SENATE BILL 118

49TH LEGISLATURE - STATE OF NEW MEXICO - SECOND SESSION, 2010

INTRODUCED BY

John Arthur Smith

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AN ACT

RELATING TO EXECUTIVE ORGANIZATION; MERGING THE AGING AND LONGTERM SERVICES DEPARTMENT AND THE HUMAN SERVICES DEPARTMENT;
PROVIDING FOR THE TRANSFER OF FUNCTIONS, MONEY, APPROPRIATIONS,
RECORDS, PROPERTY, CONTRACTUAL OBLIGATIONS AND STATUTORY
REFERENCES; REPEALING THE AGING AND LONG-TERM SERVICES
DEPARTMENT ACT; AMENDING, REPEALING AND ENACTING SECTIONS OF
THE NMSA 1978.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:

Section 1. Section 9-8-3 NMSA 1978 (being Laws 1977, Chapter 252, Section 3, as amended) is amended to read:

"9-8-3. PURPOSE.--The purpose of the Human Services

Department Act is to establish a single, unified department to administer laws and exercise functions relating to human services [and] formerly administered and exercised by the .180840.1

administrative services unit, the state welfare and social services agencies of the health and social services department [and], the committee on children and youth and the aging and long-term services department."

Section 2. Section 9-8-4 NMSA 1978 (being Laws 1977, Chapter 252, Section 4, as amended) is amended to read:

DEPARTMENT ESTABLISHED. --"9-8-4.

There is created in the executive branch the "human services department". The department shall be a cabinet department and shall consist of, but not be limited to, [six] ten divisions as follows:

- the income support division; (1)
- the administrative services division; (2)
- the medical assistance division; (3)
- (4) the child support enforcement division;
- the behavioral health services division; (5)

[and]

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- (6) the information technology division;
- (7) the consumer and elder rights division;
- (8) the aging network services division;
- (9) the long-term care division; and
- (10) the adult protective services division.
- All references in the law to the behavioral health services division of the department of health or to the mental health division of the department of health in Sections .180840.1

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29-11-1 through 29-11-7 NMSA 1978 or to the department of
health in Sections 43-2-1.1 through 43-2-23 NMSA 1978 shall be
construed as referring to the human services department.

- C. All references in law to the aging and long-term services department shall be deemed to be references to the human services department."
- Section 3. A new section of the Human Services Department Act is enacted to read:

"[NEW MATERIAL] DIVISIONS--GENERAL DUTIES.--In addition to the duties assigned to each division of the department by the secretary:

- the consumer and elder rights division shall administer the long-term care ombudsman program and provide health insurance and benefits counseling and legal services;
- the aging network services division shall В. administer the federal Older Americans Act of 1965 programs;
- the long-term care division shall administer home- and community-based long-term care programs; and
- the adult protective services division shall provide adult protective services."
- Section 4. A new section of the Human Services Department Act is enacted to read:

"[NEW MATERIAL] OFFICE OF INDIAN ELDER AFFAIRS CREATED.--The "office of Indian elder affairs" is created within the office of the secretary. The office of Indian elder affairs .180840.1

shall assume the responsibilities of the Indian area agency on aging, including contract management, program compliance monitoring, technical assistance, advocacy and training to pueblo and Apache tribe Older Americans Act of 1965 programs and establishing relationships that support the efforts of the Navajo area agency on aging and shall participate with the other divisions of the department to review and make recommendations regarding other health and social programs of the department that serve Indian elders."

Section 5. Section 6-29-9 NMSA 1978 (being Laws 2008, Chapter 81, Section 3) is amended to read:

"6-29-9. TRIBAL CAPITAL OUTLAY REVERSIONS.--

- A. The unexpended balances of a capital outlay appropriation made after [January 1, 2007] July 1, 2010 from the general fund to the department or to the [aging and longterm] human services department for projects located on lands of an Indian nation, tribe or pueblo shall revert to the project fund.
- B. For the purpose of this section, "unexpended balance" means the remainder of an appropriation after reserving for unpaid costs and expenses covered by binding written obligations to third parties."
- Section 6. Section 27-7-16 NMSA 1978 (being Laws 1989, Chapter 389, Section 3, as amended) is amended to read:
- "27-7-16. DEFINITIONS.--As used in the Adult Protective .180840.1

Services Act:

A. "ability to consent" means an adult's ability to understand and appreciate the nature and consequences of proposed protective services or protective placement, including benefits, risks and alternatives to the proposed services or placement and to make or communicate an informed decision;

B. "abuse" means:

- (1) knowingly, intentionally or negligently and without justifiable cause inflicting physical pain, injury or mental anguish;
- (2) the intentional deprivation by a caretaker or other person of services necessary to maintain the mental and physical health of an adult; or
- (3) sexual abuse, including criminal sexual contact, incest and criminal sexual penetration;
- C. "adult" means a person eighteen years of age or older;
- D. "caretaker" means a facility, provider or individual that has assumed the responsibility for the care of an adult;
- E. "conservator" means a person who is appointed by a court to manage the property or financial affairs, or both, of an incapacitated adult;
- F. "court" means the district court having
 jurisdiction;

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	G.	"department"	means	the	[aging	and	long-	term]
<u>human</u>	services	department;						

- H. "emergency" means that an adult is living in conditions that present a substantial risk of death or immediate and serious physical harm to the adult or others;
- I. "exploitation" means an unjust or improper use of an adult's money or property for another person's profit or advantage, pecuniary or otherwise;
- J. "facility" means a hospital, nursing home, residential care facility, group home, foster care home, assisted living facility or other facility licensed by the state, but does not include a jail, prison or detention facility;
- K. "guardian" means a person who has qualified to provide for the care, custody or control of an incapacitated adult pursuant to testamentary or court appointment, but excludes one who is a guardian ad litem;
- L. "incapacitated adult" means any adult with a mental, physical or developmental condition that substantially impairs the adult's ability to provide adequately for the adult's own care or protection;
- M. "multidisciplinary team" means a team composed of diverse professionals who meet periodically to consult on or enhance appropriate community responses to abuse, neglect or exploitation of adults;

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- N. "neglect" means the failure of the caretaker of an adult to provide for the basic needs of the adult, such as clothing, food, shelter, supervision and care for the physical and mental health of that adult; "neglect" includes selfneglect;
- "protected adult" means an adult for whom a 0. guardian or conservator has been appointed or other protective order has been made or an abused, neglected or exploited adult who has consented to protective services or protective placement;
- "protective placement" means the placement of an adult with a provider or in a facility or the transfer of an adult from one provider or facility to another;
- "protective services" means the services Q. furnished by the department or its delegate, as described in Section 27-7-21 NMSA 1978;
- "provider" means a private-residence or health care worker or an unlicensed residential or nonresidential entity that provides personal, custodial or health care;
- "self-neglect" means an act or omission by an incapacitated adult that results in the deprivation of essential services or supports necessary to maintain the incapacitated adult's minimal mental, emotional or physical health and safety;
- "substantiated" means a determination, based on .180840.1

a pr	eponde	rance	of o	co11	ected	and	asses	sed	credible	information,
that	abuse	, negl	Lect	or	exploi	itati	ion of	an	incapaci	tated or
prot	ected	adult	has	occ	urred	and	i			

U. "surrogate" means a person legally authorized to act on an adult's behalf."

Section 7. Section 27-7A-2 NMSA 1978 (being Laws 2005, Chapter 256, Section 2) is amended to read:

"27-7A-2. DEFINITIONS.--As used in the Employee Abuse Registry Act:

A. "abuse" means:

- (1) knowingly, intentionally or negligently and without justifiable cause inflicting physical pain, injury or mental anguish; or
- (2) the intentional deprivation by a caretaker or other person of services necessary to maintain the mental and physical health of a person;
 - B. "department" means the department of health;
- C. "direct care" means face-to-face services provided or routine and unsupervised physical or financial access to a recipient of services;
- D. "employee" means a person employed by or on contract with a provider, either directly or through a third party arrangement to provide direct care. "Employee" does not include a New Mexico licensed health care professional practicing within the scope of the profession's license or a .180840.1

certified nurse aide;

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- E. "exploitation" means an unjust or improper use of a person's money or property for another person's profit or advantage, pecuniary or otherwise;
- F. "neglect" means, subject to a person's right to refuse treatment and subject to a provider's right to exercise sound medical discretion, the failure of an employee to provide basic needs such as clothing, food, shelter, supervision and care for the physical and mental health of a person or failure by a person that may cause physical or psychological harm;
- "provider" means an intermediate care facility for the mentally retarded; a rehabilitation facility; a home health agency; a homemaker agency; a home for the aged or disabled; a group home; an adult foster care home; a case management entity that provides services to elderly people or people with developmental disabilities; a corporate guardian; a private residence that provides personal care, adult residential care or natural and surrogate family services provided to persons with developmental disabilities; an adult daycare center; a boarding home; an adult residential care home; a residential service or habilitation service authorized to be reimbursed by medicaid; any licensed or medicaidcertified entity or any program funded by the [aging and longterm] human services department that provides respite, companion or personal care services; programs funded by the .180840.1

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[children, youth and families] human services department that provide homemaker or adult daycare services; and any other individual, agency or organization that provides respite care or delivers home- and community-based services to adults or children with developmental disabilities or physical disabilities or to the elderly, but excluding a managed care organization unless the employees of the managed care organization provide respite care or deliver home- and community-based services to adults or children with developmental disabilities or physical disabilities or to the elderly;

- H. "registry" means an electronic database that provides information on substantiated employee abuse, neglect or exploitation; and
- I. "secretary" means the secretary of health."

 Section 8. Section 27-7A-5 NMSA 1978 (being Laws 2005,

 Chapter 256, Section 5) is amended to read:
- "27-7A-5. ADULT PROTECTIVE SERVICES DIVISION REPORT OF ABUSE, NEGLECT OR EXPLOITATION.--
- A. The adult protective services division of the [aging and long-term] human services department shall investigate allegations of abuse, neglect and exploitation consistent with its statutory responsibilities.
- B. If the adult protective services division determines that abuse, neglect or exploitation has occurred, it .180840.1

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shall notify the employee and the provider of that determination, and such determination shall include a determination of whether the abuse, neglect or exploitation was the result of conduct by the employee, the provider or both.

The adult protective services division shall report to the department of health any substantiated finding of abuse, neglect or exploitation made against an employee of a provider under waiver or other programs administered by the [aging and long-term] human services department and not otherwise licensed by or under contract with the department."

Section 27-7A-6 NMSA 1978 (being Laws 2005, Section 9. Chapter 256, Section 6) is amended to read:

"27-7A-6. PLACEMENT ON REGISTRY AND HEARING PROCESS.--

If the department or the adult protective services division of the [aging and long-term] human services department determines that abuse, neglect or exploitation by an employee has occurred, the department making that determination shall notify the employee and the provider, in person or by certified mail, of the following:

- the nature of the determination of the abuse, neglect or exploitation;
 - the date and time of the occurrence; (2)
 - the employee's right to a hearing; (3)
- (4) the department's intent to report the substantiated findings, once the employee has had the .180840.1

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opportunity for a hearing, to the registry; and

- (5) that the employee's failure to request a hearing in writing within thirty days from the date of the notice shall result in the department reporting substantiated findings to the registry and the provider.
- B. If an employee requests a hearing, that hearing shall be conducted by an independent hearing officer of the department that made the determination of abuse, neglect or exploitation.
- C. After expiration of the time period for requesting a hearing, or if a determination of abuse, neglect or exploitation is substantiated through the hearing process, the substantiated finding of abuse, neglect or exploitation shall be placed on the registry through a report of the appropriate department.
- D. An employee aggrieved by the final decision following a hearing shall have the right to judicial review pursuant to the provisions of Section 39-3-1.1 NMSA 1978."
- Section 10. Section 27-15-2 NMSA 1978 (being Laws 2006, Chapter 112, Section 2) is amended to read:
- "27-15-2. DEFINITION.--As used in the Money Follows the Person in New Mexico Act, "department" means the [aging and long-term] human services department."
- Section 11. Section 28-4-5 NMSA 1978 (being Laws 1979, Chapter 203, Section 2) is amended to read:

1	"28-4-5. AGENCY DUTIESThe [state agency on aging]
2	human services department shall establish and maintain a
3	comprehensive statewide program designed to meet the social
4	service needs of the state's aged population, including but not
5	limited to the following:
6	A. strengthen and coordinate services of state and
7	local public bodies for the benefit of the aged;
8	B. promote the [utilization] <u>use</u> of older persons
9	in all phases of employment;
10	C. disseminate information to the aged relative to
11	federal, state and local services for the aged;
12	D. encourage training programs, retraining programs
13	and opportunities for older workers;
14	E. develop new methods of job placement for older
15	workers;
16	F. promote public recognition of the advantages of
17	hiring and retaining older workers; and
18	G. promote and develop programs of community
19	resources and facilities designed to meet the social needs of
20	older persons."
21	Section 12. Section 28-4-6 NMSA 1978 (being Laws 1979,
22	Chapter 203, Section 3, as amended) is amended to read:
23	"28-4-6. [AGENCY] DEPARTMENT POWERS
24	A. The [state agency on aging] human services
25	department:
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(1) may receive on behalf of the state any gifts, donations or bequests from any source to be used in carrying out its duties; and

(2) is designated as the state agency for handling all programs of the federal government related to the aged, except those designated by law as the responsibility of another state agency, and may enter into agreements and contracts with agencies of the federal government for this purpose.

The [state agency on aging] human services department may adopt and promulgate [such] reasonable rules [and regulations] as are deemed necessary to carry out its duties. Unless otherwise provided by law, no rule [or regulation] affecting any person or agency outside the [state agency on aging | human services department shall be adopted, amended or repealed without a public hearing on the proposed action before the [director of the state agency on aging] secretary of human services or a hearing officer designated by [him] the secretary. The public hearing shall be held in Santa Fe unless otherwise permitted by statute. Notice of the subject matter of the rule [or regulation], the action proposed to be taken, the time and place of the hearing, the manner in which interested persons may present their views and the method by which copies of the proposed rule [or regulation] or proposed amendment or repeal of an existing rule [or .180840.1

regulation] may be obtained shall be published once at least thirty days prior to the hearing in a newspaper of general circulation and mailed at least thirty days prior to the hearing date to all persons who have made a written request for advance notice of hearing. The [director of the state agency on aging] secretary of human services shall also provide [such] notice to the director of each senior citizen center no later than forty days prior to the public hearing. All rules [and regulations] shall be filed in accordance with the State Rules Act.

C. To ensure that the health and safety needs of the state's aged population are being met, the [state agency on aging] human services department may conduct unannounced quality care evaluations of health and long-term care facilities that provide services to the aged, including the use of undercover patients or employees. Any employee or contractor of the [state agency on aging] human services department who participates in such an evaluation shall be immune from liability in any civil action related to the evaluation, provided it is conducted in good faith. The purpose of this subsection is to confirm and clarify the authority of the [state agency on aging] human services department to conduct quality care evaluations to protect the interests of the state's aged population."

Section 13. Section 28-4-8 NMSA 1978 (being Laws 1979, .180840.1

Chapter	203,	Section	5)	is	amended	to	read:
"2	8-4-8	. REPOR	TS.	Tነ	ne [stat	e a	genev

"28-4-8. REPORTS.--The [state agency on aging] human services department shall submit reports on its work for the preceding year to the governor and the legislature at least forty-five days prior to each regular legislative session. The reports shall contain recommendations on present and future needs of the aged."

Section 14. Section 28-4-9 NMSA 1978 (being Laws 1979, Chapter 203, Section 6, as amended) is amended to read:

"28-4-9. ADVISORY COMMITTEE.--The governor shall appoint an eleven-member advisory committee to the [state agency on aging] human services department in accordance with the provisions of the Executive Reorganization Act. In establishing the committee, the governor shall take note of any federal requirements regarding membership and shall appoint members for staggered terms of four years. Members of the committee shall be reimbursed for services as provided for in the Per Diem and Mileage Act and shall receive no other compensation, perquisite or allowance."

Section 15. Section 29-17-4 NMSA 1978 (being Laws 1998, Chapter 68, Section 3, as amended) is amended to read:

"29-17-4. DEFINITIONS.--As used in the Caregivers Criminal History Screening Act:

A. "applicant" means a person who seeks and is offered employment or contractual service as a caregiver or .180840.1

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hospital caregiver with a care provider;

- "caregiver" means a person, not otherwise required to undergo a nationwide criminal history screening by the New Mexico Children's and Juvenile Facility Criminal Records Screening Act, whose employment or contractual service with a care provider includes direct care or routine and unsupervised physical or financial access to any care recipient served by that provider;
- "care provider" or "provider" means a skilled nursing facility; an intermediate care facility; a care facility for the mentally retarded; a general acute care facility; a psychiatric facility; a rehabilitation facility; a home health agency; a homemaker agency; a home for the aged or disabled; a group home; an adult foster care home; a guardian service provider; a case management entity that provides services to people with developmental disabilities; a private residence that provides personal care, adult residential care or nursing care for two or more persons not related by blood or marriage to the facility's operator or owner; an adult daycare center; a boarding home; an adult residential care home; a residential service or habilitation service authorized to be reimbursed by medicaid; any licensed or medicaid-certified entity or any program funded by the [aging and long-term] human services department that provides respite, companion or personal care services; or programs funded by the [children, .180840.1

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youth and families] human services department that provide homemaker or adult daycare services. "Care provider" or "provider" does not include resident care facilities located at or performing services exclusively for any correctional facility, outpatient treatment facilities, diagnostic and treatment facilities, ambulatory surgical centers and facilities, end-stage renal dialysis and treatment facilities, rural health clinics, private physicians' offices or other clinics that operate in the same manner as private physicians' offices in group practice settings;

- D. "care recipient" means any person under the care of a provider who has a physical or mental illness, injury or disability or who suffers from any cognitive impairment that restricts or limits the person's activities;
- E. "conviction" means a plea, judgment or verdict of guilty, a plea of nolo contendere, an Alford plea or any plea or judgment entered in connection with a suspended sentence, in this state or any other state or jurisdiction;
- F. "hospital caregiver" means a person who provides direct unsupervised patient care in an inpatient setting and is not a licensed New Mexico health care professional practicing within the scope of a profession's license;
- G. "nationwide criminal history screening" means a criminal history background investigation of an applicant, caregiver or hospital caregiver through the use of fingerprints .180840.1

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collected by the department of public safety and submitted to the federal bureau of investigation, resulting in generation of a nationwide criminal history record for that applicant, caregiver or hospital caregiver;

- "nationwide criminal history record" means Η. information concerning a person's arrests, indictments or other formal criminal charges and any dispositions arising therefrom, including convictions, dismissals, acquittals, sentencing and correctional supervision, and collected by criminal justice agencies; and
- "statewide criminal history screening" means a criminal history background investigation of an applicant or caregiver through the comparison of identifying information with the department of public safety's criminal record repository."

Section 16. TEMPORARY PROVISION -- TRANSFER OF FUNCTIONS, APPROPRIATIONS, MONEY, RECORDS, PROPERTY, CONTRACTUAL OBLIGATIONS AND STATUTORY REFERENCES .--

- On the effective date of this act, all functions, appropriations, money, records, furniture, equipment, supplies and other property of the aging and longterm services department are transferred to the human services department.
- On the effective date of this act, all contractual obligations of the aging and long-term services .180840.1

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department shall be deemed to be contractual obligations of the human services department.

On the effective date of this act, all references in law to the aging and long-term services department shall be deemed to be references to the human services department.

Section 17. REPEAL.--Sections 9-23-1 through 9-23-12, 28-4-1 through 28-4-4 and 28-4-7 NMSA 1978 (being Laws 2004, Chapter 23, Sections 1 through 11, Laws 2004, Chapter 46, Section 15, Laws 1969, Chapter 55, Section 2, Laws 1977, Chapter 252, Section 17, Laws 1969, Chapter 55, Section 3 and Laws 1979, Chapter 203, Sections 1 and 4) are repealed.

Section 18. EFFECTIVE DATE. -- The effective date of the provisions of this act is July 1, 2010.

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