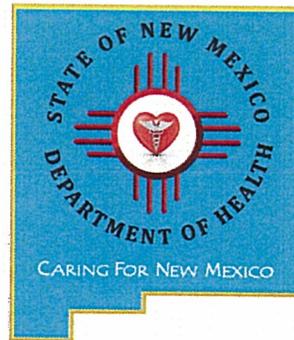


New Mexico Department of Health Developmental Disabilities Waiver Program

**Presentation to LHHS Interim Committee
Disability Concerns Subcommittee
September 13, 2012**



SUSANA MARTINEZ, GOVERNOR

CATHERINE D. TORRES, M.D., CABINET SECRETARY

9/12/2012



DDW Redesign Commitment to Community and Sustainability

GOALS

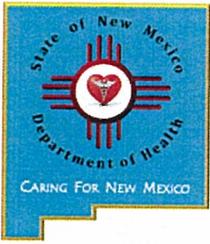
- Sustainable community based program for current users and the thousands of individuals waiting;
- System that is fair and equitable;
- Serve more people;
- Services based on support needs.
- Increasing and promoting independence of individuals with developmental disabilities;
- Promoting employment for adults with developmental disabilities and;
- Use stakeholders to help design the program.

Date	Stakeholder Involvement in DDW Redesign
August – December, 2009	Shared basic information on need to restructure and collected feedback; numerous public meetings held throughout the state; over 450 participants
April, 2010 – December, 2010	<ul style="list-style-type: none"> • DOH Advisory Council on Quality recommends change and endorses Supports Intensity Scale. • DDW Renewal Stakeholder Task Force - <ul style="list-style-type: none"> • 8 meetings • Final Recommendations on 9/10/10
October – November, 2010	<ul style="list-style-type: none"> • Public Meetings on proposed changes – 10 regional meetings • Letter to Legislators on redesign (10/14/10) • Notice letter to Tribes
February, 2011	State/Tribal Workgroup and formal Consultation
Application Submitted to Centers for Medicare and Medicaid (CMS) and Approved - Effective July 1, 2011	

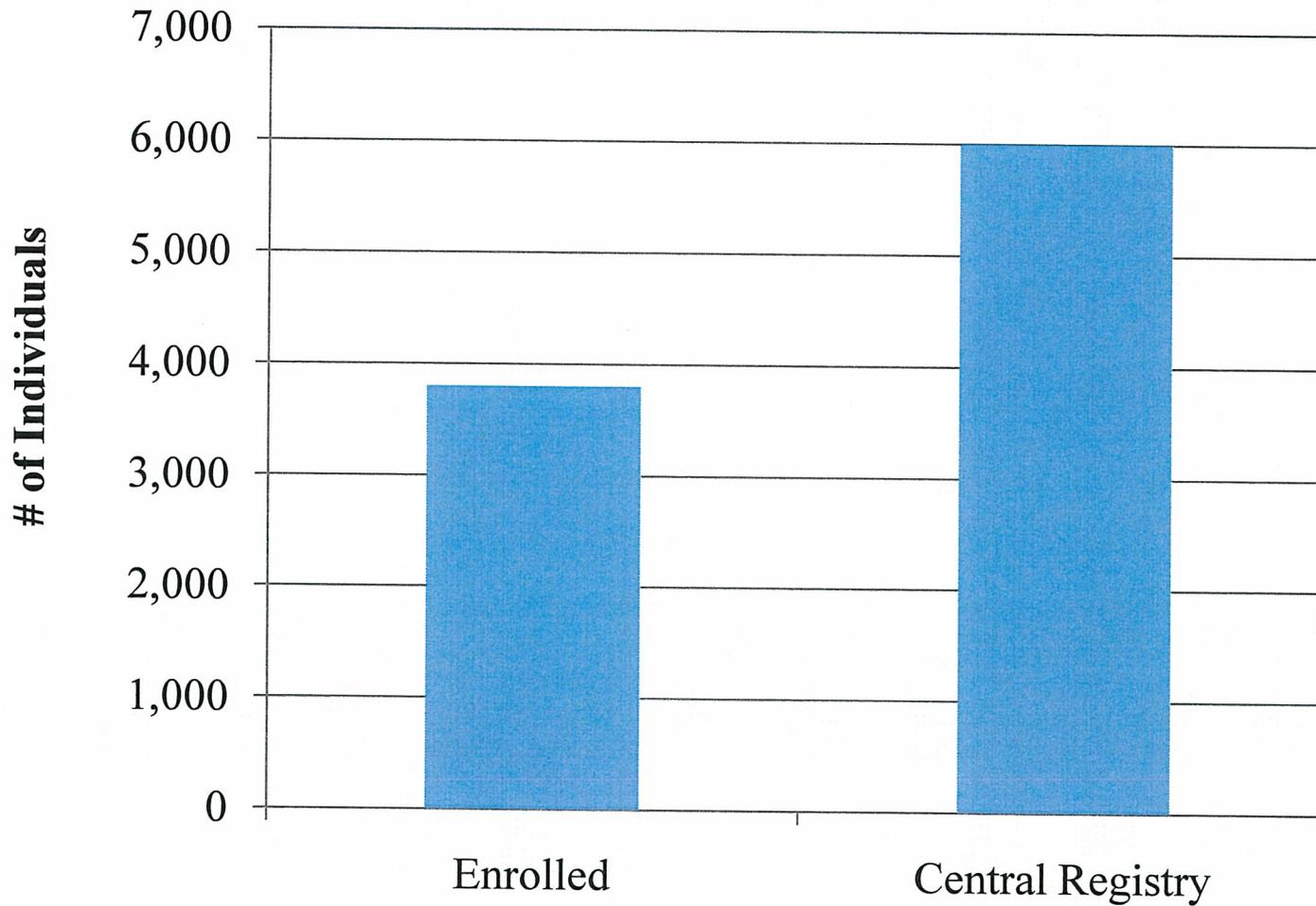
Date	First Steps in DDW Implementation
April -July 2011 Sept. - Nov. 2011 Dec. 2011	<ul style="list-style-type: none"> ➤ Supports Intensity Scale (SIS) Pilot (500 assessments) ➤ Phase One SIS assessments (400 assessments) ➤ Clinical validation of NMSIS completed
June - Sept. 2011	<ul style="list-style-type: none"> ➤ DDW Rate Study Provider Subcommittee helps design Rate Study, including provider cost survey
January 2012	<ul style="list-style-type: none"> ➤ Rate study completed
February 2012	<ul style="list-style-type: none"> ➤ DDW Rate Study Provider Committee reviews proposed rates; DOH posts rates for comment 2/22/12 – 4/15/12
March – July, 2012	<ul style="list-style-type: none"> ➤ Community meetings on waiver changes (9) and many meetings with individual constituents, providers and organizations (ADDACP, SHFPA, PFA...)
July 2012 August 6 - 2012	<ul style="list-style-type: none"> ➤ HSD posts rates for 30 day final comment period and HSD posts DDW Regulations ➤ Formal hearing on regulations

Date	Final Steps in DDW Implementation
Aug.– Oct. 2012	<ul style="list-style-type: none"> ➤ Finalize Regulations and related Policy/Procedures ➤ Create service codes, rates and edits in Omnicaid
Sept. 2012	<ul style="list-style-type: none"> ➤ AAIDD to conduct SIS for new DDW participants ➤ Finalize HSD contract with AAIDD and schedule next round of SIS assessments ➤ Enroll ASCEND as Medicaid Provider to train NM SIS assessors and conduct additional assessments
Sept. 2012	<ul style="list-style-type: none"> ➤ Finalize DDW Amendment with CMS
Sept. - Oct. 2012	<ul style="list-style-type: none"> ➤ Amend HSD contract with Molina to cover DDW Prior Authorization and train Molina staff on new DDW
July – Dec. 2012	<ul style="list-style-type: none"> ➤ Train providers on DDW Service Standards– 20 regional training held so far. ➤ Continue outreach to individuals/guardians, families, advocates

Full Implementation begins January 2013
Individuals will transition by ISP date beginning in March 2013
Everyone will be in new system by April 30, 2014

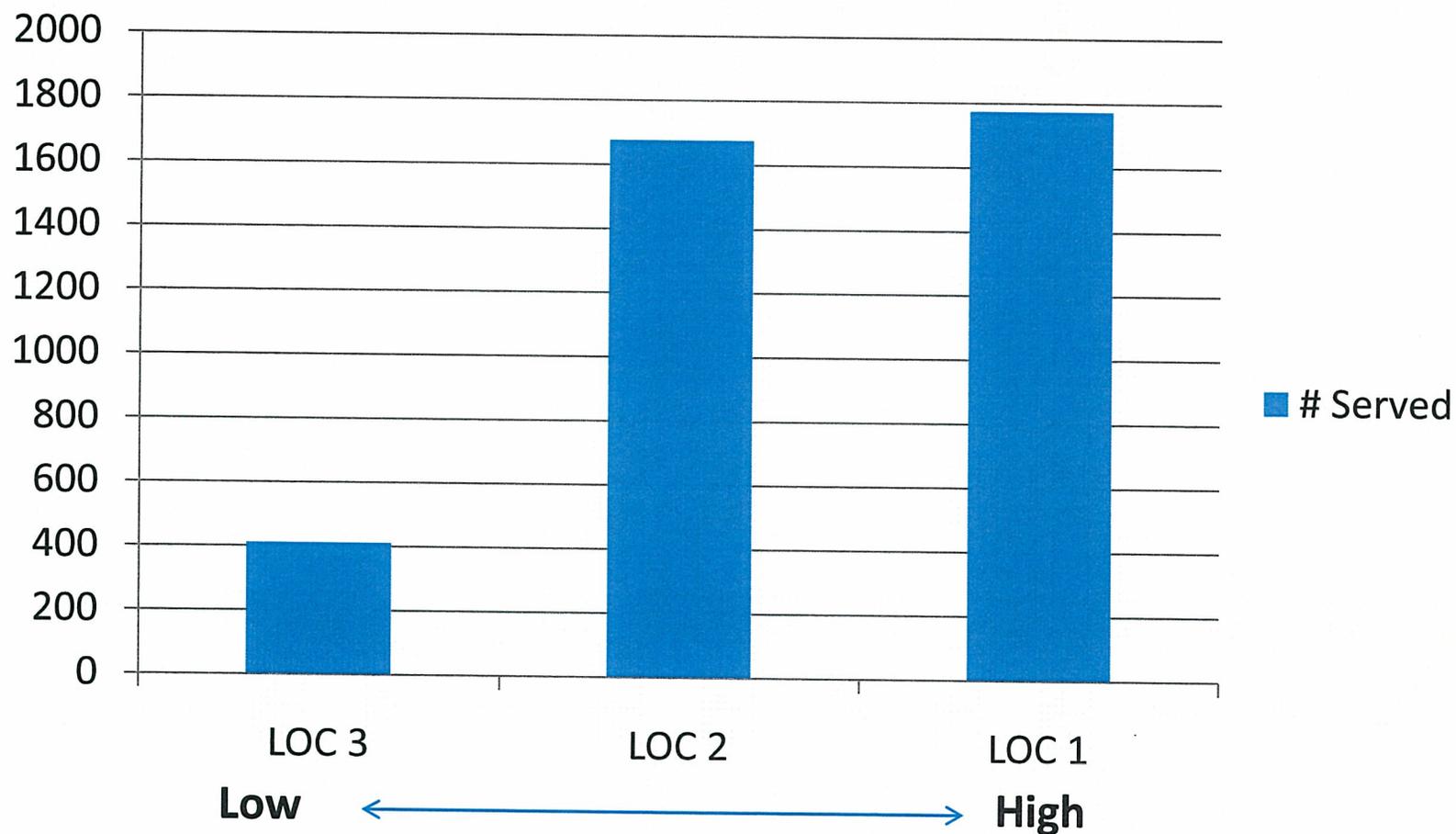


The Status of the DDW - June 30, 2012





DDW Population Distribution by Level of Care as assessed Using the old *ABA process



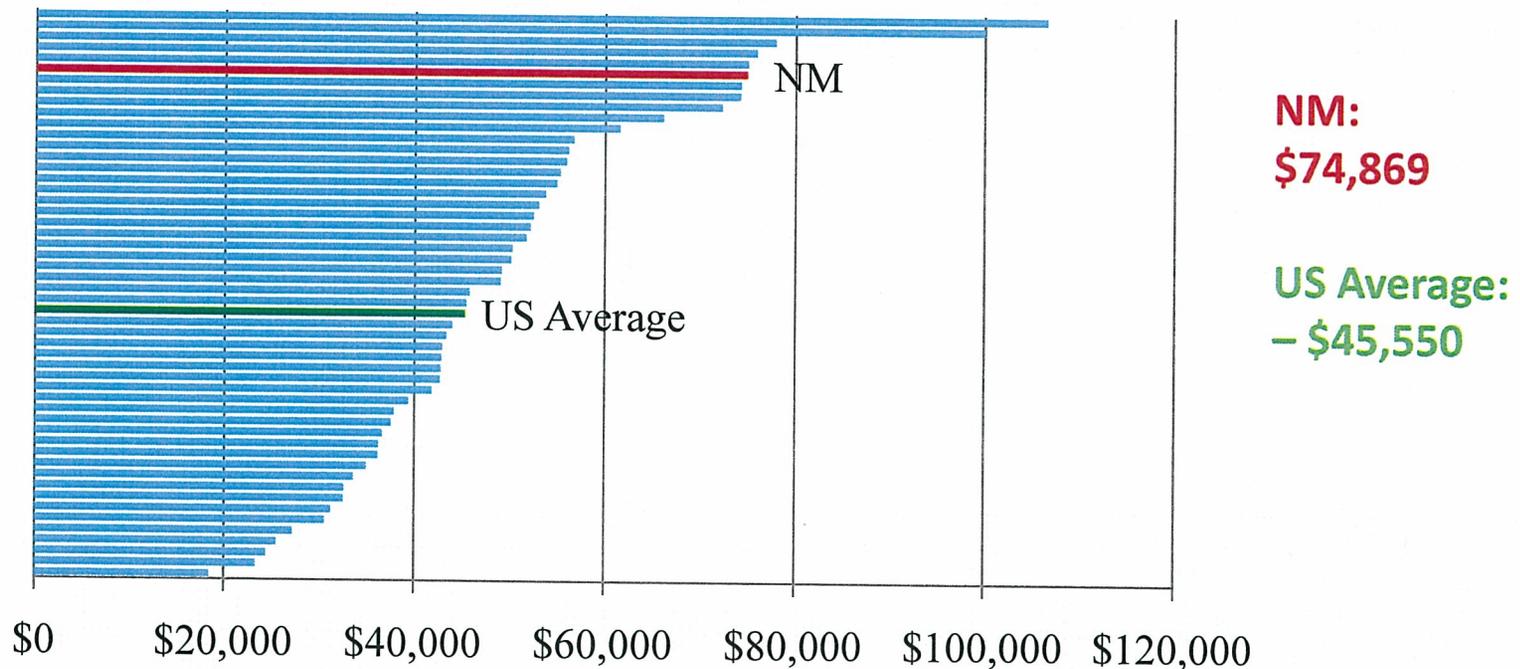
*Adaptive Behavior Scale

9/12/2012



Average Cost Per Person Through 2010

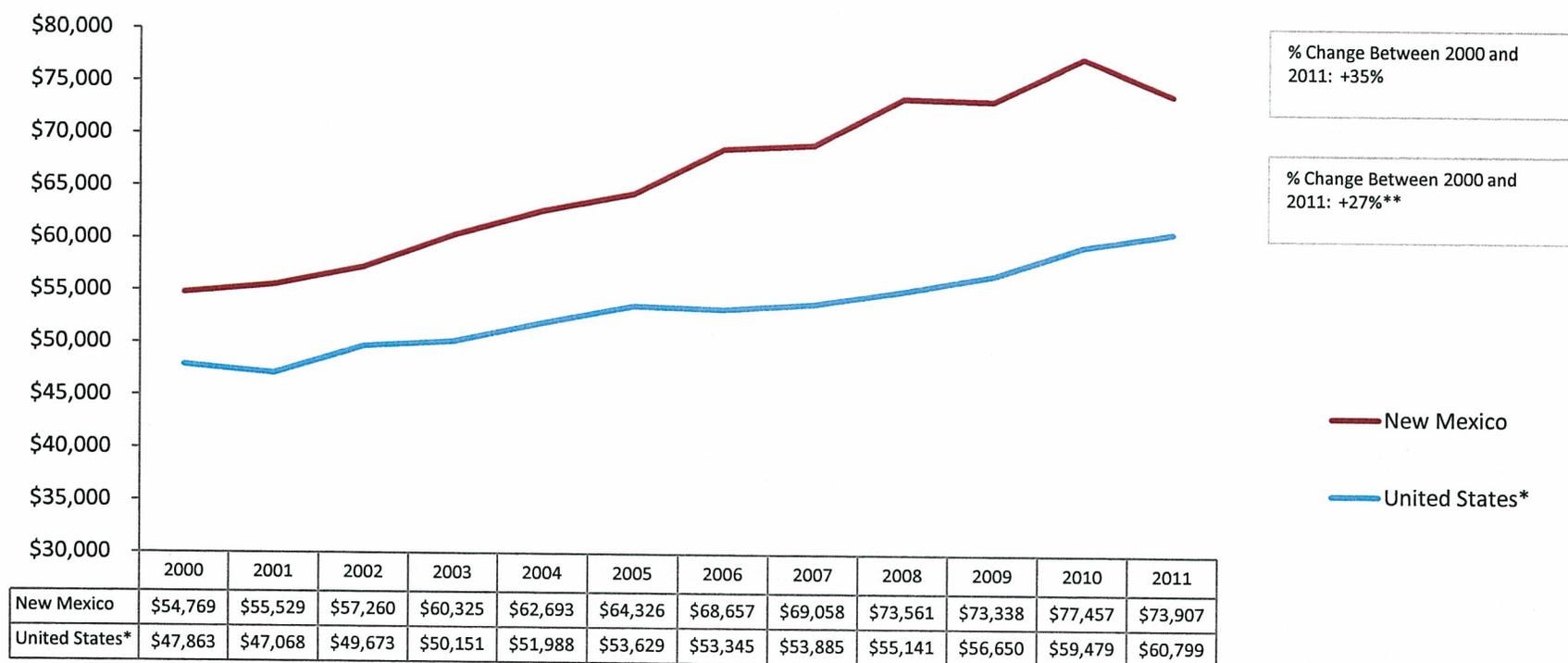
For those enrolled on HCBS waivers, New Mexico has the sixth highest per-person cost in the nation¹



¹Larson, et al., *Residential Services for Persons with Developmental Disabilities: Status and Trends Through 2010*



Trends in Per Person Medicaid Costs



*Excluding states with out public institutions

**Excluding Michigan

***FY 2011 National Average calculated using past trends and projecting forward

Larson, et al., *Residential Services for Persons with Developmental Disabilities: Status and Trends Through 2010*

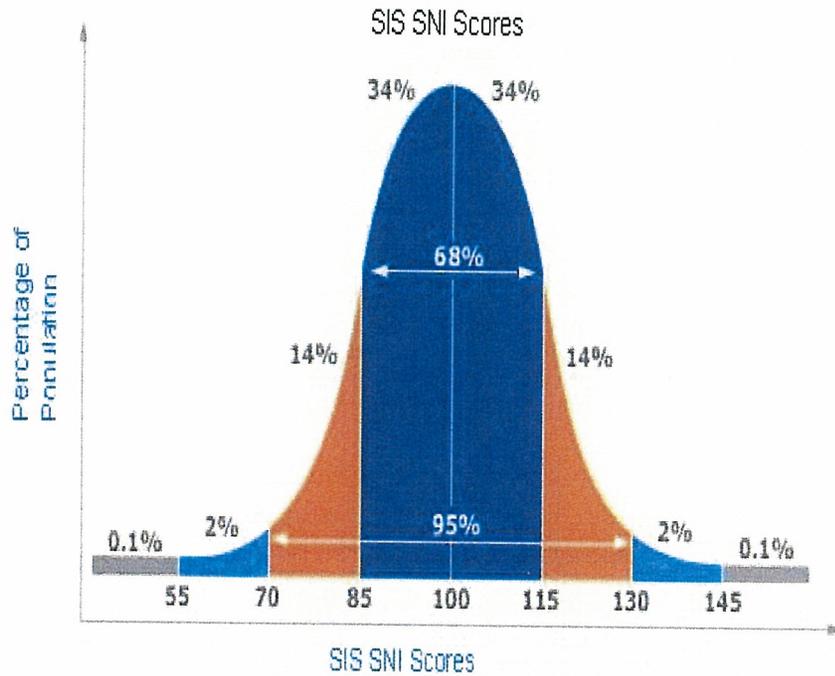
9/12/2012



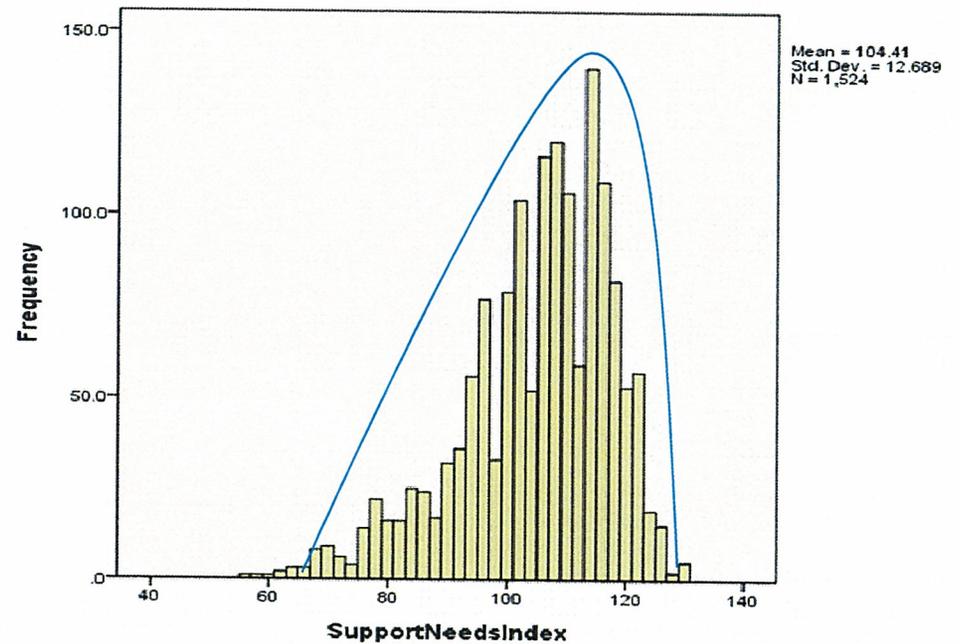
THE SUPPORTS INTENSITY SCALE (SIS)

- SIS is used in 23 states and 22 countries;
- Normed and validated for people with DD;
- Groups people with similar support needs;
- Can be used for resource allocation;
- NM results are statistically similar to SIS Norm Group and other states' results.

Results in NM are bell shaped like the SIS Norm Group



SIS Norm Group

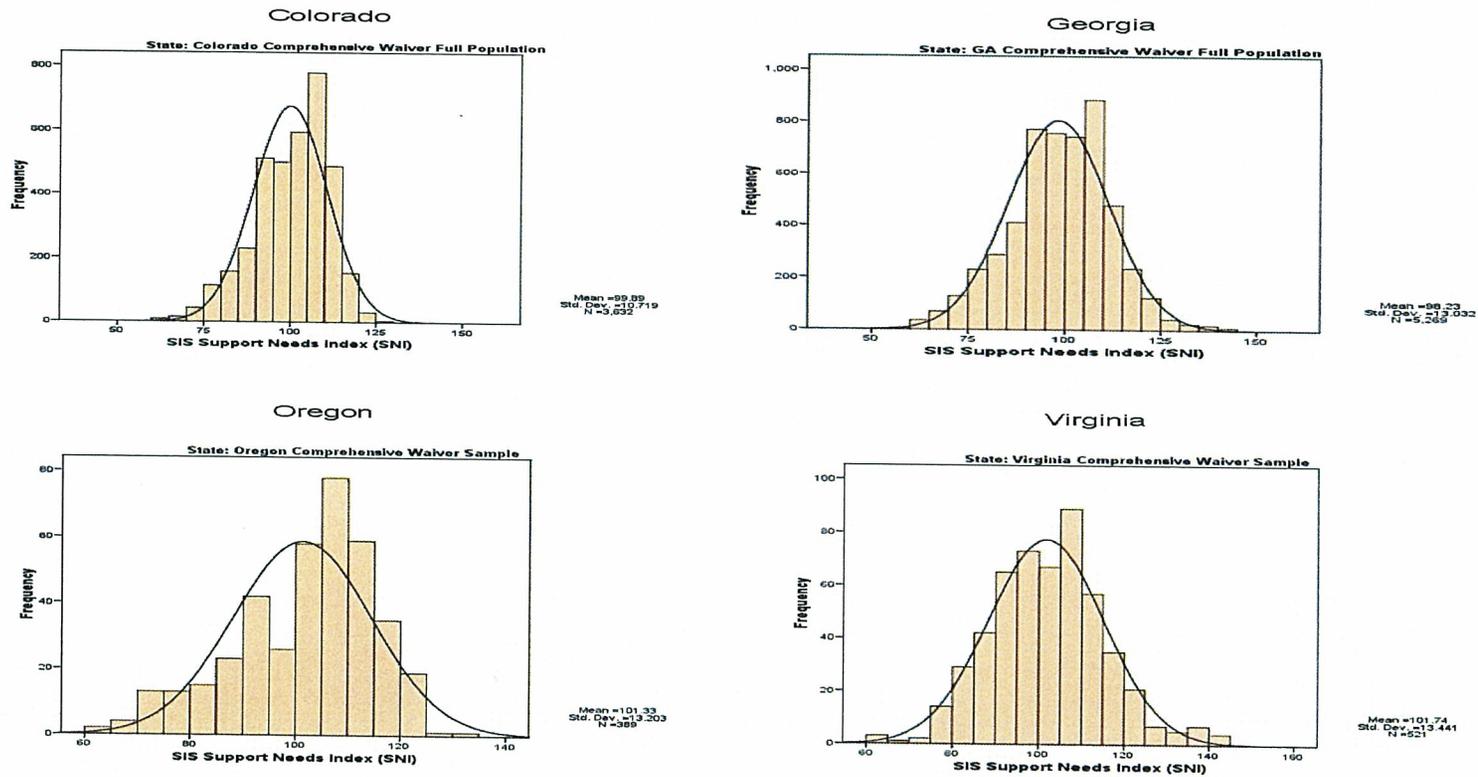


New Mexico SIS Results



NM Results Comparable to Other States with Comprehensive HCBS Waivers

Figure 2: SIS Results in Four States





THE SUPPORTS INTENSITY SCALE (SIS)

- Supplemental questions used for highest levels of behavioral or medical need. For example:
 - Does “the individual require frequent hands on staff involvement to address critical health and medical needs?”
 - Does “the individual’s severe community safety risk require a specially controlled home environment, direct supervision at home or direct supervision in the community?”

New Mexico SIS Groups	Individuals	Percent
A Mild Support Needs and Low to Moderate Behavioral Challenges	299	15%
B Low to Moderate Support Needs and Behavioral Challenges	407	20.4%
C Mild to Above Average Support Needs and Moderate to Above Average Behavioral Challenges	155	7.8%
D Above Average Support Needs and Low to Moderate Behavioral Challenges	473	23.7%
E High Support Needs and Low to Above Average Behavioral Challenges	142	7.1%
F Extraordinary Medical Challenges	277	11.4%
G Extraordinary Behavioral Challenges	290	14.6%
Total	1,993	100%



NMSIS Group H

- Designed to address rare or exceptional situations
 - individuals in extenuating circumstances or extreme, unique or complex needs
 - require enhanced support
 - temporary or long term
 - for designated groups/individuals as determined by DOH (e.g. Court Ordered Placements)
- Case by case review.
- Requires DDSD Director approval.



THE SUPPORTS INTENSITY SCALE (SIS)

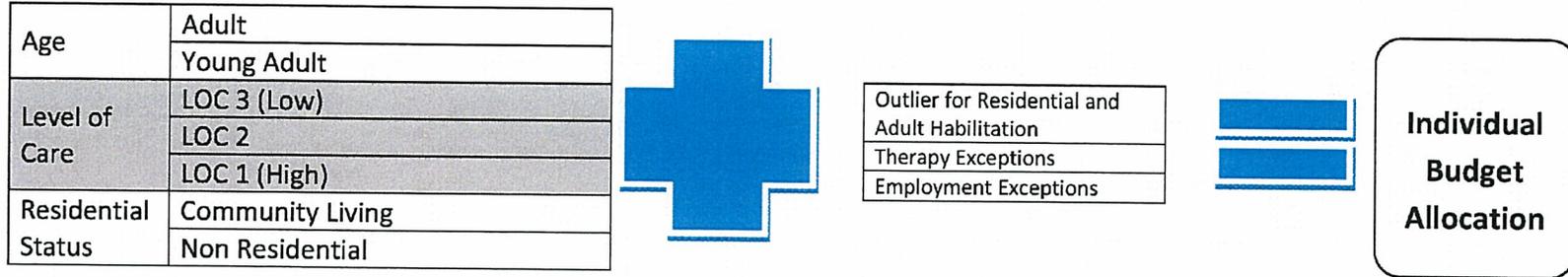
- For people over age 18.
- At least once every three years.
- Now being scheduled for people with:
 - New allocations; and
 - ISPs that expire in March and April 2013.
- Second initial SIS, if requested by the individual/guardian. Benefits extended until an ISP in place.



SERVICES PACKAGES AND RESOURCE ALLOCATION

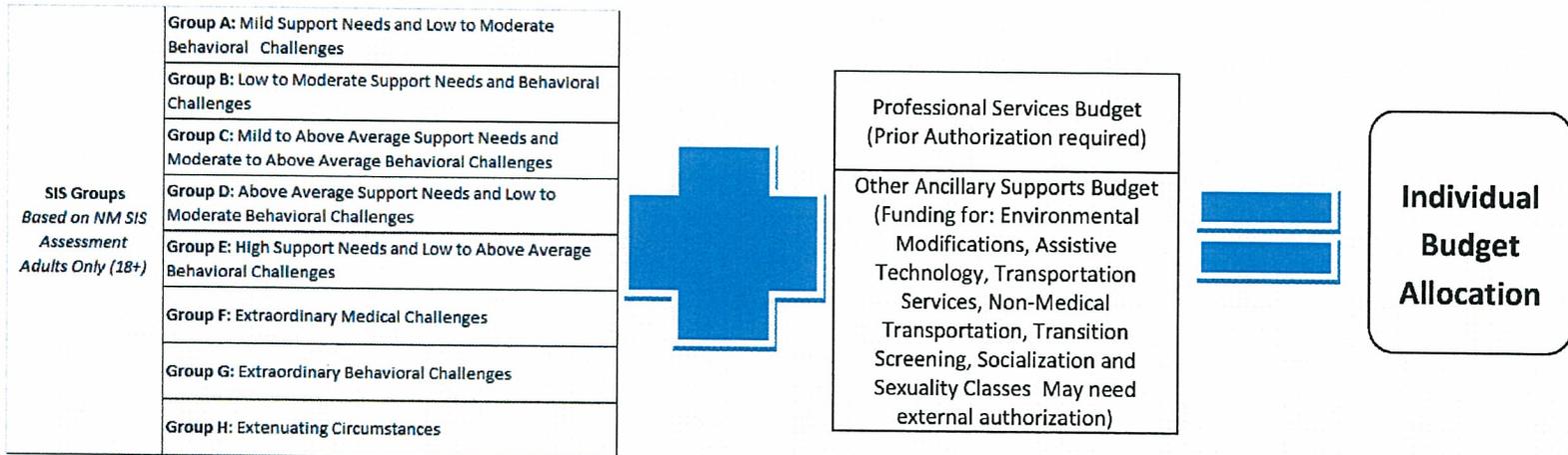
- Service packages are based on:
 - Historical service use.
 - DDW Regulations, Standards and Policies.

ARA in Old Waiver



ARA in New Waiver*

(Linked to cost of the service package for each NM SIS Group.)



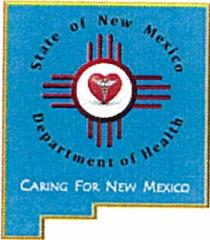
*The SIS is not normed for use with children (<18). Children will continue to be assessed using the Vineland.



Budget and Service Packages

The DDW Budget / Service Packages for the resource allocation system are divided into three parts:

- **Base Budget** funding is capped by NMSIS Group (A-G).
- **Professional Services Budget** (prior authorization and annual caps for each service type).
- **Other Services Budget** (no overall cap, but service type caps. E.g. Non Medical Transportation is \$500 per ISP year).



Base Budget

- Case Management;
- Supported Living and Family Living;
- Customized in Home Support and Respite for individuals who don't use Supported Living or Family Living;
- Customized Community Support (day services) and Employment.



Professional Services Budget

- Occupational Therapy (OT)/Consultation;
- Physical Therapy (PT)/Consultation;
- Speech Language Therapy (SLP)/Consultation;
- Behavior Support Consultation (BCS).

In the Professional Services budget, there is a total dollar amount for OT, PT, SLP and a separate amount for BCS.



Other Services Budget

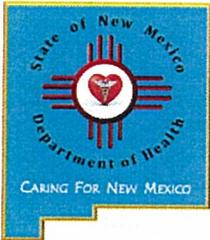
Services will be available for all eligible individuals as needed. Examples include:

- non-medical transportation;
- environmental modifications;
- assistive technology;
- adult nursing;
- nutritional counseling; and
- independent living transition support.



DDW Rate Study

- Purpose of the rate study
 - Adequate and transparent rates.
 - Required in the approved DDW.
- DDW Rate Study Subcommittee from July - October 2011 and in Feb. 2012.
- Proposed rates and services packages posted on February 22, 2012 for a 7 week comment period.
- July 2012 - HSD published final rate proposals for 30 day comment .



Provider Participation in Rate Study

Provider cost survey was conducted in the fall of 2011

- Burns and Associates provided TA to providers.
- Deadline to complete extended from September 9th to September 19.
- Overall, approximately 18% of providers completed the survey.
- Responding providers accounted for 44.6% of all waiver expenditures.



Response to Most Frequent Feedback on the Rates

- Increased productivity adjustments and administrative costs for Supported Living services.
- Increased allowable case load size hours in productivity adjustment for Family Living service coordinators.
- Removed monthly cap on billable units for Family Living.
- Increased billable hours for therapy and Behavior Support Consultation (BSC) assessments.
- Increased productivity adjustment for BSC.



Changes Made Based on Public Comment and the Rate Study

- Family Living substitute care hours up from 500 to 750.
- Household members can provide substitute care.
- Kept Family Living stipend at \$2,051 per month.
- More hours to Base Budget for some NMSIS Groups.
- Added Supported Employment hours for job maintenance.
- Job Development paid hourly not as milestone payments.
- More hours in Professional Services Budget.



THE DDW OFFERS A RICH ARRAY OF SERVICES

- Assistive Technology (new)
- Behavior Support Consultation
- Case Management
- Customized Community Support (replaces adult habilitation and community access)
- Customized Integrated Employment
- Customized In-Home Supports
- Crisis Support
- Environmental Modifications
- Independent Living Transition (new)
- Intense Medical Living
- Living Supports (Supported Living, Family Living)



THE DDW OFFERS A RICH ARRAY OF SERVICES

- Therapies (OT, PT, and SLP)
- Non-Medical Transportation
- Nutritional Counseling
- Nursing (RN and LPN)
- Personal Support Technology (new)
- Respite
- Socialization and Sexuality Education (new)
- Screening and consultation to address inappropriate sexual behavior (new)
- Supplemental Dental Care



DDW Appeals Process Medicaid Fair Hearing Notice

Provided when:

- Individual receives an initial SIS Assessment or their routine reassessment and receives their report with their NMSIS Group Assignment;
- ISP is submitted to Molina and is either approved, partially approved or denied;
- Individual receives a second “initial” SIS Assessment and receives their report with their NMSIS Group Assignment;
- The ISP is modified and submitted to the TPA and is approved, partially approved or denied.



DOH Quality Assurance and Informal Dispute Resolution

DOH will provide, at no cost to the individual:

- Help using SIS for person centered planning;
- Second initial SIS Assessment, if requested by individual or their guardian;
- Reassessment if the year three routine SIS Assessment not conducted according to DOH protocol;
- Reassessment for significant change of condition or circumstances before three years.



DOH Informal Dispute Resolution and Medicaid Fair Hearings

- DOH dispute resolution process does not limit access to Medicaid Fair Hearing.
- 90 days from the time of Notice to request a Medicaid Fair Hearing.
- HSD may require an “agency conference” with DOH.
- Agency conference will attempt to address and resolve issues.
- The agency conference will not reduce time to request or prepare for the Fair Hearing.



DDW IMPLEMENTATION - NEXT STEPS

- Resource allocation model, service packages, rates, and new service standards in place by January 1, 2013.
- March 2013 – People 18 and older will transition based on the expiration of their ISP.
- Transition completed by March 2014.



DDW IMPLEMENTATION - NEXT STEPS

- Individuals in NM SIS Groups A and B who currently receive Supported Living or Family Living have additional ISP year to transition to less support.
- Planning for this must begin at least six months into the annual ISP cycle.
- A “fading” year for therapy services may also be authorized.



DDW IMPLEMENTATION - NEXT STEPS

- HSD promulgates the DDW Program and eligibility regulations in mid-October 2012.
- HSD will publish the final rates.
- DDSD continues to conduct statewide training/technical assistance and provide support to individuals.



DDW Redesign Commitment to Community and Sustainability

Addressing the Waiting List

- April 2012 – DOH allocated 200 individuals with FY13 funding;
- August 2012 – DOH allocated an additional 125 individuals with funding due to program reform and attrition;
- Monitor attrition over the course of the fiscal year for possible additional allocations;
- In FY14, will begin to allocate based on new DDW.