1	SENATE CORPORATIONS AND TRANSPORTATION COMMITTEE SUBSTITUTE FOR SENATE BILL 903
2	46TH LEGISLATURE - STATE OF NEW MEXICO - FIRST SESSION, 2003
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10	AN ACT
11	RELATING TO THE PUBLIC PEACE, HEALTH, SAFETY AND WELFARE;
12	AMENDING THE PUBLIC REGULATION COMMISSION ACT PROVISIONS FOR
13	COMMUNICATIONS AMONG PARTIES, STAFF AND THE COMMISSION IN
14	CONNECTION WITH RULEMAKING AND ADJUDICATORY HEARINGS.
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16	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:
17	Section 1. Section 8-8-12 NMSA 1978 (being Laws 1998,
18	Chapter 108, Section 12) is amended to read:
19	"8-8-12. UTILITY DIVISION
20	A. The utility division shall serve as staff to the
21	commission in the regulation of electric, natural gas,
22	renewable energy sources, telecommunications and water and
23	wastewater systems as provided by law.
24	B. The commission shall set minimum educational and
25	experience requirements for the director of the utility
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C. The utility division shall represent the public 2 interest in utility matters before the commission and may 3 present testimony and evidence and cross-examine witnesses. 4 In order to represent the public interest, the utility division 5 shall present to the commission its beliefs on how the 6 7 commission should fulfill its responsibility to balance the interests of consumers and investors. 8 9 D. The utility division shall perform the functions 10 of the telecommunications department of the former state 11 corporation commission and staff functions, not including 12 advisory functions, of the former New Mexico public utility 13 commission. 14 E. Utility division staff shall not have ex parte 15 communications with commissioners or a hearing examiner 16 assigned to a utility case, except as expressly permitted pursuant to Section 8-8-17 NMSA 1978." 17 18 Section 2. Section 8-8-14 NMSA 1978 (being Laws 1998, 19 Chapter 108, Section 14) is amended to read: 20 "8-8-14. HEARING EXAMINERS. --21 The commission may appoint a commissioner or a A. 22 hearing examiner to preside over any matter before the 23 commission, including rulemakings, adjudicatory hearings and administrative matters.

B. Except as provided in the New Mexico Insurance . 146149.2

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Code, a hearing examiner shall provide the commission with a recommended decision on the matter assigned to him, including findings of fact and conclusions of law. The recommended decision shall be provided to the parties, and they may file exceptions to the decision prior to the final decision of the commission.

7 <u>C. When the commission has appointed a hearing</u>
8 examiner to preside over a matter, at least one member of the
9 commission shall, at the request of a party to the proceedings,
10 attend oral argument."

Section 3. Section 8-8-17 NMSA 1978 (being Laws 1998, Chapter 108, Section 17) is amended to read:

"8-8-17. EX PARTE COMMUNICATIONS. --

A. A commissioner shall not initiate, permit or consider a communication directly or indirectly with a party or his representative outside the presence of the other parties concerning a pending rulemaking after the record has been closed or a pending adjudication.

B. A hearing examiner shall not initiate, permit or consider a communication directly or indirectly with a party or his representative outside the presence of the other parties concerning a pending rulemaking or adjudication.

C. Notwithstanding the provisions of Subsections A and B of this section, the following exparte communications are permitted:

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1 (1) where circumstances require, ex parte communications for procedural or administrative purposes or 2 emergencies that do not deal with substantive matters or issues 3 4 on the merits are allowed if the commissioner or hearing examiner reasonably believes that no party will gain an 5 advantage as a result of the ex parte communication and the 6 7 commissioner or hearing examiner makes provision to promptly 8 notify all other parties of the substance of the ex parte 9 communi cati on; 10 a commissioner may consult with another (2) 11 commissioner or with advisory staff whose function is to advise 12 the commission in carrying out the commissioner's rulemaking or 13 adjudicative responsibilities; 14 (3) a hearing examiner may consult with the commission's advisory staff; [and] 15 16 (4) a commissioner or hearing examiner may 17 obtain the advice of a nonparty expert on an issue raised in 18 the rulemaking or adjudication if the commissioner or hearing 19 examiner gives notice to the parties of the person consulted 20 and the substance of the advice and affords the parties 21 reasonable opportunity to respond; and 22 (5) a party to a proceeding may consult with 23 the commission's advisory staff. 24 A commissioner or hearing examiner who receives D.

or who makes or knowingly causes to be made a communication . 146149.2

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prohibited by this section shall disclose it to all parties and give other parties an opportunity to respond.

3 Upon receipt of a communication knowingly made Ε. or caused to be made by a party to a commissioner or hearing 4 5 examiner in violation of this section, the commissioner or hearing examiner may, to the extent consistent with the 6 7 interests of justice and the policy of the underlying statutes, 8 require the party to show cause why his claim or interest in 9 the proceeding should not be dismissed, denied, disregarded or 10 otherwise adversely affected on account of the violation of 11 this section."

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