviors. (Section 40-13-2 NMSA)

More crime means more victims, and New Mexico, like all states, has three strategies to directly support victims of crime.



Assistance to Crime Victims through Program Grants

Funding provided to assist survivors of homicide victims; children who witness violence; and victims of child abuse, domestic violence, sexual assault, drunk driving, hate crimes, and elder abuse. The state provides funding for civil legal services, human trafficking, and Intimate Partner Violence Review. The federal government provides funding through the Victims of Crime Act (VOCA) and STOP Violence Against Women Act (VAWA).



Crime Victim Compensation

State and federal funding that provides reimbursement for eligible victims of violent crime. Expenses covered by the Crime Victim Reparation Commission include medical and dental care, mental health counseling, and loss of income.

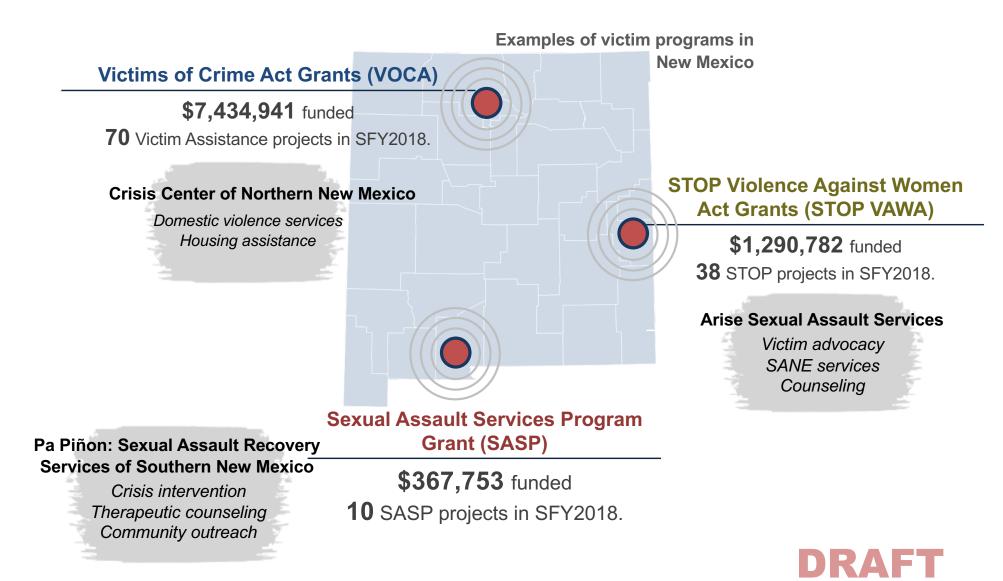


Victim Restitution

Payments made by the defendant to the victim for the harm caused by the crime.



The Crime Victims Reparation Commission leverages over nine million dollars in federal funding that helps support 120 victim service programs statewide.





The VOCA Assistance award has increased dramatically since FY2015 and NM is leveraging these funds to address victim service gaps.



A 2017 Crime Victim Reparations Commission needs assessment identified populations in need of increased services

Top "unserved" populations

Those in extreme rural isolation, human trafficking victims, non-English speaking Asian communities, homeless victims, immigrant communities

Greatest "underserved" populations

Those who are impoverished or low income, persons identifying as LGBTQ, individuals with limited English proficiency

"Inadequately served" populations

Individuals with substance addictions, persons with developmental disabilities, persons with mental illnesses

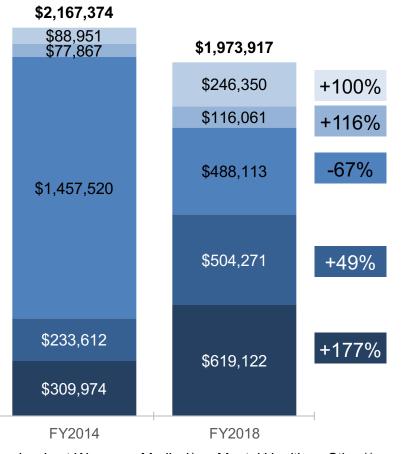
A Strategic Implementation Plan has been developed to guide targeted outreach and service provision in these communities



Source: https://ojp.gov/ovc/grants/cvfa2017.html; email communication from National Association of VOCA Assistance Administrators, March 23, 2018.

New Mexico's crime victim compensation program should be an essential resource for victims related to crime and violence.

Victim Reparation Payments by Type



■ Funeral ■ Lost Wages ■ Medical* ■ Mental Health ■ Other**

To be eligible an injured crime victim or survivor must:

- Report the crime to law
 enforcement
- Cooperate with the investigation and prosecution⁺; and
- Apply for compensation within two years of injury

Compensation is administered by the Crime Victims Reparations Commission under Chapter 31, Article 22.

Compensation is a payor of last resort, covering expenses only if there is no third party payor (typically insurance) able to make those payments.

* Medical expenses include hospital, medical, ambulance, and dental expense categories.

** Other expenses include pecuniary, rent and relocation, travel, and crime scene clean-up expense categories.

⁺ An applicant is only required to cooperate with the investigation and prosecution in order to receive compensation if an active investigation is being conducted by a law enforcement agency and/or a case has been brought by a prosecuting attorney.

Source: New Mexico Crime Victims Reparation Commission "NMCVRC Annual Report" by year.

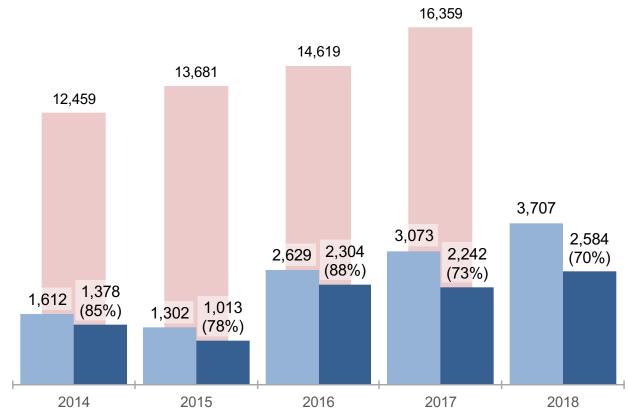
As violent crime has increased in the state, applications to the New Mexico Crime Victim Reparation Commission have also increased.

Violent Crime and Victim Compensation Applications

Reported Violent Crime*

Applications Received

Applications Approved**



The total number of victims of violent crime in New Mexico is unknown, but based on the number of reported violent crimes, we can infer that a large number of victims do not apply for compensation.

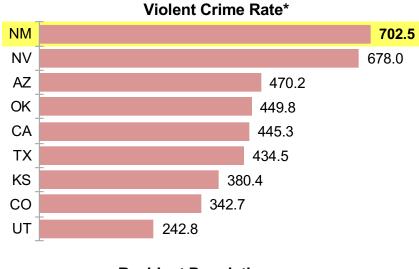
*Violent crime offenses are: murder/non-negligent manslaughter, rape, robbery, and aggravated assault. (Eligible crimes in New Mexico are: Aggravated assault; Aggravated battery; Criminal sexual contact of a minor; Criminal sexual penetration; Murder; Voluntary manslaughter; Involuntary manslaughter; Abandonment or abuse of a child; Homicide by vehicle or great bodily injury by vehicle; Aggravated stalking; Kidnapping; Arson resulting in bodily injury; Aggravated arson; Aggravated indecent exposure; Dangerous use of explosives; Negligent use of a deadly weapon; and Human Trafficking)

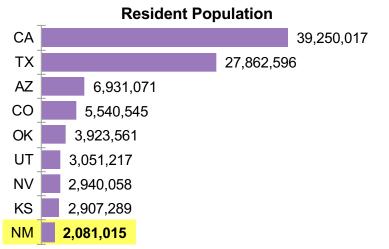
**Applications approved includes applications with pending expenses.

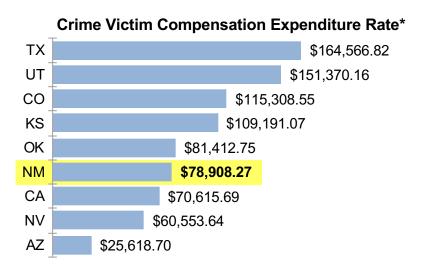
Source: FBI, "Crime in the United States" reports by year; New Mexico Crime Victims Reparation Commission "NMCVRC Annual Report" by year; CSG Justice Center correspondence with CVRC. Crime numbers are based on calendar year and compensation application numbers are based on state fiscal year (July 1 – June 30).



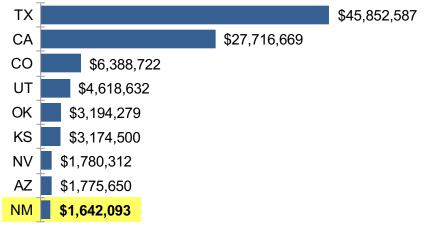
In 2016, New Mexico had the highest violent crime rate and the fourth-lowest compensation expenditures per 100,000 residents in the region.







Total Crime Victim Compensation Expenditures



*Rates are per 100,000 residents

Source: Office for Victims of Crime 2018 Crime Victims Fund Allocation; FBI "Crime in the United States, 2016"

JR policies in Missouri have significantly increased access to compensation for victims of crime.



Dalton said the ho them, sometimes

THE KANSAS CITY STAR.

Advocates: Missouri crime victim aid

November 24, 2018 08:58 AM Updated November 24, 2018 08:59 AM

IEFFERSON CITY, MO. - A new law meant to make it easier for Missouri crime victims to request financial aid to help pay for medical coverage, counseling and other expenses appears to be working, advocates say.

Only a few months after a legislative overhaul of the program took effect, it is noticeably easier for victims to request such aid, said Katie Dalton, the director of programs and volunteers at the Crime Victim Center, a St. Louis group that helps victims apply for such aid.

Before the changes took effect in August, victims had to submit a notarized application to receive the aid, which can also cover lost wages or other expenses related to crimes committed against them. They'd need to send in official documents and medical paperwork by mail, and hospitals and other physicians were not allowed to do so on their behalf. Furthermore, they were required to report crimes to police within 48 hours and to cooperate with prosecutions that might not occur until years later.

"Only a few months after a legislative overhaul of the program took effect, it is noticeably easier for victims to request [victims' compensation],"

"...Dropping the police report requirement has led to more domestic violence victims applying for help who might not have been eligible before."



Victim-centered policies already in motion

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Expand statutory language stipulating who a crime victim may report to in order to receive crime victims' compensation.



Provide Crime Victims Reparation Commission with good cause exceptions for the requirement that victims must report to law enforcement within two years.



Require law enforcement and district attorneys to notify identified victims of crime victims' compensation and their eligibility to apply.



Key takeaways about victim services

- 1. Orders of protection are ineffective if not supported by a statewide structure that ensures recognition across all jurisdictions and law enforcement agencies.
- 2. Crime victims compensation is funded by both state and federal dollars, and federal contribution depends on prior state contribution. Increased funding for crime victims compensation can ensure robust services for future victims of crime.

Therefore the policy challenges are:

ensuring that protective orders from any court in the state are accessible to law enforcement, and

providing additional resources for victim compensation, in order to meet need and maximize federal dollars.



Overview



Crime and Policy

2 Victim Services

- **3** Behavioral Health
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Overview



3 Behavioral Health

National trends

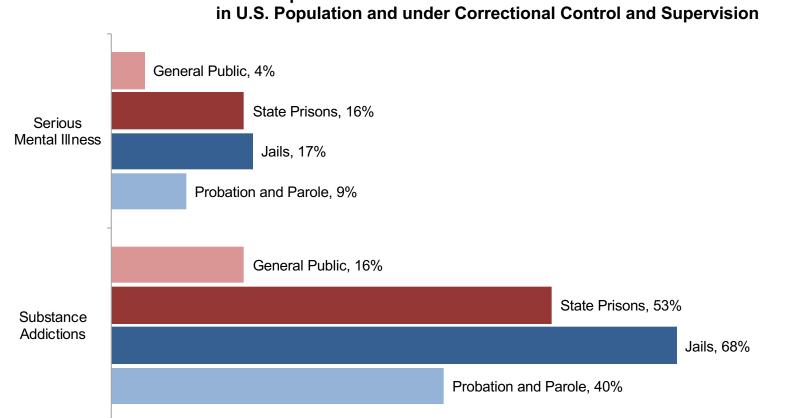
Addressing criminogenic needs

Impact in New Mexico

State examples



Nationally, a large number of people who enter the criminal justice system have behavioral health needs.

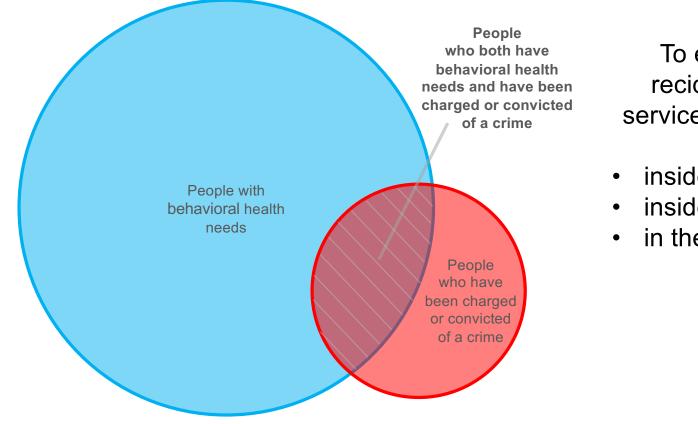


Estimated Proportion of Adults with Mental Health and Substance Addictions

Source: Alex M. Blandford and Fred Osher, Guidelines for the Successful Transition of People with Behavioral Health Disorders from Jail and Prison (New York: SAMHSA's GAINS Center and The Council of State Governments Justice Center, November 2013). https://csqjusticecenter.org/wpcontent/uploads/2013/12/Guidelines-for-Successful-Transition.pdf.



Addressing the behavioral health needs of this population can improve public safety outcomes by reducing recidivism.



To effectively reduce recidivism, an array of services must be available:

- inside county jails;
- inside state prisons; and
- in the **community**.



In many places, behavioral health needs go unmet until a person has progressed farther into the criminal justice system.

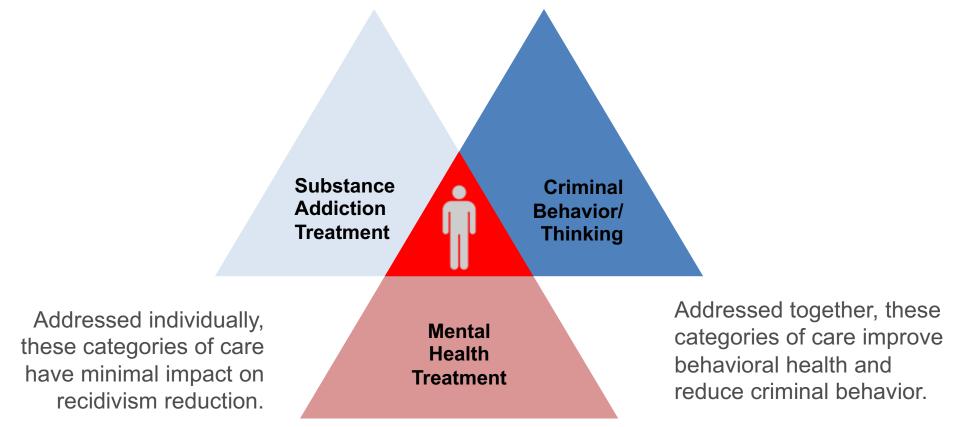
At what point do state or local systems administer behavioral health screenings or assessments?

	Common state/local administration of screens/ assessments	New Mexico's administration of screens/ assessments	Frequency of Occurrence
Law enforcement encounter	\bigotimes	Θ	
Arrest	\bigotimes	\bigotimes	
Pretrial jail commitment	•	Θ	OFTEN
Jail sentence	•	•	ALWAYS
Prison sentence	•	\bigcirc	
Probation	Θ	\bigcirc	
Parole	Θ	Θ]



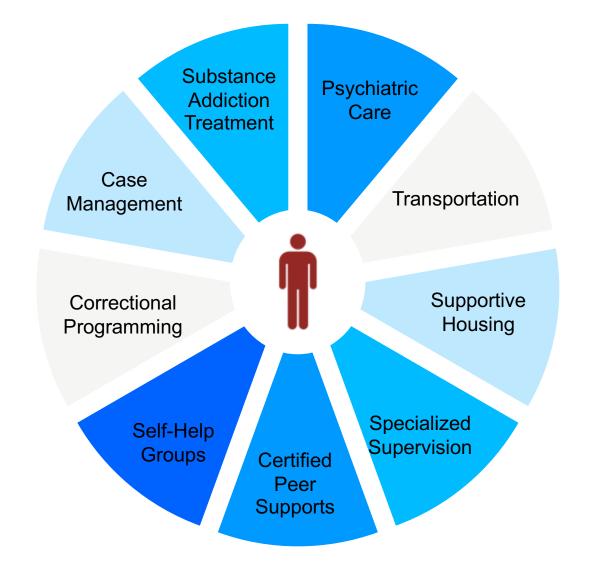
Effective treatment for people in the criminal justice system addresses both criminogenic and behavioral health needs.

Core Treatment Competencies Required for Behavioral Health and Recidivism-Reduction Improvements.





Accessing the complicated and numerous needs of people who have behavioral health needs can be challenging because of the nature and the quantity of services they require.

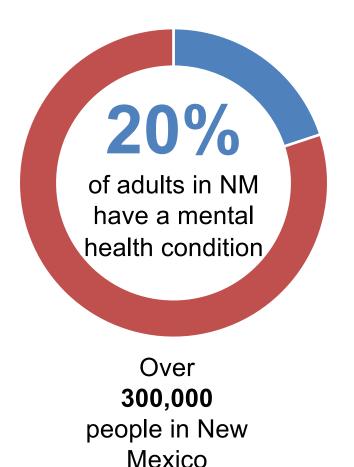


Common Access Challenges:

- Funding limitations
- Practical barriers (transportation, child care)
- Workforce and capacity shortages



New Mexico has poor behavioral health outcomes.





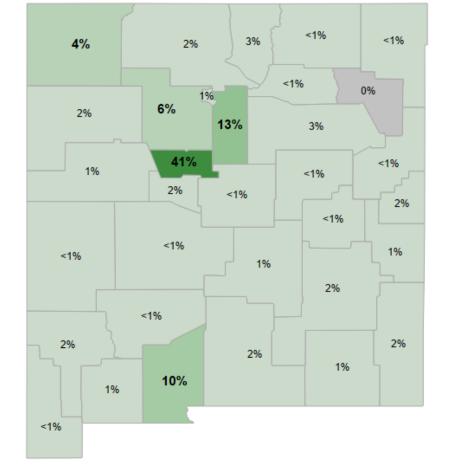
Over **4%** of adults in New Mexico report having alcohol dependence, which is the highest rate in the country.

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Source: Mental Health America, "The State of Mental Health In America 2018" (November 2017). http://www.mentalhealthamerica.net/sites/default/files/2018%20The%20State%20of%20MH%20in%20A merica%20-%20FINAL.pdf

In 2016, New Mexico had one of the highest ratios of residents to mental health providers in the nation, but stakeholders all report workforce shortages and concerns about the "pipeline."

Rank	State	Ratio of Residents to MH Providers	
1	Massachusetts	200:1	
2	Maine	240:1	
3	Oregon	250:1	
4	Vermont	260:1	
5	Oklahoma	270:1	
6	New Mexico	280:1	
7	Rhode Island	290:1	
8	Alaska	300:1	
9	Connecticut	310:1	
10	California	350:1	
46	Arizona	850:1	
47	Georgia	900:1	
48	West Virginia	950:1	
49	Texas	1,070:1	
50	Alabama	1,260:1	
National Average		536:1	



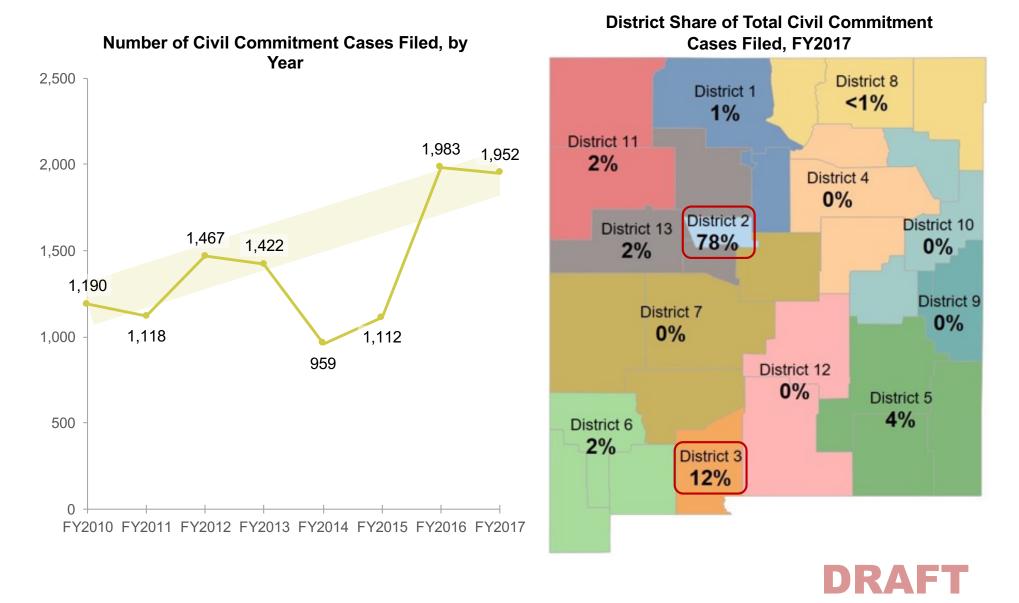
Percent of State's Total Mental Health and/or Substance Use Providers by County

Rankings are based on provider ID counts. Data limitations exist: not all providers are required to obtain an ID number, and some ID numbers are for providers who are no longer active.

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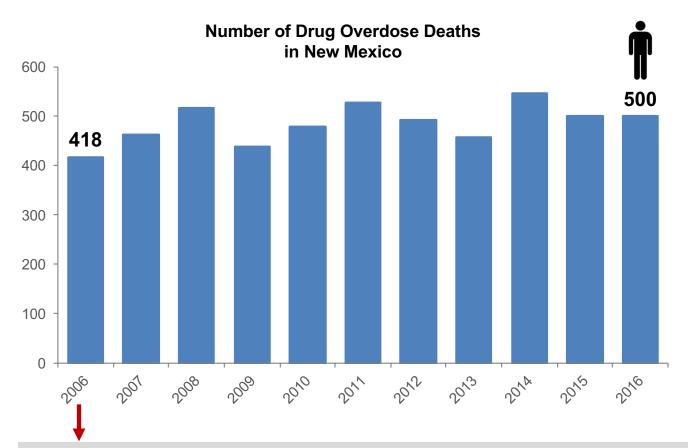
Source: Mental Health America, "The State of Mental Health in America 2018"; New Mexico Health Care Workforce Committee "2017 Annual Report" (October 2017).`

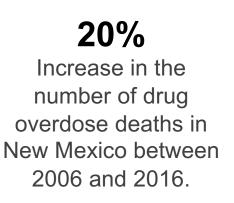
The number of civil commitment cases filed increased 64 percent from 2010 to 2017.



Source: CSG Justice Center analysis of AODA court case filing data (November 2018).

New Mexico has experienced increases in the number and rate of drug overdose deaths.



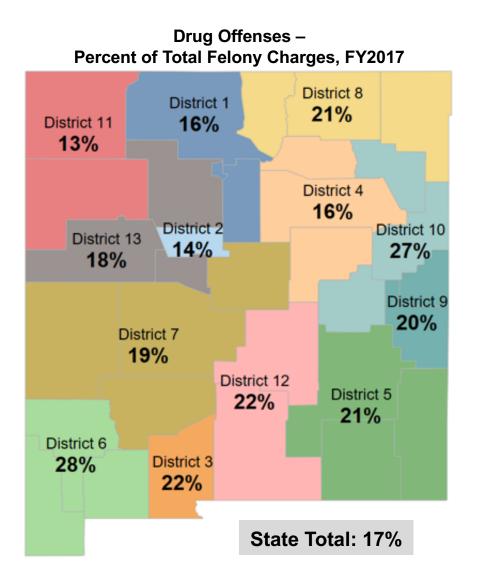


In 2006, New Mexico had the highest rate of overdose deaths in the country, with 22 overdose deaths per 100,000 residents. Although the state's overdose death rate was no longer the highest by 2016, it had risen to 25 overdose deaths per 100,000 residents.

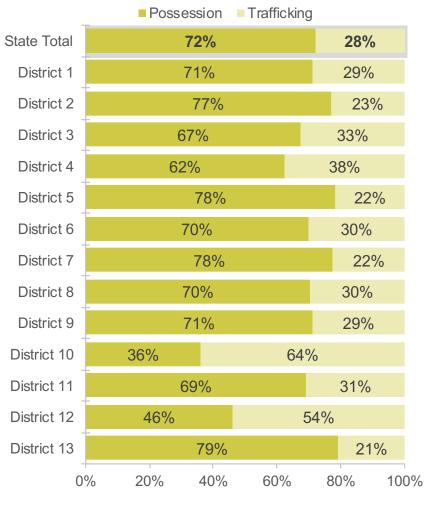
Source: Centers for Disease Control and Prevention, National Center for Health Statistics. "Underlying Cause of Death 1999-2016" on CDC WONDER Online Database, released December, 2017. (http://wonder.cdc.gov/ucd-icd10.html). Rates shown are age-adjusted based on population.

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Drug offenses make up 14 to 28 percent of all felony charges received by prosecutors, and most are for possession.



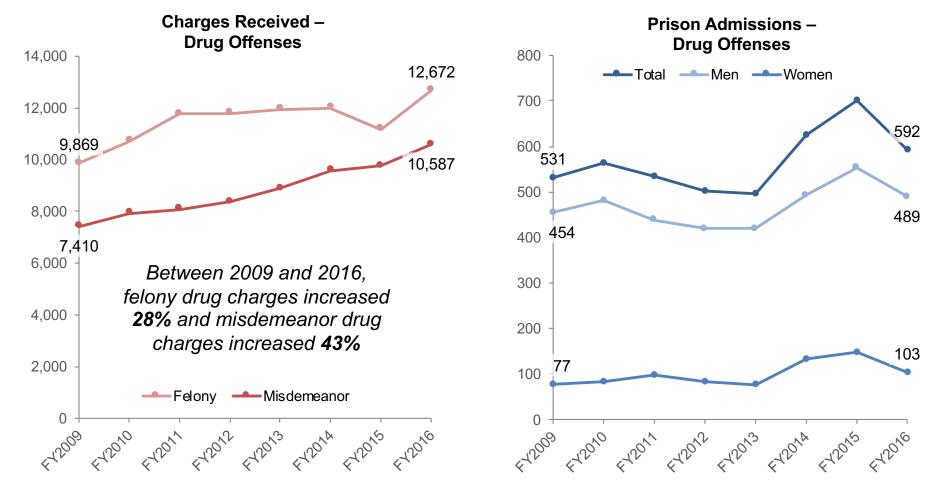
FY2017 Felony Drug Charges



Total felony charges represent all felony offenses received by prosecutors, based on fiscal year of offense, and may include probation revocations and juvenile cases.

Source: CSG Justice Center analysis of AODA charge data (November 2018).

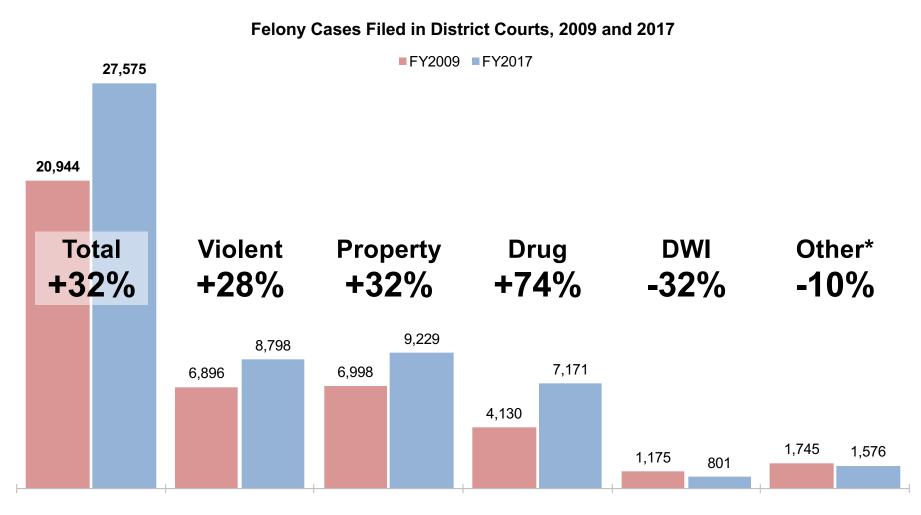
Drug charges received by prosecutors and prison admissions for drug offenses are increasing, though prison admissions began to decline beginning in 2015.



Charges received represent all offenses received by prosecutors, based on fiscal year of offense, and may include probation revocations and juvenile cases.

Source: CSG Justice Center analysis of AODA charge data (November 2018); New Mexico Sentencing Commission, "New Mexico Prison Population Forecast: FY2019 — FY 2028" (June 2018).

The total number of felony cases filed in district courts has increased, particularly for drug offenses, which have increased by 74 percent.



Note: Totals may include juvenile cases. Offense level and type based on most serious charge in case. Less than 1% of cases were missing offense information each year.

*Other offenses include escape, failure to appear, traffic offenses, animal offenses, and weapons offenses.



Source: CSG Justice Center analysis of AODA court case filing data (November 2018).

Other states provide community behavioral health treatment targeting the probation and parole population.



\$8.3M for Substance Use Disorder (SUD) services for drug treatment serving approximately 4,500 people on supervision annually (FY2017)



\$7.5M in community treatment facilities primarily for people on supervision (FY2017)



\$5M to expand community treatment access for people in the justice system and established standards based on evidence-based practices (2015)

Colorado

\$9M in correctional treatment cash fund for the community supervision and other populations to offset treatment costs (FY2019)



Key takeaways about behavioral health

- A large number of people who enter the criminal justice system have behavioral health needs and addressing those needs can improve public safety outcomes by reducing recidivism.
- 2. This population often has complex behavioral health needs, and **states must ensure access** to the range of treatment and services necessary to adequately address both criminogenic and behavioral health needs.
- 3. The poor behavioral health outcomes in New Mexico take a toll on multiple systems—the **increasing overdose death rate** illuminates the public health impact of unaddressed substance addictions, and the **increase in charges and filings** related to drug offenses shows the impact on New Mexico's criminal justice system.

The policy challenge is providing state support and structure to ensure that behavioral health and criminal justice system actors work collaboratively to promote behavior change.



Overview



- Crime and Policy
- 2 Victim Services
- **3** Behavioral Health

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- 4 Probation
- 5 Next Steps

Overview



4 Probation

Importance of probation

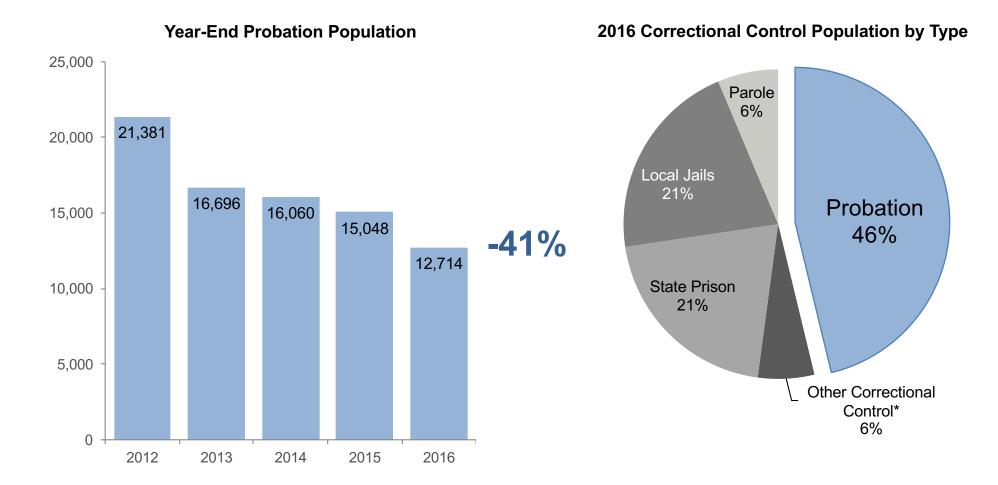
Sentencing and revocation

Reducing recidivism

Probation policies



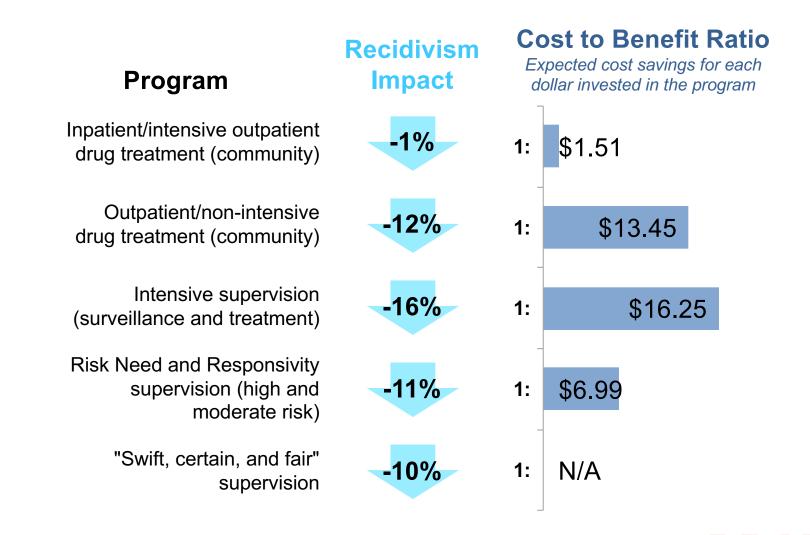
People on probation make up almost half of all people under some form of correctional control.



Correctional control population numbers are based on corrections population counts gathered between 2013 and 2016. *Other correctional control includes reported categories of federal prison, juvenile incarceration, civil commitments, and Indian Country jails

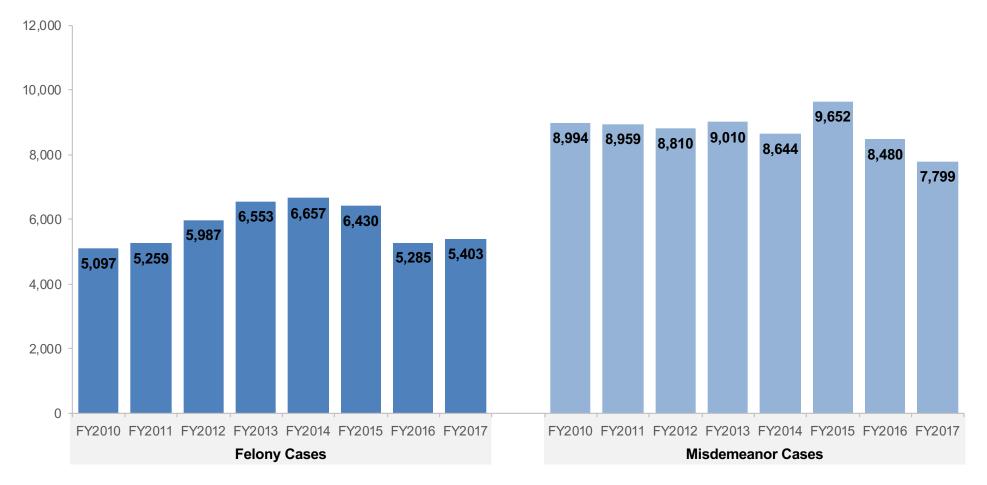
Source: BJS, Correctional Statistical Analysis Tool (CSAT); Prison Policy Initiative, "Correctional Control: Incarceration and supervision by state" (http://www.prisonpolicy.org/reports/50statepie.html).

Existing research shows that when done well, probation and treatment have the greatest potential to reduce recidivism.



Sources: WSIPP, Inventory of Evidence-Based and Research-Based Programs for Adult Corrections, http://www.wsipp.wa.gov/ReportFile/1681/Wsipp_Inventory-of-Evidence-Based-Research-Based-and-Promising-Programs-for-Adult-Corrections_Report.pdf.

New Mexico courts contributed about 13,000 cases to probation/ misdemeanor compliance caseloads in 2017.

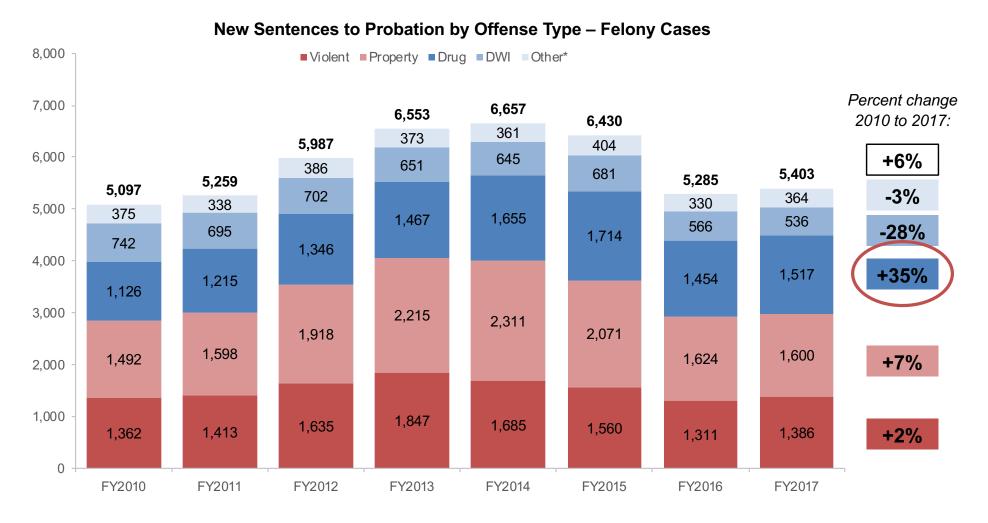


New Sentences to Probation, by Offense Level

Excludes juvenile sentences, probation revocations, and deferred sentences and conditional discharges. Offense level is based on the most serious charge at disposition for each case. Less than 1 percent of sentences to probation each year were missing offense information.

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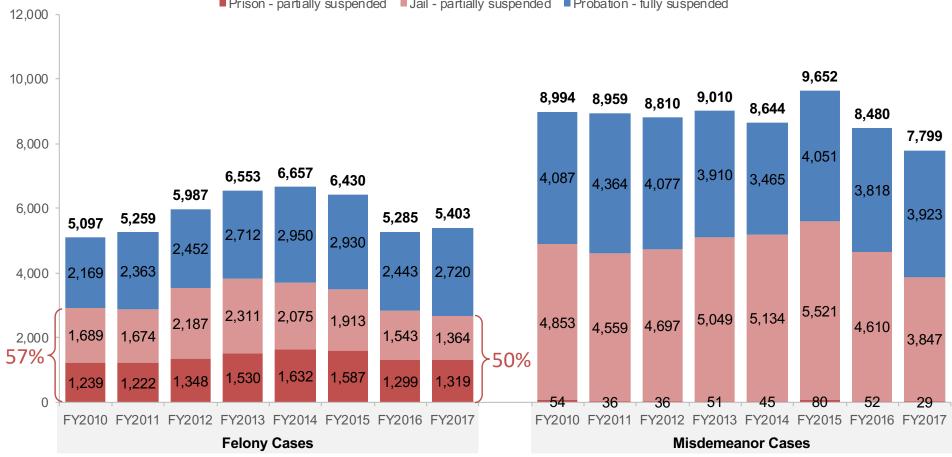
New sentences to probation for felony drug offenses increased 35 percent between 2010 and 2017.



Excludes juvenile sentences, probation revocations, and deferred sentences and conditional discharges. Offense level and type are based on the most serious charge at disposition for each case. Less than 1 percent of sentences to probation each year were missing offense information. *Other offenses include escape, failure to appear, traffic offenses, animal offenses, and weapons offenses.

Source: CSG Justice Center analysis of AODA case sentencing data (November 2018).

Felony sentences to probation are confinement sentences that are partially suspended in over half of cases each year except 2017.



New Sentences to Probation

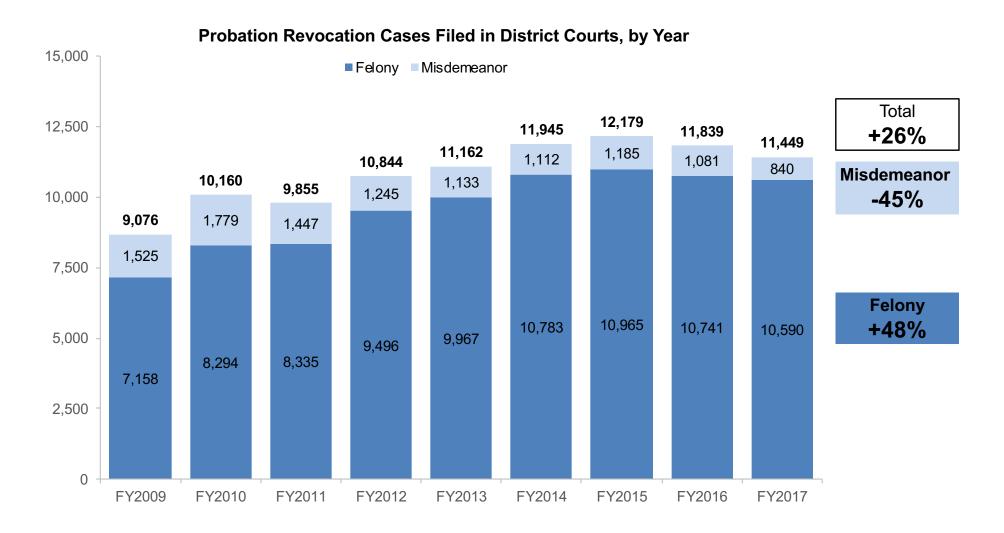
Prison - partially suspended Jail - partially suspended Probation - fully suspended

Excludes juvenile sentences, probation revocations, and deferred sentences and conditional discharges. Offense level is based on the most serious charge at disposition for each case. Less than 1 percent of sentences to probation each year were missing offense information.

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Source: CSG Justice Center analysis of AODA case sentencing data (November 2018).

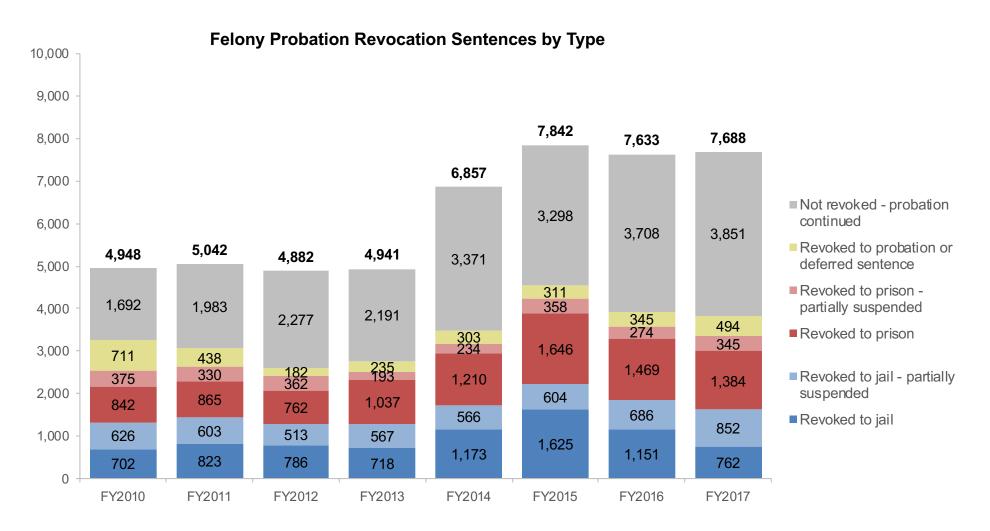
Felony probation revocation cases filed in district courts increased almost 50 percent between 2009 and 2017.



Note: Probation revocation cases are identified by case class and may include juvenile cases. Offense level based on most serious charge in case. Less than 1 percent of cases were missing offense information each year.

Source: CSG Justice Center analysis of AODA court case filing data (November 2018).

Typically about half of revocation actions are continued on probation, and those that result in prison or jail may be partially suspended.



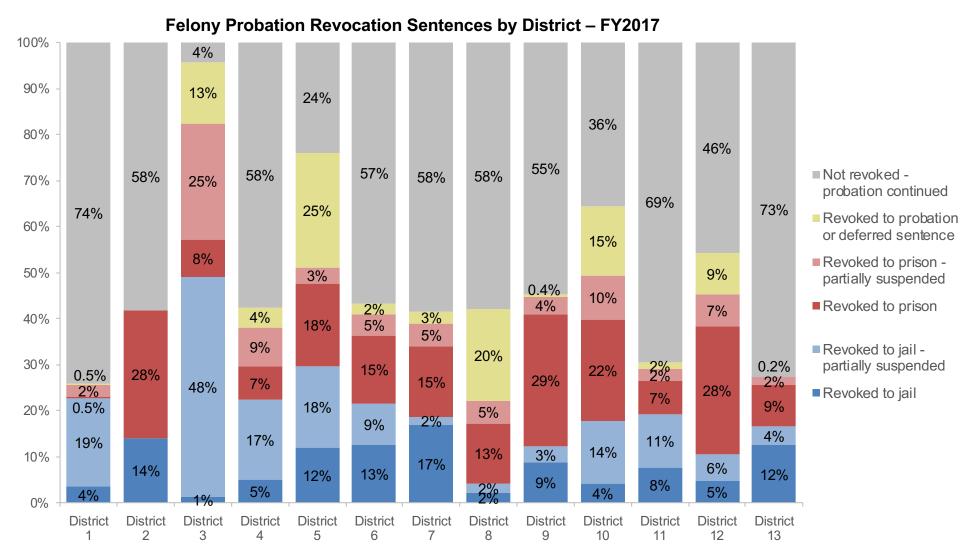
Excludes juvenile sentences. Probation revocation sentences are identified by sentence type. Approximately 2% of sentenced cases did not include sentence type information.

Offense level is based on most serious charge in case. Less than 1 percent of cases were missing offense information each year.



Source: CSG Justice Center analysis of AODA case sentencing data (November 2018).

Responses to felony probation revocations vary widely by district.



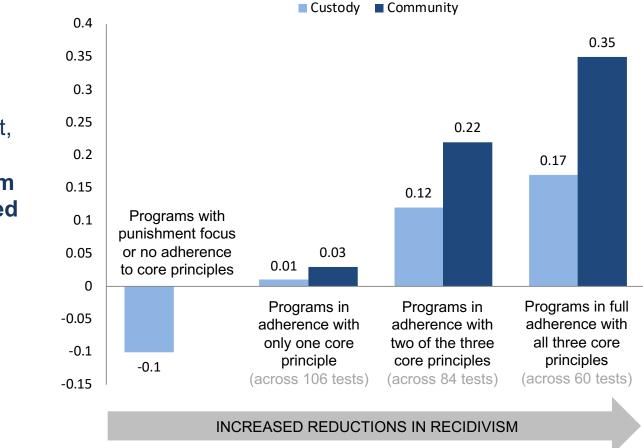
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Source: CSG Justice Center analysis of AODA case sentencing data (November 2018).

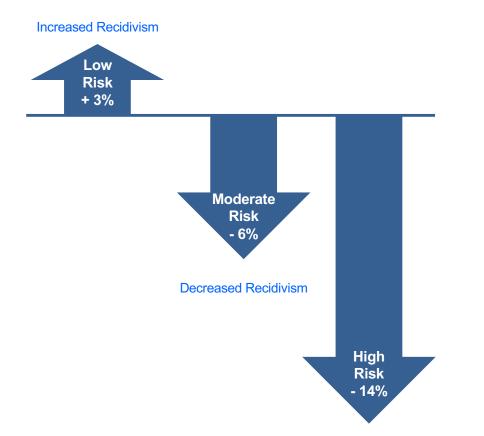
Strong adherence to risk, need, and responsivity (RNR) principles increases the effectiveness of recidivism-reduction programming.



MEAN EFFECT SIZE BY RNR ADHERENCE AND CORRECTIONAL SETTING

RNR program approaches within prisons are important, but maximum recidivism reduction is achieved when those RNR programs are also delivered in the community after release. Failing to adhere to the risk principle can actually increase recidivism for low-risk individuals.

Average Difference in Recidivism by Risk for Individuals in Ohio Halfway House



Individuals who are at **low risk** of recidivating

- Do well with minimal intervention;
- Have protective factors which are disrupted with intensive services and supervision; and
- Learn more ingrained criminal behaviors when put with individuals who have higher risk.



Sources: Presentation by Latessa, "What Works and What Doesn't in Reducing Recidivism: Applying the Principles of Effective Intervention to Offender Reentry". D.A. Andrews and J.Bonta. The Psychology of Criminal Conduct, 5th Ed. (New York, New York: Routledge, 2010). Reducing recidivism among the people on probation requires many processes to work well, and requires buy-in from judges in particular.

1	Assess risk and need
2	Target the right people
3	Frontload supervision and treatment
4	Ensure adequate linkage to proven programs
5	Use case planning to facilitate behavior change
6	Respond to both positive and negative behaviors
7	Hold individuals accountable

Are the right approaches being taken with the right people?

If not, efforts to change behavior (and reduce recidivism) will be much less effective and sometimes counterproductive.

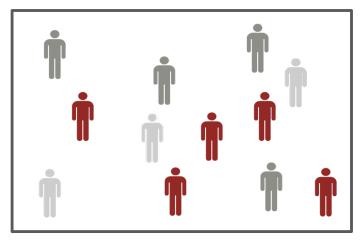
NMCD supervises based on risk level but a high level of overrides counteracts effectiveness.

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The results of standardized risk assessments should be used to group people according to their relative risk of recidivism.

The **Risk Principle**—tells us *whom* to target

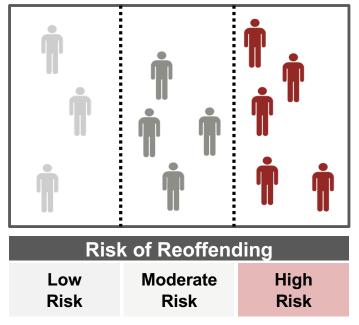
Without Risk Assessment...



Risk level is not an indicator of:

- ≠ Dangerousness
- ≠ Severity of offense
- ≠ Guaranteed individual prediction
- ≠ Offense-specific reoffending

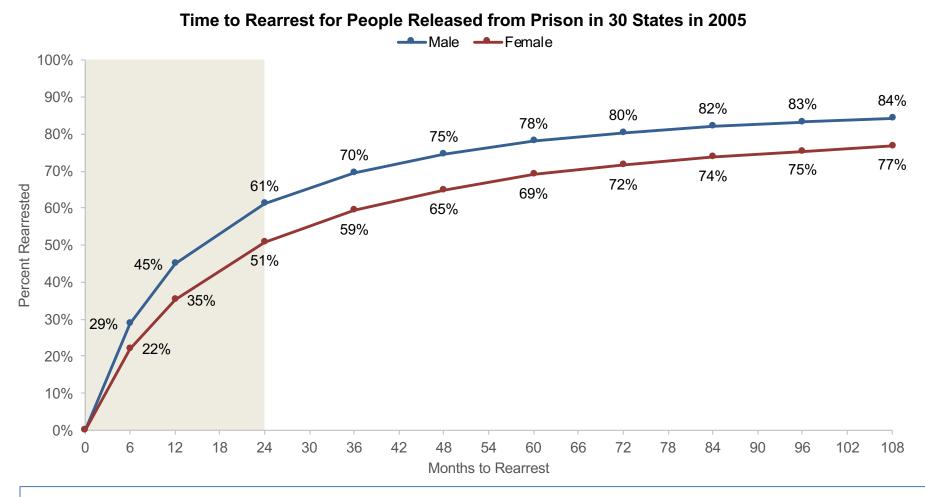
With Risk Assessment...



Typically 1/3rd of the population falls into each category

NMCD utilizes the COMPAS risk assessment tool, but it has not been validated on the New Mexico correction population.

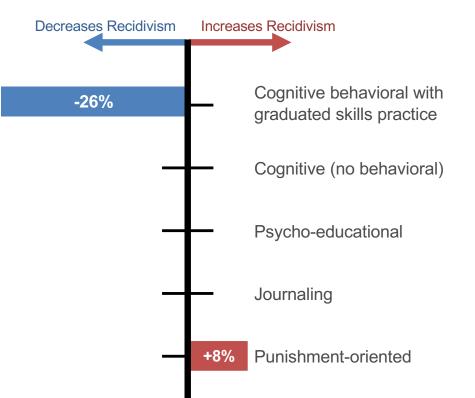
Failure on supervision usually occurs early in the supervision term, so resources should be dedicated to when people are most at risk of failure.



NMCD has an early discharge policy for early release from supervision, which would free up officer time, but it is rarely used.

Source: BJS, "Recidivism of Prisoners Released in 30 States in 2005: Patterns from 2005 to 2010" and "2018 Update on Prisoner Recidivism: A 9-Year Follow-up Period (2005-2014)"

Programs should be proven effective and meet the unique needs of people in the criminal justice system.



Changes in Recidivism by Program Type

Programs should utilize cognitive behavioral approaches regardless of area of focus (e.g., criminal thinking, substance use, sex offender).

Skill building with structured **skills practice** is an essential component of effective programs.

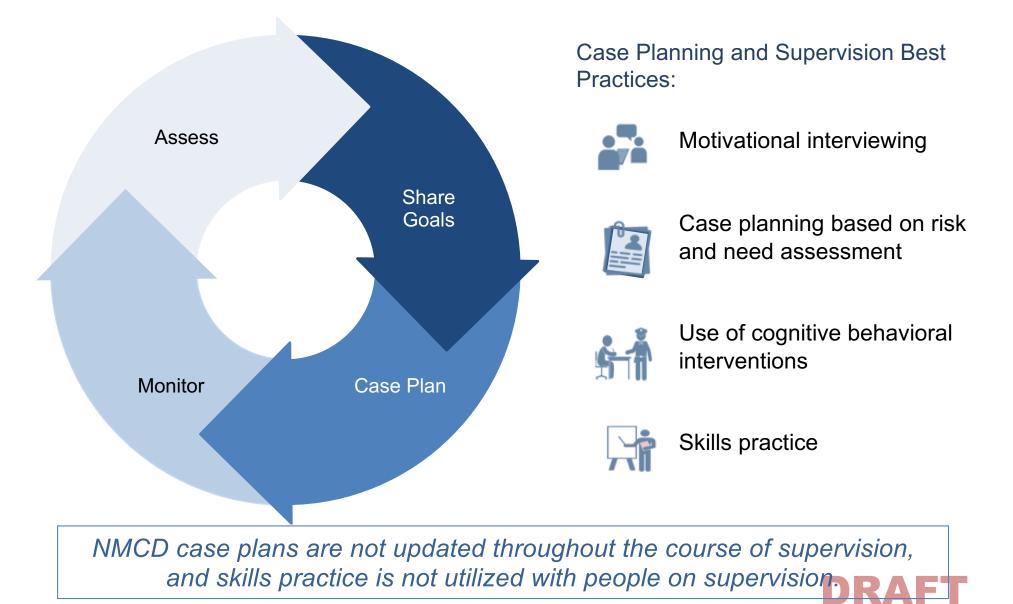
Women should receive gender-responsive services with a trauma-informed approach.

Some, but not all, programs used by NMCD are based on what works to reduce recidivism.

Sources: Mark Lipsey, "The Primary Factors that Characterize Effective Interventions with Juvenile Offenders: A Meta-Analytic Overview," Victims & Offenders: An International Journal of Evidence-Based Research, Policy, and Practice, 4, no. 2 (2009): 124-147. D.A. Andrews and J.Bonta. The Psychology of Criminal Conduct, 5th Ed. (New York, New York: Routledge, 2010).

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Proactive case planning allows for a collaborative approach to behavior change that minimizes reactive supervision.



Research highlights the challenge of balancing punishment and behavior change.



NMCD's "StePS" is a technical violation program, but it is inconsistent across judicial districts and there is no structured system for providing incentives.

Sources: Christopher T Lowenkamp, Marie VanNostrand, and Alexander Holsinger, "The Hidden Costs of Pretrial Detention," (Laura and John Arnold Foundation, 2013); E.J. Wodahl et al. "Responding to probation and parole violations: Are jail sanctions more effective than community-based graduated sanctions?" (Journal of Criminal Justice, 43: 242-250); National Institute of Justice, "Five Things about Deterrence" (2016), https://www.ncjrs.gov/pdffiles1/nij/247350.pdf



Swift, certain, and fair punishment coupled with cognitive behavioral interventions and incentives effectively promotes positive behavior change.

Swift

Sanctions are quick. Limit the time between violation and consequence.

Certain Sanctions are predictable. Consequences are not random. There are set responses for certain violations.

Fair and Appropriate The severity and duration of a response to a violation is proportionate to the violation.

Punishment Is Not Enough



Punishment stops behavior



Use of reinforcement and cognitive behavioral interventions (CBI) teaches new skills and promotes long-term behavior change

- Case planning
- Homework assignments
- Referral for treatment or programming
- 1-on-1 CBI strategies utilized by probation and parole officers

NMCD officers are not trained to utilize CBI in conjunction with violation behavior to promote behavior change.

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Probation policy changes already in motion

Spell out in law the purposes of probation.

Require validated risk and needs assessment and principles of effective intervention.

3

Use a statewide system of incentives and sanctions to respond to behavior under supervision.



Key takeaways about probation

- 1. Probation is critically important as an opportunity to reduce recidivism for a large population. States tend to overlook this significance, underfund probation, and focus on prisons.
- 2. Sentences to felony probation:
 - Occur about 6,000 times a year, with drug cases notably increasing
 - Involve confinement in jail or prison about half the time
- 3. Revocation cases on felony probation:
 - Are growing significantly
 - Are often disposed without resulting in actual revocation
 - Differ dramatically, in terms of revocation rate, by judicial district
- 4. NMCD probation officials know where and how their system needs to evolve and improve.

The policy challenge is providing resources and policy support for NMCD to achieve greater success with probation. This also requires education and buy-in from judges, the parole board, and others.



Overview



- 1 Crime and Policy
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- 4 Probation

5 Next Steps



Revisiting the proposed policy challenges for input from the working group

Providing effective state support for local efforts to consistently employ crimereduction strategies.

Providing additional resources for victim compensation, in order to meet need and maximize federal dollars.

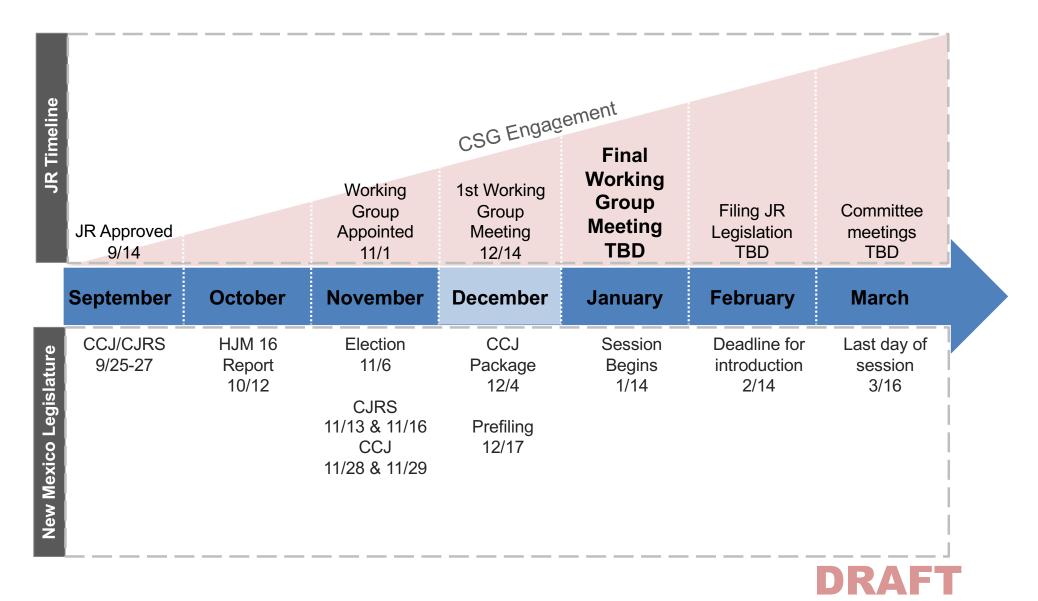
Ensuring that protective orders from any court in the state are accessible to law enforcement.

Providing state support and structure to ensure that behavioral health and criminal justice system actors work collaboratively to promote behavior change.

Providing resources and policy support for NMCD to achieve greater success with probation. This also requires education and buy-in from judges, the parole board, and others.



The accelerated Justice Reinvestment timeline and the New Mexico legislative timeline will converge in January.



THANK YOU

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