

# OptumHealth<sup>SM</sup>

## NEW MEXICO

**GM Number: CI-16**

**GM Report Name: Anti-Fraud Report**

**Reporting Period: July 1, 2012 through September 30, 2012 (Q1FY13)**

**Report Due Date: October 30, 2012**

**Report Production Date: October 30, 2012**

**Report Producers: Patricia Lefort, Michael Nicholson, Michelle Sprouse**

**Report Business Owner: Richard Strauss**

### Anti-Fraud Analysis Report

#### Recoveries

During this quarter the SIU recouped \$14,859.

#### Cost Avoidance

OHNM avoided \$36,866.68 in payment for specific interventions related to potential FWA. All of this amount came from soft savings.

#### Identified Trends

Three provider profiles were conducted during the quarter. These profiles were primarily focused on the following:

The focus of the first profile was to identify providers who are billing report writing, CPT 90889. Analysis was conducted to identify those providers billing psychotherapy and report writing on the same dates of service from 2009 to the present. Report writing is typically considered a component of psychotherapy. A billing pattern such as this is indicative of potential FWA and may warrant further review.

The second profile was to identify providers who are billing both the CPT code (90862) and the HCPCS code (H2010) for medical management on the same date of service. An analysis was performed to identify providers with these billings for dates of service from 2009 to the present. A billing pattern such as this is indicative of potential FWA and may warrant further review.

The third profile was to identify providers who are billing a high volume of HCPCS H2014, Applied Behavioral Services. This code does not require authorization and does not require prior authorization.

The details of these profiling activities, including methodology, data and criteria used, providers identified, follow-up action anticipated, and conclusions (if determined) are

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included in the three Provider Profiling Exception Reports submitted as Attachment B of this report.

### **Case Summary**

During the quarter OHNM referred eight cases to HSD and the Collaborative. Three cases were closed during the quarter with insufficient evidence. There are eighty-nine cases open that are pending further review.

The investigation of Carlsbad Mental Health Center was a focus of priority during the quarter. The SIU received two tips indicating that providers may be misrepresenting time spent with patients. Based on information received and data analysis, the SIU focused on claims billed with CPT H2015, H2017 and double billing. The SIU conducted an onsite audit at the facility to acquire records for audit. During the audit the provider advised that transportation logs, which were part of the SIU's request, were destroyed on a daily basis and would not be available for audit. The record review concluded that H2017 and H2015 were uncertain, as time in and out were not reliable without corresponding transportation logs. The provider was observed billing for CPT 90862 - psychiatric pharmacologic management, which involves the management of medication by a clinician, licensed to prescribe, including prescription, use, and review of medication with psychotherapy. The patient must have a psychiatric or central nervous system illness, or demonstrate emotional or behavioral symptoms affecting functional status, and H2010: comprehensive medication services provided by a licensed professional to assess and evaluate the individual's presenting conditions and symptoms, medical status, medication needs and/or substance abuse status. Comprehensive medication services include medication assessment, medication administration, medication monitoring, and medication education, which are essentially the same service, and records reviewed did not support a separate and identifiable procedure was completed. Additionally, a medical doctor for Carlsbad Mental Health Center, Dr. Musselman, did not appear to have appropriate telehealth licensure since the majority of services rendered were outside the state. A estimated \$1,045,763 was identified for overpayment and an updated report was sent to the state. The case is currently pending further action.

### **Abnormalities, Irregularities, Exceptions**

During this quarter, the SIU audited regulatory referrals on active cases. The results of the audit identified a pattern of untimely filing to HSD and the Collaborative. Upon the discovery of the audit results, an internal corrective action plan was set in place. Currently, the SIU is reorganizing the regulatory reporting process to ensure timely filing in the future.

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### **System Changes**

There were no system changes during Q1 FY13.

### **Planned Activities for Second Quarter SFY 2013**

The following activities are planned for second quarter SFY'13:

- ▶ Continue regular meetings of the Program Integrity Committee.
- ▶ Continue discussion and planning for Pre-payment reviews.
- ▶ The SIU will seek to expand information sharing opportunities with other MCOs, NHCAA and law enforcement.
- ▶ Enhanced FWA education and awareness on schedule for release of planned materials in Q4.

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### CI-16: Provider Profiling Reports

Reporting Period: July 1, 2012 – September 30, 2012 (Q1FY13)

Date of Submission: October 30, 2012

#### Focus of Data Mining:

Providers charging for report writing (CPT 90889). These codes are rarely used yet we are seeing a high volume of these charges for some providers.

#### Data Mining Process

Data was run for psychotherapy codes and the report writing code. The amount paid for the report writing code was combined by provider.

#### Data

The data covers 2009-present.

#### Findings

The listed providers are those we suspect may be billing CPT 90889 as an add-on code or unbundling this code from psychotherapy, which contains documentation within the CPT code. The providers listed are the top ten based on paid dollars for this focus.

Provider	Description/Actions
A New Day Inc	Investigation to be opened
La Familia Mental Health	Current open investigation
Rio Grande Counseling and Guidance	Investigation to be opened
Covenant Child Inc	Current open investigation
Inner Guidance LC	Investigation to be opened
Bridges Incorporation	Investigation to be opened
Consulting Psychological Services	Investigation to be opened
Marc A Caplan PhD	Investigation to be opened
Black, Joanne M.	Investigation to be opened
Doke, Larry A.	Investigation to be opened

#### Conclusion

There appears to be potential exposure where providers may be inappropriately billing for report writing that would be included in the psychotherapy code billed on the same day.

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## CI-16 Provider Profiling Report

July 1, 2012 – September 30, 2012 (Q1FY13)

### Focus of Data Mining:

During a recent SIU investigation we identified providers double billing a medicine management CPT code and medicine management HCPCS code. Unknown what is truly being provided to patients and further investigation is warranted

### Data Mining Process

Data was run for CPT 90862 and HCPCS H2010. The data was analyzed to determine providers that are billing both codes on the same date of service.

### Data

The data covers 2009-present.

### Findings

The listed providers are those we suspect may be double billing a medicine management CPT code and a medicine management HCPCS code.

Provider	Description/Actions
Border Area Mental Health Services Inc	Current open investigation
Carlsbad Mental Health Center or OHNM	Current open investigation
Counseling Associates Inc	Current open investigation
Families and Youth Inc	Investigation to be opened
Guidance Center of Lea County Inc	Investigation to be opened
Mental Health Resources Inc	Current open investigation
Pathways Inc	Current open investigation
Southwest Counseling Center Inc	Current open investigation
St Martin's Hospitality Center	Investigation to be opened
State of New Mexico	Investigation to be opened
The Counseling Center Inc	Current open investigation

### Conclusion

There appears to be potential exposure where providers may be billing a HCPCS code and a CPT for medicine management on the same date of service.

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## CI-16 Provider Profiling Report July 1, 2012 – September 30, 2012 (Q1FY13)

### Focus of Data Mining:

During a recent SIU investigation we identified providers billing for a high volume of H2014 (Applied Behavioral Services). This code does not require an authorization nor has management. Further investigation is warranted.

### Data Mining Process

Data was run for HCPCS H2014. The data was analyzed to determine providers billing the codes.

### Data

The data covers 2009-present.

### Findings

The top three listed providers are those we suspect may be over utilizing H2014.

Provider	Description/Actions
TeamBuilders Counseling Services Inc	Investigation to be opened
Santa Maria El Mirador	Investigation to be opened
Youth and Family Centered Services of New Mexico	Investigation to be opened

### Conclusion

There appears to be potential exposure where providers may be over utilizing HCPCS H2014.

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 Reporting Period: 07/01/2012- 09/30/2012 (Q1 FY13)  
 Submission Date: 10/30/2012

Micro Name	UnitedHealthcare Community Plan New Mexico
Micro Line of Business	MCO indicates line of business (i.e., SCI, SALUD, CoLTS, etc)
Region	Quarterly Program Integrity Report #47
State Fiscal Year	2013
Reporting Period	SFY Q1
Reporting Months	July, August, September

## SFYTD Summary

	SFY Q1	SFY Q2	SFY Q3	SFY Q4	SFYTD Totals
<b>SUSPICIOUS ACTIVITY</b>					
Resolved					
Pending Inventory	86				
<b>RECOUPMENT</b>					
Amount Identified	\$1,105,202.00				
Amount Recovered					
Total Amount Pendi	\$1,105,202.00				
<b>COST AVOIDANCE</b>					
Cost Avoidance Amount					
<b>RETURN ON INVESTMENT</b>					
Retrospective Recovered	\$14,859.75				
SIU Cost	\$149,341.53				
Total ROI Recovere	\$0.00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Total ROI Recovere	9.95%	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
<b>ADVERSE ACTIONS</b>					
Total Reported					

