



## Overview Local Government Planning Fund

Formerly known as the Water & Wastewater Planning Fund, the Local Government Planning Fund was created by 2002 Legislature to provide upfront capital necessary to prepare a Preliminary Engineering Report to provide proper planning of vital water and wastewater projects and provide the basis of an application for federal funding

Original statute required that entity repay the “grant” if funding for project was received and requires “grant” recipients to annually report on the status of their studied projects. In 2005, the Legislature broadened project eligibility to include master plans, conservation plans & economic development plans (necessary to enact Local Economic Development Act ordinances). 2005 amendment allowed NMFA to forgo repayment of grant if entity finances project through NMFA (including Water Trust Board)

2012 Legislature amended to remove all repayment requirements and broadened eligibility to include planning for infrastructure and energy audits. NMFA Board of Directors revised its policies to accept applications quarterly to fund the follow planning documents that must be completed in compliance with approved guidance documents.

1. ***Preliminary Engineering Report Guidance Documents USDA Bulletins 1780-2 (Water), 1780-3 (Wastewater) and 1780-4 (Solid Waste)***. Last revised by the USDA in 2003, these guidance documents have been used by the NMED and NMFA since the inception of the Local Government Planning Fund. Staff recommends these bulletins serve as the guidance documents for all water, wastewater and solid waste projects. Pursuant to an MOU with the New Mexico Environment Department, the NMED Construction Programs Bureau will continue to serve as the reviewing agency for these planning documents.
2. ***Environmental Information Documents that are compliant with the State’s Drinking Water Revolving Loan Fund’s State Environmental Review Process (SERP)***. The EPA approved the NMFA’s SERP approximately 15 years ago for the Drinking Water State Revolving Loan Fund. The NMFA maintains contracts with Environmental Engineering firms who will review the Environmental Information Documents for compliance with the SERP.
3. ***Economic Development Plan Requirements contained in the Certified Community Initiative for plans developed to implement the Local Economic Development Act***. The New Mexico Economic Development Department developed the Certified Community Initiative. Historically, the NMEDD has served as NMFA’s reviewing agency for these plans.

4. **Comprehensive Plans drafted by a certified planner using the Comprehensive Plan Template** by Steven Burstein, AICP. This template is currently used by Department of Finance and Administration. NMFA will review and approve the Comprehensive Plan Documents.
5. **Asset management plans developed by Qualified Planning Grant Entity under the guidance of A.M. Kan Work (by New Mexico Environmental Finance Center).** Planning funds may be used to hire a licensed New Mexico professional engineer to compile asset inventories and to provide technical assistance relative to the operation of the utility as well as to hire facilitators to work through public hearings. NMFA will review and approve the Asset Management Plans.
6. **Energy audits shall meet minimum criteria of an ASHRAE Level 2 Energy Audit and will be required to be contracted using the Model Investment Grade Energy Audit and Project Proposal Contract** prepared by the New Mexico Energy, Minerals and Natural Resources Department which contains minimum project scoping criteria. Further, the contractor must be **a licensed New Mexico Professional Engineer.** Currently, EMNRD reviews energy audits for governmental entities considering energy efficiency projects that are financed by pledging future energy savings pursuant to the Public Facilities Energy Efficiency and Water Conservation Act [NMSA 1978, 6-23]
7. Water Conservation Plans. Recommended Guidance Document: None yet. The Office of the State Engineer is expected to complete its Water Conservation Plan Guidance Document in Spring of 2013.
8. Economic Development Feasibility Studies. No Guidance Document Recommended for Economic Development Feasibility Studies. Applicants seeking funding for Local Government Planning Funds must submit with their applications a full scope of services for the proposed project along with a letter of recommendation from the Economic Development Department Cabinet Secretary or his designee.

### Projects Funded to Date:

Document Type	Grants Closed	Amount Closed	Grants Pending	Amount Pending	Total Grants	Total Amount
Asset Management Plan			7	\$ 227,494	7	\$ 227,494
Economic Development Feasibility Study			3	\$ 137,500	3	\$ 137,500
Environmental Information Document	2	\$ 37,500	5	\$ 167,000	7	\$ 204,500
Local Economic Development Plan	8	\$ 345,000	8	\$ 370,000	16	\$ 715,000
Water and/or Wastewater Master Plan	19	\$ 478,750	12	\$ 528,000	31	\$ 1,006,750
Water and/or Wastewater PER	70	\$ 2,111,828	32	\$ 1,341,875	102	\$ 3,453,703
Water Conservation Plan			1	\$ 50,000	1	\$ 50,000
Water Plan			1	\$ 400,000	1	\$ 400,000
<b>Total</b>	<b>99</b>	<b>\$ 2,973,078</b>	<b>69</b>	<b>\$ 3,221,869</b>	<b>168</b>	<b>\$ 6,194,947</b>

<b>Fiscal Year</b>	<b>Grants Closed</b>	<b>Amount Closed</b>	<b>Grants Pending</b>	<b>Amount Pending</b>	<b>Total Grants</b>	<b>Total Amount</b>
FY 2003	3	\$ 58,240			3	\$ 58,240
FY 2004	19	\$ 413,650			19	\$ 413,650
FY 2005	13	\$ 281,750			13	\$ 281,750
FY 2006	4	\$ 91,250			4	\$ 91,250
FY 2007	3	\$ 82,500			3	\$ 82,500
FY 2008	9	\$ 255,599			9	\$ 255,599
FY 2009	10	\$ 283,000			10	\$ 283,000
FY 2010	6	\$ 185,000	2	\$ 51,250	8	\$ 236,250
FY 2011	7	\$ 285,070			7	\$ 285,070
FY 2012	9	\$ 323,750	11	\$ 463,500	20	\$ 787,250
FY 2013	14	\$ 613,269	24	\$ 982,000	38	\$ 1,595,269
FY 2014	2	\$ 100,000	32	\$ 1,725,119	34	\$ 1,825,119
<b>Total Closed</b>	<b>99</b>	<b>\$ 2,973,078</b>	<b>69</b>	<b>\$ 3,221,869</b>	<b>168</b>	<b>\$ 6,194,947</b>

County	Number of Grants	Grant Amounts
Bernalillo	2	\$ 50,000
Catron	6	\$ 202,250
Chaves	1	\$ 36,000
Cibola	3	\$ 125,000
Colfax	2	\$ 75,000
Curry	1	\$ 18,750
Doña Ana	13	\$ 500,000
Grant	4	\$ 160,599
Guadalupe	3	\$ 90,500
Hidalgo	1	\$ 25,000
Lea	2	\$ 75,000
Lincoln	6	\$ 171,744
Luna	5	\$ 202,900
McKinley	3	\$ 125,000
Mora	9	\$ 312,750
Otero	8	\$ 223,750
Quay	3	\$ 65,000
Rio Arriba	19	\$ 578,750
Roosevelt	3	\$ 125,000
San Juan	5	\$ 154,625
San Miguel	15	\$ 652,570
Sandoval	7	\$ 302,500
Santa Fe	9	\$ 271,990
Sierra	3	\$ 150,000
Socorro	1	\$ 25,000
Statewide	2	\$ 420,000
Taos	22	\$ 749,019
Torrance	6	\$ 212,500
Union	2	\$ 43,750
Valencia	2	\$ 50,000
<b>Total</b>	<b>168</b>	<b>\$ 6,194,947</b>

Closing Date	Entity	County	Project	Grant Amount	Result of Planning Document
4/18/2003	Pecan Park MDWCA	Luna	Preliminary Engineering Report for Water System Improvements	\$ 15,400	This planning enabled Pecan Park to secure USDA funds to complete construction of water system improvements.
5/30/2003	Village of Tularosa	Otero	Water and Wastewater Master Plan	\$ 25,000	The Village of Tularosa completed construction a surface water treatment plant as a result of this planning.
12/19/2003	Village of Questa	Taos	Wastewater Master Plan	\$ 20,000	The Village of Questa was able to complete construction of a Wastewater Treatment Plant to meet discharge requirements. The plant was constructed using ARRA funds.
1/30/2004	Agua Pura MDWUA	Mora	Preliminary Engineering Report for Water and Wastewater System Improvements	\$ 9,750	The PER resulted in water system improvements funded through legislative grants to include a water storage tank and transmission line. Agua Pura is currently using the same PER to develop a proposed water supply well.
3/12/2004	Cañones MDWCA	Rio Arriba	Environmental Information Document for Water Project	\$ 12,500	This report enabled Cañones to secure USDA funding to complete construction of a new distribution system.
7/30/2004	Ensenada Mutual Domestic Water Association	Rio Arriba	Preliminary Engineering Report for Water System Improvements	\$ 11,250	The PER resulted Water System Improvements, including a new storage tank, booster station to maintain system pressures and provide fire flow, new distribution system piping and installation of residential water meters for metering and billing consumers. Construction has been completed utilizing funds from the state and USDA totaling approximately \$1.5 million.
10/5/2007	Villanueva MDWCA	San Miguel	Preliminary Engineering Report for Water System Improvements	\$ 22,500	The PER resulted in a water system improvement project that replaced all the old infrastructure. The cost for the final project was approximately \$1.3 million.
6/6/2008	Hollywood Ranch Domestic Water Users Association	Guadalupe	Preliminary Engineering Report for Water System Improvements	\$ 22,500	The PER resulted in a water system improvement project funded through the Water Trust Board and legislative grants.
7/11/2008	Sangre de Cristo Regional MDWC & MSWA	Guadalupe	Preliminary Engineering Report for Water System Improvements	\$ 18,000	The PER resulted in a water system improvement project for seven water association for a total cost of approximately \$5 million which was obtained from USDA, Water Trust Board, CDBG and legislative appropriations
8/8/2008	Canjilon MDWC & MSWA	Rio Arriba	Preliminary Engineering Report for Water System Improvements	\$ 22,500	The PER resulted in water system improvements which included a water supply well, rehabilitation of two existing water storage tanks, a pumphouse to include a treatment facility.
4/23/2010	La Asociacion de Agua de los Brazos	Rio Arriba	Preliminary Engineering Report for Water System Improvements	\$ 22,500	Construction is almost complete for the first phase of the project, which includes connection to the Los Ojos MDWC SWA system as a new water source and installation of approximately 3600LF of piping. Funding for this phase was received from Water Trust Board in the amount of approximately \$450,000.